

9.1t - Fup - Catalan numbers  
for finite reflection groups

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# Overview

Finite reflection groups

$q, t$ -Fuß-Catalan numbers for real reflection groups

Algebraic Combinatorics – the extended Shi arrangement

Combinatorial Algebra – rational Cherednik algebras

$q, t$ -Fuß-Catalan numbers for complex reflection groups

Finite real reflection groups

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Finite real reflection groups?

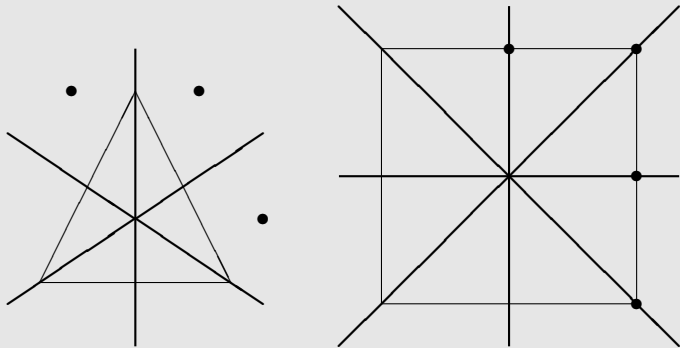
# Finite real reflection groups

Let  $V$  be a finite-dimensional real vector space.

- ▶ A **(finite) real reflection group**

$$W = \langle t_1, \dots, t_\ell \rangle \subseteq O(V)$$

is a **finite group generated by reflections**.



# Irreducible real reflection groups

- ▶ The following list of **root systems** determine (up to isomorphisms) the **irreducible finite real reflection groups**:

$A_{n-1}$  (**symmetric group**),

$B_n$  (**group of signed permutations**),

$D_n$  (**group of even-signed permutations**),

$I_2(k)$  (**dihedral group** of order  $2k$ ) and

$H_3, H_4, F_4, E_6, E_7, E_8$  (**exceptional groups**).

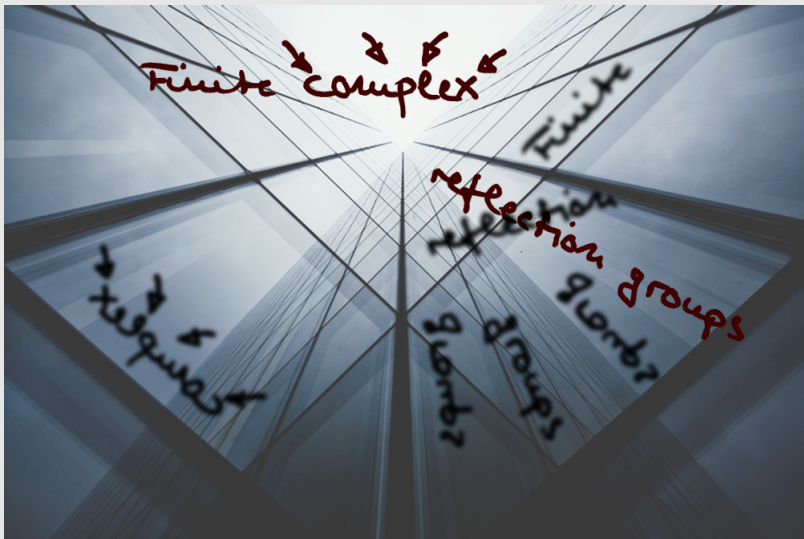
# The symmetric group

The most classical example of a reflection group is the **symmetric group**  $\mathcal{S}_n$  of all **permutations** of  $n$  letters.

$$\begin{aligned} 123 &= (), & 132 &= (23), & 213 &= (12), \\ 231 &= (123), & 312 &= (132), & 321 &= (13). \end{aligned}$$

This group can be seen as the **reflection group** of type  $A_{n-1}$ :

$$\begin{aligned} \text{transposition} &\overset{\sim}{\longleftrightarrow} \text{reflection} \\ (i, j) &= e_i \leftrightarrow e_j \\ \text{simple transposition} &\overset{\sim}{\longleftrightarrow} \text{simple reflection} \\ (i, i+1) &= e_i \leftrightarrow e_{i+1}. \end{aligned}$$



# Finite complex reflection groups

Let  $V$  be a finite-dimensional **complex** vector space.

- ▶ A **complex reflection**  $s \in U(V)$ 
  - has finite order and
  - its fixed-point space has codimension 1.
- ▶ A **(finite) complex reflection group**

$$W = \langle t_1, \dots, t_\ell \rangle \subseteq O(V)$$

is a **finite group generated by complex reflections**.

