# The *e*-positivity of chromatic symmetric functions

Steph van Willigenburg University of British Columbia



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# Chromatic polynomial: Birkhoff 1912

Given G with vertex set V a proper colouring  $\kappa$  of G in k colours is

 $\kappa: V \to \{1, 2, 3, \ldots, k\}$ 

so if  $v_1, v_2 \in V$  are joined by an edge then

 $\kappa(\mathbf{v}_1) \neq \kappa(\mathbf{v}_2).$ 

EXAMPLE

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# Chromatic Polynomial: Birkhoff 1912

Given G the chromatic polynomial  $\chi_G(k)$  is the number of proper colourings with k colours.



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#### DELETION-CONTRACTION

Delete  $\epsilon$ : remove edge  $\epsilon$  to get  $G - \epsilon$ .



Contract  $\epsilon$ : shrink edge  $\epsilon$  + identify vertices to get  $G/\epsilon$ .



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THEOREM (DELETION-CONTRACTION)

$$\chi_{G}(k) - \chi_{G-\epsilon}(k) + \chi_{G/\epsilon}(k) = 0$$

Given G with vertex set V a proper colouring  $\kappa$  of G is

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Given a proper colouring  $\kappa$  of vertices  $v_1, v_2, \ldots, v_N$  associate a monomial in commuting variables  $x_1, x_2, x_3, \ldots$ 

 $X_{\kappa(v_1)}X_{\kappa(v_2)}\cdots X_{\kappa(v_N)}$ .



Given *G* with vertices  $v_1, v_2, \ldots, v_N$  the chromatic symmetric function is

$$X_G = \sum_{\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_N)}$$

where the sum over all proper colourings  $\kappa$ .



• has  $X_G(x_1, x_2, x_3) = x_1^2 + x_2^2 + x_3^2 + 2x_1x_2 + 2x_2x_3 + 2x_1x_3$ . A A B A B A B **A B A B A B** A A B (A)В B

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#### MULTI-DELETION

Deletion-contraction fails, as contraction gives degree change.

THEOREM (TRIPLE-DELETION: ORELLANA-SCOTT 2014) Let G be such that  $\epsilon_1, \epsilon_2, \epsilon_3$  form a triangle. Then

$$X_G - X_{G-\{\epsilon_1\}} - X_{G-\{\epsilon_2\}} + X_{G-\{\epsilon_1,\epsilon_2\}} = 0.$$

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THEOREM (k-DELETION: DAHLBERG-VW 2018)

Let G be such that  $\epsilon_1, \epsilon_2, \ldots, \epsilon_k$  form a k-cycle for  $k \geq 3$ . Then

$$\sum_{S\subseteq [k-1]} (-1)^{|S|} X_{G-\cup_{i\in S}\{\epsilon_i\}} = 0.$$

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# Symmetric functions

A symmetric function is a formal power series f in commuting variables  $x_1, x_2, \ldots$  such that for all permutations  $\pi$ 

$$f(x_1, x_2, \ldots) = f(x_{\pi(1)}, x_{\pi(2)}, \ldots).$$

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 $X_G$  is a symmetric function.



Let

$$\Lambda = \bigoplus_{N \ge 0} \Lambda^N \subset \mathbb{Q}[[x_1, x_2, \ldots]]$$

be the algebra of symmetric functions with  $\Lambda^N$  spanned by ...

#### CLASSICAL BASIS: POWER SUM

A partition  $\lambda = \lambda_1 \ge \cdots \ge \lambda_\ell > 0$  of *N* is a list of positive integers whose sum is *N*: 3221  $\vdash$  8.

The *i*-th power sum symmetric function is

$$p_i = x_1^i + x_2^i + x_3^i + \cdots$$

and for  $\lambda = \lambda_1 \cdots \lambda_\ell$ 

$$p_{\lambda} = p_{\lambda_1} \cdots p_{\lambda_{\ell}}.$$

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EXAMPLE

$$p_{21} = p_2 p_1 = (x_1^2 + x_2^2 + x_3^2 + \cdots)(x_1 + x_2 + x_3 + \cdots)$$

#### CLASSICAL BASIS: POWER SUM

Given  $S \subseteq E(G)$ ,  $\lambda(S)$  is the partition determined by the connected components of *G* restricted to *S*.