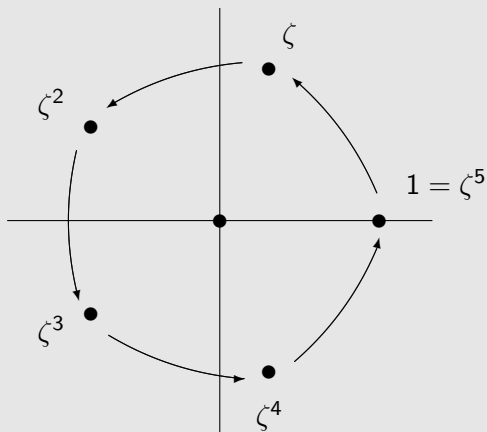
Irreducible complex reflection groups are determined by the following types:

$$G(m, p, n) \quad \text{with } p|m \text{ of order } m^n n! / p,$$
$$G_4 - G_{37} \quad 34 \text{ exceptional types.}$$

(Shephard–Todd, Chevalley, 1950's)



# The cyclic group



- ▶ It acts on  $\mathbb{C}$  by multiplication of a **primitive root of unity**  $\zeta$ .

# Reflections $\longleftrightarrow$ Reflecting hyperplanes

- ▶ In **real reflection groups**, any reflection  $t$  has **order two** and there is a 1 : 1-correspondence

$$\begin{array}{ccc} \{ \text{reflections} \} & \xrightarrow{\sim} & \{ \text{reflecting hyperplanes} \} \\ t & \leftrightarrow & H_{\alpha}. \end{array}$$

- ▶ In **complex reflection groups**, any reflection  $t$  has **order  $k \geq 2$**  and there is a correspondence

$$\begin{array}{ccc} \{ \text{reflections} \} & \xrightarrow{\sim} & \{ \text{reflecting hyperplanes} \} \\ t, t^2, \dots, t^{k-1} & \leftrightarrow & H_{\alpha}. \end{array}$$

# Alternating polynomials

For a **permutation**  $\sigma \in \mathcal{S}_n$ , define a **diagonal action** on  $\mathbb{C}[\mathbf{x}, \mathbf{y}] := \mathbb{C}[x_1, y_1, \dots, x_n, y_n]$  by  $\sigma(x_i) := x_{\sigma(i)}$ ,  $\sigma(y_i) := y_{\sigma(i)}$ .  
E.g.,

$$231(2x_1x_2y_2^2y_3) = 2x_2x_3y_3^2y_1.$$

A polynomial  $f \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$  is called

- ▶ **invariant** if  $\sigma(f) = f$ ,
- ▶ **alternating** if  $\sigma(f) = \text{sgn}(\sigma) f$ .

Example

$x_1y_2 + x_2y_1$  is **invariant**,  $x_1y_2 - x_2y_1$  is **alternating**.

# Alternating polynomials – Generalization

Let  $W$  be a real reflection group acting on  $V$ . The **contragredient action** of  $W$  on  $V^* = \text{Hom}(V, \mathbb{C})$  is given by

$$\omega(\rho) := \rho \circ \omega^{-1}.$$

This gives an action of  $W$  on the symmetric algebra  $S(V^*) = \mathbb{C}[\mathbf{x}]$  and 'doubling up' this action gives a **diagonal action** on  $\mathbb{C}[\mathbf{x}, \mathbf{y}] := \mathbb{C}[V \oplus V]$ .

A polynomial  $f \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$  is called

- ▶ **invariant** if  $\omega(f) = f$ ,
- ▶ **alternating** if  $\omega(f) = \det(\omega) f$ .

9.1.1 - Fup - Catalan numbers

## $q, t$ -Fuß-Catalan numbers as a bigraded Hilbert series

Let  $W$  be a reflection group now acting on  $\mathbb{C}[\mathbf{x}, \mathbf{y}]$  and let

$$\mathcal{A} := \langle \text{alternating polynomials} \rangle \trianglelefteq \mathbb{C}[\mathbf{x}, \mathbf{y}].$$

Define the  $W$ -module  $M^{(m)}(W)$  to be **minimal generating space** of the **ideal**  $\mathcal{A}^m$ ,

$$M^{(m)}(W) := \mathcal{A}^m / \langle \mathbf{x}, \mathbf{y} \rangle \mathcal{A}^m \cong \mathbb{C}\mathcal{B},$$

where  $\mathcal{B}$  is any **homogeneous minimal generating set** for  $\mathcal{A}^m$ .