EXAMPLE  $G = \bigcirc_{\epsilon_1} & \overset{\epsilon_2}{\bigcirc} & \odot_{\epsilon_1} & \overset{\epsilon_2}{\bigcirc} & \overset{\epsilon_2}{\odot} & \overset{\epsilon_2}{\bigcirc} & \overset{\epsilon_2}{\bigcirc} & \overset{\epsilon_2}{\bigcirc} & \overset{\epsilon_2}{\odot} & \overset{\epsilon_2}{\circ} & \overset{\epsilon$ 

THEOREM (STANLEY 1995)

$$X_G = \sum_{S \subseteq E(G)} (-1)^{|S|} p_{\lambda(S)}$$

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#### CLASSICAL BASIS: POWER SUM

G = O O O O

G restricted to

 $X_G = p_3 - 2p_{21} + p_{111}$ 

The *i*-th elementary symmetric function is

$$e_i = \sum_{j_1 < \cdots < j_i} x_{j_1} \cdots x_{j_i}$$

and for  $\lambda = \lambda_1 \cdots \lambda_\ell$ 

$$e_{\lambda} = e_{\lambda_1} \cdots e_{\lambda_{\ell}}.$$

#### EXAMPLE

$$e_{21} = e_2 e_1 = (x_1 x_2 + x_1 x_3 + x_2 x_3 + \cdots)(x_1 + x_2 + x_3 + \cdots)$$
  
 $G = O O X_G = 3e_3 + e_{21}$ 

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#### then

$$\sum_{\lambda \text{ with } k \text{ parts}} c_{\lambda} = number ext{ of acyclic orientations with } k ext{ sinks}.$$

# EXAMPLE $G = O O X_G = 3e_3 + e_{21}$



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#### then

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#### PARTITIONS AND DIAGRAMS

A partition  $\lambda = \lambda_1 \ge \cdots \ge \lambda_\ell > 0$  of *N* is a list of positive integers whose sum is *N*:  $3221 \vdash 8$ .

The diagram  $\lambda = \lambda_1 \ge \cdots \ge \lambda_\ell > 0$  is the array of boxes with  $\lambda_i$  boxes in row *i* from the top.



3221

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## Semi-standard Young Tableaux

A semi-standard Young tableau (SSYT) T of shape  $\lambda$  is a filling with 1, 2, 3, ... so rows weakly increase and columns increase.



Given an SSYT T we have

$$x^T = x_1^{\#1s} x_2^{\#2s} x_3^{\#3s} \cdots$$

$$x_1^3 x_2 x_4^2 x_5 x_6$$

#### CLASSICAL BASIS: SCHUR

The Schur function is





No known nice formula for  $X_G$ .

#### ARE THESE CHROMATIC?

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Question: Are classical symmetric functions ever examples of chromatic symmetric functions of a connected graph?

# ARE THESE CHROMATIC?

Question: Are classical symmetric functions ever examples of chromatic symmetric functions of a connected graph?

#### Answer:

THEOREM (CHO-VW 2018)

Only the elementary symmetric functions, namely

$$e_n=rac{1}{n!}X_{K_n}.$$





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Pick favourite connected graph on 1 vertex:

Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

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Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

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Pick favourite connected graph on 2 vertices:

Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

Pick favourite connected graph on 2 vertices:

$$G_2 = \circ - \circ$$

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Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

Pick favourite connected graph on 2 vertices:

$$G_2 = \circ - \circ$$

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Pick favourite connected graph on 3 vertices:

Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

Pick favourite connected graph on 2 vertices:

$$G_2 = \circ - \circ$$

Pick favourite connected graph on 3 vertices:

$$G_3 = \circ - \circ - \circ$$

And so on ...

Pick favourite connected graph on 1 vertex:

 $G_1 = \circ$ 

Pick favourite connected graph on 2 vertices:

$$G_2 = -$$

Pick favourite connected graph on 3 vertices:

$$G_3 = \circ - \circ - \circ$$

And so on ...

Let  $G_{\lambda}$  be the disjoint union  $G_{\lambda_1} \cup \cdots \cup G_{\lambda_{\ell}}$ .

EXAMPLE

$$G_{211} = O O O O$$
## NEW BASES

THEOREM (CHO-VW 2016)

$$\Lambda = \mathbb{Q}[X_{G_1}, X_{G_2}, \ldots] \qquad \Lambda^N = \operatorname{span}_{\mathbb{Q}}\{X_{G_\lambda} \mid \lambda \vdash N\}$$

where

$$X_{G_{\lambda}}=X_{G_{\lambda_1}}\cdots X_{G_{\lambda_{\ell}}}.$$

#### EXAMPLE

$$G_{211} = O O O O$$

$$X_{G_{211}} = X_{G_2} X_{G_1} X_{G_1}$$
  
= 2e\_2e\_1e\_1 = 2e\_{211}

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*G* is *e*-positive if  $X_G$  is a positive linear combination of  $e_{\lambda}$ .

*G* is Schur-positive if  $X_G$  is a positive linear combination of  $s_{\lambda}$ .

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*G* is Schur-positive if  $X_G$  is a positive linear combination of  $s_{\lambda}$ .

O has 
$$X_G = e_{21} + 3e_3 \checkmark$$
  
 $X_G = 4s_{111} + s_{21} \checkmark$ 

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$$\begin{array}{c} & & X_G = e_{21} + 3e_3 \checkmark \\ & X_G = 4s_{111} + s_{21} \checkmark \\ \end{array}$$

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$$\begin{array}{c} & & X_G = e_{21} + 3e_3 \checkmark \\ & X_G = 4s_{111} + s_{21} \checkmark \\ & & \\ &$$

 $K_{13}$ : Smallest graph that is not *e*-positive. Smallest graph that is not Schur-positive.

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For 
$$\lambda=\lambda_1\cdots\lambda_\ell$$
  $e_\lambda=\sum_\mu {\sf K}_{\mu\lambda}{\sf s}_{\mu^t}$ 

where  $K_{\mu\lambda} = \#$  SSYTs of shape  $\mu$  filled with  $\lambda_1$  1s, ...,  $\lambda_\ell \ell$ s, and  $\mu^t$  is the transpose of  $\mu$  along the downward diagonal.

Hence  $K_{\mu\lambda} \geq 0$  and

#### e-positivity implies Schur-positivity.



Conjecture (Stanley-Stembridge 1993)

If G is an incomparability graph of a (3 + 1)-free poset then  $X_G$  is e-positive.

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If G is an incomparability graph of a (3 + 1)-free poset then  $X_G$  is e-positive.



#### THEOREM (GASHAROV 1996)

If G is an incomparability graph of a (3 + 1)-free poset then X<sub>G</sub> is Schur-positive.

# KNOWN *e*-POSITIVE GRAPHS

• Complete graphs K<sub>m</sub>.



• Paths  $P_n$  (Stanley 1995).



• Lollipop graphs  $L_{m,n}$  (Gebhard-Sagan 2001).



• Triangular ladders (Dahlberg 2018).



• Complement of *G* is bipartite (Stanley-Stembridge 1993).

## e-positivity of trees: Dahlberg, She, vW 2019



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#### e-POSITIVITY OF TREES

THEOREM (DAHLBERG-SHE-VW 2019)

Any tree with n vertices and a vertex of degree

 $d \geq \log_2 n + 1$ 

is not e-positive.

EXAMPLE



is not e-positive.

#### e-positivity of trees

CONJECTURE (DAHLBERG-SHE-VW 2019)

Any tree with n vertices and a vertex of degree

$$d \ge 4$$

is not e-positive.

True: up to 12 vertices.



# e-positivity test of Wolfgang III 1997

A graph has a connected partition of type  $\lambda = \lambda_1 \cdots \lambda_\ell$  if we can find disjoint subsets of vertices  $V_1, \ldots, V_\ell \in V(G)$  so

- $V_1 \cup \cdots \cup V_\ell = V(G)$
- restricting edges to each V<sub>i</sub> gives connected components with λ<sub>i</sub> vertices.



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## e-positivity test of Wolfgang III 1997

#### THEOREM (WOLFGANG III 1997)

If a connected graph G with n vertices is e-positive, then G has a connected partition of type  $\lambda$  for every partition  $\lambda \vdash n$ .

Test: If G does not have a connected partition of some type then G is not e-positive.

EXAMPLE



does not have a connected partition of type 22. Hence it is not *e*-positive.

## Schur-positivity of trees

THEOREM (DAHLBERG-SHE-VW 2019)

Any tree with n vertices and a vertex of degree

$$d > \left\lceil \frac{n}{2} \right\rceil$$

is not Schur-positive.

EXAMPLE is not Schur-positive.

# WHY *e*-POSITIVITY?

- Stanley-Stembridge conjecture.
- *e*-positivity implies Schur-positivity.
- If Schur-positive, then it arises as the Frobenius image of some representation of a symmetric group.

• If Schur-positive, then it arises as the character of a polynomial representation of a general linear group.

#### INFINITELY MANY POSITIVE BASES

The lollipop graph  $L_{m,n}$  is complete graph  $K_m$  connected to degree 1 vertex in path  $P_n$ .

$$L_{5,3} =$$

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Different lollipop graphs have different chromatic functions.

#### INFINITELY MANY POSITIVE BASES

The lollipop graph  $L_{m,n}$  is complete graph  $K_m$  connected to degree 1 vertex in path  $P_n$ .

Different lollipop graphs have different chromatic functions.

#### THEOREM (DAHLBERG-VW 2018)

Every distinct set  $\{\mathcal{L}_1, \mathcal{L}_2, \ldots\}$  where  $\mathcal{L}_i = L_{m_i, n_i}$ ,  $m_i + n_i = i$  gives distinct set of generators  $\{X_{\mathcal{L}_1}, X_{\mathcal{L}_2}, \ldots\}$  such that

$$\Lambda = \mathbb{Q}[X_{\mathcal{L}_1}, X_{\mathcal{L}_2}, \ldots].$$



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• Which chromatic bases are *e*-positive?