$M^{(m)}(W)$  sits inside a larger  $W$ -module  $DR^{(m)}(W)$  as its **isotypic component**,

$$M^{(m)}(W) \cong \mathbf{e}_{\det}(DR^{(m)}(W)).$$

# $q, t$ -Fuß-Catalan numbers as a bigraded Hilbert series

## Definition

For any real reflection group  $W$ , define  **$q, t$ -Fuß-Catalan numbers** to be the bigraded Hilbert series of  $M^{(m)}(W)$ ,

$$\begin{aligned}\text{Cat}^{(m)}(W; q, t) &:= \mathcal{H}(M^{(m)}(W); q, t) \\ &= \sum_{f \in \mathcal{B}} q^{\deg_x(f)} t^{\deg_y(f)}.\end{aligned}$$

- ▶  $\text{Cat}^{(m)}(W; q, t)$  is a **symmetric polynomial** in  $q$  and  $t$ ,
- ▶ it reduces in type  $A_{n-1}$  to the classical  $q, t$ -Fuß-Catalan numbers,

$$\text{Cat}^{(m)}(\mathcal{S}_n; q, t) = \text{Cat}_n^{(m)}(q, t)$$

introduced by Haiman in the 1990's.

## Example: $\text{Cat}^{(1)}(\mathcal{S}_3; q, t)$

For  $W = \mathcal{S}_3$ , one can show that

$$M^{(1)}(\mathcal{S}_3) = \mathbb{C} \left\{ \begin{array}{l} \Delta_{\{(1,0),(2,0)\}}, \Delta_{\{(1,0),(1,1)\}}, \Delta_{\{(0,1),(1,1)\}}, \\ \Delta_{\{(0,1),(0,2)\}}, \Delta_{\{(1,0),(0,1)\}} \end{array} \right\},$$

where

$$\Delta_{\{(i_1, j_1), (i_2, j_2)\}}(\mathbf{x}, \mathbf{y}) := \det \begin{pmatrix} 1 & 1 & 1 \\ x_1^{i_1} y_1^{j_1} & x_2^{i_1} y_2^{j_1} & x_3^{i_1} y_3^{j_1} \\ x_1^{i_2} y_1^{j_2} & x_2^{i_2} y_2^{j_2} & x_3^{i_2} y_3^{j_2} \end{pmatrix}$$

is the **generalized Vandermonde determinant**. This gives

$$\begin{aligned} \text{Cat}^{(1)}(\mathcal{S}_3; q, t) &= \mathcal{H}(M^{(1)}(\mathcal{S}_3); q, t) \\ &= q^3 + q^2 t + q t^2 + t^3 + q t. \end{aligned}$$



# A conjectured formula for the dimension of $M^{(m)}(W)$

Computations of the **dimensions** of  $M^{(m)}(W)$  were the first motivation for further investigations:

## Conjecture

Let  $W$  be a real reflection group. Then

$$\text{Cat}^{(m)}(W; 1, 1) = \prod_{i=1}^{\ell} \frac{d_i + mh}{d_i},$$

where

- ▶  $\ell$  is the **rank** of  $W$ ,
- ▶  $h$  is the **Coxeter number** and
- ▶  $d_1, \dots, d_\ell$  are its **degrees**.

# Fuß-Catalan numbers

- ▶ These numbers, called **Fuß-Catalan numbers**, count several combinatorial objects, e.g.,
  - ▶ **positive regions in the generalized Shi arrangement** (Athanasiadis, Postnikov),
  - ▶ **m-divisible non-crossing partitions** (Armstrong, Bessis, Reiner),
  - ▶ **facets in the generalized Cluster complex** (Fomin, Reading, Zelevinsky).
- ▶ They reduce for  $m = 1$  to the well-known Catalan numbers associated to real reflection groups:

$A_{n-1}$	$B_n$	$D_n$
$\frac{1}{n+1} \binom{2n}{n}$	$\binom{2n}{n}$	$\binom{2n}{n} - \binom{2(n-1)}{n-1}$

$I_2(k)$	$H_3$	$H_4$	$F_4$	$E_6$	$E_7$	$E_8$
$k + 2$	32	280	105	833	4160	25080

# The classical $q, t$ -Fuß-Catalan numbers

In type  $A$ ,  $\text{Cat}^{(m)}(\mathcal{S}_n; q, t)$  occurred within the past 15 years in various fields of mathematics:

- ▶ Hilbert series of **space of diagonal coinvariants** (Haiman),
- ▶ complicated rational function in the context of **modified Macdonald polynomials** (Garsia, Haiman),
- ▶ Hilbert series of some cohomology module in the theory of **Hilbert schemes of points in the plane** (Haiman),
- ▶ they have a conjectured combinatorial interpretation in terms of two statistics on partitions fitting inside the partition  $\mu := ((n-1)m, \dots, 2m, m)$ ,

$$\text{Cat}_n^{(m)}(q, t) = \sum_{\lambda \subseteq \mu} q^{\text{area}(\lambda)} t^{\text{bounce}(\lambda)}.$$

- ▶ Proved for  $m = 1$  (Garsia, Haglund) and for  $t = 1$  (Haiman).

# Combinatorics

The extended  
Sih arrangement

# The extended Shi arrangement

Let  $W$  be a crystallographic reflection group.

$\text{Shi}^{(m)}(W)$  is defined to be the collection of (translates of the reflecting) hyperplanes in  $V$  given by

$$\{H_{\alpha}^k : \alpha \in \Phi^+, -m < k \leq m\},$$

where  $H_{\alpha}^k = \{x \in V : (x, \alpha) = k\}$ .

- ▶ A **region of**  $\text{Shi}^{(m)}(W)$  is a **connected component** of its complement.

Remark

**Coxeter arrangement**  $\subseteq$  **extended Shi arrangement**.

# The extended Shi arrangement

Let  $W$  be a crystallographic reflection group.

## Theorem (Yoshinaga)

The number of **regions** in  $\text{Shi}^{(m)}(W)$  is given by

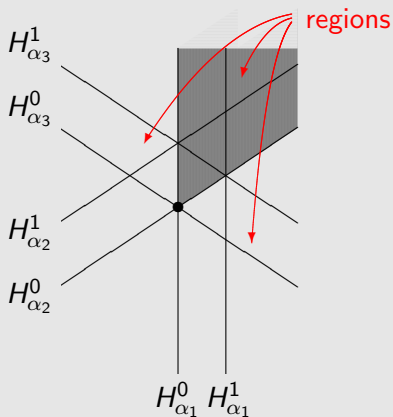
$$(mh + 1)^\ell.$$

## Theorem (Athanasiadis)

The number of **positive regions** in  $\text{Shi}^{(m)}(W)$  – regions which lie in the **fundamental chamber** of the associated Coxeter arrangement – is given by

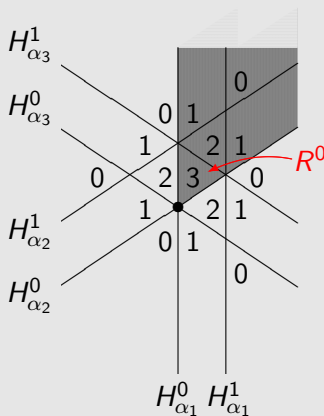
$$\prod_{i=1}^{\ell} \frac{d_i + mh}{d_i}.$$

# Example: $\text{Shi}^{(1)}(A_2)$



$$\begin{aligned} \left| \{ \text{regions} \} \right| &= 16 = (1 \cdot 3 + 1)^2, \\ \left| \{ \text{positive regions} \} \right| &= 5 = \frac{5 \cdot 6}{2 \cdot 3}. \end{aligned}$$

# Example: $\text{Shi}^{(1)}(A_2)$ and $\text{Cat}^{(1)}(A_2; q)$



$$\text{Cat}^{(1)}(A_2; q) = \sum q^{\text{coh}(R)} = 1 + 2q + q^2 + q^3.$$



## Specialization $t = 1$ .

### Conjecture

Let  $W$  be a crystallographic reflection group. Then

$$\text{Cat}^{(m)}(W; q, 1) = \sum q^{\text{coh}(R)},$$

where the sum ranges over all regions of  $\text{Shi}^{(m)}(\Phi)$  which lie in the **fundamental chamber** of the associated Coxeter arrangement and where **coh** denotes the **coheight statistic**.

- ▶ The conjecture is known to be true for type  $A$ ,
- ▶ was validated by computations for several types.

## Specialization $t = q^{-1}$ .

### Conjecture

Let  $W$  be a reflection group. Then

$$\text{Cat}^{(m)}(W; q, q^{-1}) = q^{-mN} \prod_{i=1}^{\ell} \frac{[d_i + mh]_q}{[d_i]_q},$$

where

- ▶  $N$  is the number of reflections in  $W$ ,
- ▶  $[n]_q := q^{n-1} + \dots + q + 1$  is the usual  $q$ -analogue of  $n$ .