• Which chromatic bases are *e*-positive? Since a chromatic basis is positive iff each generator is *e*-positive ...

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• When is  $X_G$  *e*-positive?

# QUESTIONS

- Which chromatic bases are *e*-positive? Since a chromatic basis is positive iff each generator is *e*-positive ...
- When is  $X_G$  *e*-positive?
- ... or not. Stanley 1995:

We don't know of a graph which is not contractible to  $K_{13}$ (even regarding multiple edges of a contraction as a single edge) which is not *e*-positive.

# QUESTIONS

- Which chromatic bases are *e*-positive? Since a chromatic basis is positive iff each generator is *e*-positive ...
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We do.



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# DAHLBERG-FOLEY-VW 2017



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# Arch-nemesis: the claw aka $K_{13}$



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## Arch-nemesis: the claw aka $K_{13}$



Contracts to the claw: shrinking edges + identifying vertices + removing multiple edges = claw.



#### A picture speaks 1000 words

Stanley 1995:

We don't know of a graph which is not contractible to  $K_{13}$  (even regarding multiple edges of a contraction as a single edge) which is not *e*-positive.



# Claw-contractible-free: Brouwer-Veldman 1987

G is claw-contractible-free if and only if deleting all sets of 3 non-adjacent vertices gives disconnection.



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...WITH CHROMATIC SYMMETRIC FUNCTION



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...WITH CHROMATIC SYMMETRIC FUNCTION



Smallest counterexamples to Stanley's statement.

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## INFINITE FAMILY: SALTIRE GRAPHS

The saltire graph  $SA_{n,n}$  for  $n \ge 3$  is given by



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with  $SA_{3,3}$  on the left.

## INFINITE FAMILY: SALTIRE GRAPHS

THEOREM (DAHLBERG-FOLEY-VW 2017)

 $SA_{n,n}$  for  $n \ge 3$  is claw-contractible-free and

$$[e_{nn}]X_{SA_{n,n}} = -n(n-1)(n-2).$$

CCF:



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For any n: Augmented saltire graphs

The augmented saltire graphs  $AS_{n,n}$ ,  $AS_{n,n+1}$  for  $n \ge 3$ .



THEOREM (DAHLBERG-FOLEY-VW 2017)

 $AS_{n,n}$  and  $AS_{n,n+1}$  for  $n \ge 3$  are claw-contractible-free and

$$[e_{nn}]X_{AS_{n,n}} = [e_{(n+1)n}]X_{AS_{n,n+1}} = -n(n-1)(n-2).$$

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# CLAW-FREE: BEINEKE 1970

Claw-free: does not contain the claw as an induced subgraph of the graph.


# CLAW-FREE: BEINEKE 1970

Claw-free: does not contain the claw as an induced subgraph of the graph.



# CLAW-FREE: BEINEKE 1970

G is claw-free if there exists an edge partition giving complete graphs, every vertex in at most two.



#### AND CLAW-FREE: TRIANGULAR TOWER GRAPHS

The triangular tower graph  $TT_{n,n,n}$  for  $n \ge 3$  is given by



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with  $TT_{3,3,3}$  on the left.

### AND CLAW-FREE: TRIANGULAR TOWER GRAPHS

THEOREM (DAHLBERG-FOLEY-VW 2017)

 $TT_{n,n,n}$  for  $n \ge 3$  is claw-contractible-free, claw-free and

$$[e_{nnn}]X_{TT_{n,n,n}} = -n(n-1)^2(n-2).$$

CCF+CF:



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### Conjectures

#### • Bloated $K_{3,3}$ :



with 3n vertices has

 $-(3 \times 2^{n})e_{3^{n}}.$ 

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No G exists that is connected, claw-contractible-free, claw-free and not e-positive with 10, 11 vertices.

#### SCARCITY

- N = 6: 4 of 112 connected graphs ccf and not *e*-positive.
- N = 7: 7 of 853 connected graphs ccf and not *e*-positive.
- N = 8: 27 of 11117 connected graphs ccf and not *e*-positive.
- Of 293 terms in *TT*<sub>7,7,7</sub> only -ve at *e*<sub>777</sub>.
- Of 564 terms in  $TT_{8,8,8}$  only -ves at  $e_{888}$  and  $-1944e_{444444}$ .
- Of 1042 terms in *TT*<sub>9,9,9</sub> only -ves at *e*<sub>999</sub>, -768*e*<sub>333333333</sub>.

## A picture speaks 1000 words



## A picture speaks 1000 words



SAC

In general, *e*-positivity has nothing to do with the claw.



Thank you very much!

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