# The dihedral groups

## Theorem

Let  $W$  be the dihedral group of type  $I_2(k)$ . Then

$$\text{Cat}^{(m)}(W; q, t) = \sum_{j=0}^m q^{m-j} t^{m-j} [jk + 1]_{q,t},$$

where

$$[n]_{q,t} := q^{n-1} + q^{n-2}t + \dots + qt^{n-2} + t^{n-1}.$$

## Theorem

All shown conjectures hold for the dihedral groups.

# Rational Cherednik algebras

Let  $W$  be a real reflection group. The **rational Cherednik algebra**

$$H_c = H_c(W)$$

is an **associative algebra** generated by

$$V, V^*, W,$$

subject to **defining relations** depending on a **rational parameter**  $c$ , such that

- ▶ the polynomial rings  $\mathbb{C}[V], \mathbb{C}[V^*]$  and
- ▶ the group algebra  $\mathbb{C}W$

are subalgebras of  $H_c$ .

## A simple $H_c$ -module

For  $c = m + \frac{1}{h}$  there exists a unique simple  $H_c$ -module  $L$  which carries a natural filtration.

**Theorem (Berest, Etingof, Ginzburg)**

*Let  $W$  be a real reflection group. Then*

$$\mathcal{H}(\mathrm{gr}(L); q) = q^{-mN} [mh + 1]_q^\ell,$$

$$\mathcal{H}(\mathbf{e}(\mathrm{gr}(L)); q) = q^{-mN} \prod_{i=1}^{\ell} \frac{[d_i + mh]_q}{[d_i]_q},$$

where  $\mathrm{gr}(L)$  is the associated **graded module** of  $L$  and where  $\mathbf{e}(\mathrm{gr}(L))$  is its **trivial component**.

# The connection between $L$ and the space of generalized diagonal coinvariants

## Theorem

Let  $W$  be a real reflection group and let

$$DR^{(m)}(W) = \mathcal{A}^{m-1} / \mathcal{I}\mathcal{A}^{m-1}$$

be the **generalized diagonal coinvariants** graded by degree in  $\mathbf{x}$  minus degree in  $\mathbf{y}$ . Then there exists a natural **surjection of graded modules**,

$$DR^{(m)}(W) \otimes \det \twoheadrightarrow \text{gr}(L),$$

where  $\det$  denotes the **determinantal representation**.

## Remark

This theorem generalizes a theorem by Gordon, who proved the  $m = 1$  case, following mainly his approach.

# The connection between $L$ and the space of generalized diagonal coinvariants

## Conjecture (Haiman)

The  $W$ -stable kernel of the surjection in the previous theorem does not contain a copy of the trivial representation.

## Corollary

*If the previous conjecture holds, then*

$$M^{(m)}(W) \cong \mathbf{e}(\mathrm{gr}(L))$$

*as graded modules. In particular,*

$$\mathrm{Cat}(W; q, q^{-1}) = q^{-mN} \prod_{i=1}^{\ell} \frac{[d_i + mh]_q}{[d_i]_q}.$$



Finite complex  
reflection groups

# The cyclic group

The cyclic group  $C_k = \langle \zeta \rangle$  would act on  $\mathbb{C}[x, y] := \mathbb{C}[\mathbb{C} \oplus \mathbb{C}]$  by

$$\zeta(x^a y^b) = \zeta^a \cdot x^a \zeta^b \cdot y^b = \zeta^{a+b} \cdot x^a y^b.$$

This would give

$$\mathbb{C}[x, y]^{C_k} = \text{span} \{x^a y^b : a + b \equiv 0 \pmod{k}\},$$

$$\begin{aligned} \mathbb{C}[x, y]^{\det} &= \text{span} \{x^a y^b : a + b \equiv 1 \pmod{k}\} \\ &= x\mathbb{C}[x, y]^{C_k} + y\mathbb{C}[x, y]^{C_k}, \end{aligned}$$

$$\begin{aligned} \mathbb{C}[x, y]^{\det^{-1}} &= \text{span} \{x^a y^b : a + b \equiv k - 1 \pmod{k}\} \\ &= \sum_{i+j=k-1} x^i y^j \mathbb{C}[x, y]^{C_k}. \end{aligned}$$

# The cyclic group

$$\mathbb{C}[x, y]^{\det} = x\mathbb{C}[x, y]^{C_k} + y\mathbb{C}[x, y]^{C_k},$$

$$\mathbb{C}[x, y]^{\det^{-1}} = \sum_{i+j=k-1} x^i y^j \mathbb{C}[x, y]^{C_k}.$$

We would have two possible choices to define  $q, t$ -Catalan numbers for the cyclic group  $C_k$ :

$$\text{Cat}^{(1)}(C_k; q, t) = q + t \quad \text{or}$$

$$\text{Cat}^{(1)}(C_k; q, t) = q^{k-1} + q^{k-2}t + \dots + qt^{k-2} + t^{k-1}.$$

- ▶ Both choices would be in contradiction to the previously shown conjectures!

# Alternating polynomials – Generalization

Let  $W$  be a real reflection group acting on  $V$ .

The **contragredient action** of  $W$  on  $V^* = \text{Hom}(V, \mathbb{C})$  is given by

$$\omega(\rho) := \rho \circ \omega^{-1}.$$

This gives an action of  $W$  on the symmetric algebra  $S(V^*) = \mathbb{C}[\mathbf{x}]$  and ‘doubling up’ this action gives a **diagonal action** on

$$\mathbb{C}[\mathbf{x}, \mathbf{y}] := \mathbb{C}[V \oplus V].$$

A polynomial  $f \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$  is called

- ▶ **invariant** if  $\omega(f) = f$  for all  $\omega \in W$ ,
- ▶ **alternating** if  $\omega(f) = \det(\omega) f$  for all  $\omega \in W$ .

# Alternating polynomials – Generalization

Let  $W$  be a **complex** reflection group acting on  $V$ .

The **contragredient action** of  $W$  on  $V^* = \text{Hom}(V, \mathbb{C})$  is given by

$$\omega(\rho) := \rho \circ \omega^{-1}.$$

This gives an action of  $W$  on the symmetric algebra  $S(V^*) = \mathbb{C}[\mathbf{x}]$  and 'doubling up' this action gives a **diagonal action** on

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# The cyclic group

The cyclic group  $C_k = \langle \zeta \rangle$  would act on  $\mathbb{C}[x, y] := \mathbb{C}[\mathbb{C} \oplus \mathbb{C}]$  by

$$\zeta(x^a y^b) = \zeta^a \cdot x^a \zeta^b \cdot y^b = \zeta^{a+b} \cdot x^a y^b.$$

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This gives

$$\mathbb{C}[x, y]^{C_k} = \text{span} \{x^a y^b : a \equiv b \pmod{k}\},$$

$$\begin{aligned} \mathbb{C}[x, y]^{\det} &= \text{span} \{x^a y^b : a + 1 \equiv b \pmod{k}\} \\ &= x\mathbb{C}[x, y]^{C_k} + y^{k-1}\mathbb{C}[x, y]^{C_k}, \end{aligned}$$

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$$\mathbb{C}[x, y]^{\det} = x\mathbb{C}[x, y]^{C_k} + y^{k-1}\mathbb{C}[x, y]^{C_k},$$

$$\mathbb{C}[x, y]^{\det^{-1}} = x^{k-1}\mathbb{C}[x, y]^{C_k} + y\mathbb{C}[x, y]^{C_k}.$$

Now, we have (beside interchanging the roles of  $q$  and  $t$ ) only the following choice:

$$\text{Cat}^{(1)}(C_k; q, t) := q + t^{k-1}.$$

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$$\text{Cat}^{(1)}(C_k; q, t) := q + t^{k-1}.$$

- ▶ The  $q$ -power equals the number of reflecting hyperplanes  $N^* = 1$  and the  $t$ -power equal the number of reflections  $N = k - 1$ . We have

$$\begin{aligned} q^N \text{Cat}^{(1)}(C_k; q, q^{-1}) &= q^{N^*} \text{Cat}^{(1)}(C_k; q^{-1}, q) \\ &= 1 + q^k = [2k]_q / [k]_q. \end{aligned}$$

# Final conjecture

## Conjecture

Let  $W$  be a well-generated complex reflection group. Then

$$\begin{aligned} q^{mN} \text{Cat}^{(m)}(W; q, q^{-1}) &= q^{mN^*} \text{Cat}^{(m)}(W; q^{-1}, q) \\ &= \prod_{i=1}^{\ell} \frac{[d_i + mh]_q}{[d_i]_q}, \end{aligned}$$

where

- ▶  $N$  is the number of reflections in  $W$  and where
- ▶  $N^*$  is the number of reflecting hyperplanes.