

## SELF-GENERATING SYSTEMS

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(Submitted September 1980)

Let  $S = a_1, a_2, \dots$ , and  $T = b_1, b_2, \dots$  be sequences of integers, and let  $g$  be an integer. Then  $gS$  and  $S + T$  denote the sequences  $ga_1, ga_2, \dots$  and  $a_1 + b_1, a_2 + b_2, \dots$ , respectively. Also  $\{S\}$  denotes the set  $\{a_1, a_2, \dots\}$ .

If the  $a_n$  of  $S$  are positive and strictly increasing, the characteristic sequence  $\chi S = c_1, c_2, \dots$  has  $c_n = 1$  when  $n$  is in  $\{S\}$  and  $c_n = 0$  otherwise. Also  $\Delta S$  denotes the sequence  $d_1, d_2, \dots$  with  $d_n = a_{n+1} - a_n$ .

**DEFINITION:** A system  $S_1, S_2, \dots, S_r$  of sequences of strictly increasing positive integers is *self-generating* if the sets  $\{S_1\}, \{S_2\}, \dots, \{S_r\}$  partition  $Z^+ = \{1, 2, 3, \dots\}$  and there is an  $r \times r$  matrix  $(d_{hk})$  with positive integral entries such that

$$\Delta S_h = d_{h1}(\chi S_1) + d_{h2}(\chi S_2) + \dots + d_{hr}(\chi S_r) \quad \text{for } 1 \leq h \leq r.$$

Hoggatt and Hillman in [2] and [3] used shift functions based on certain linear homogeneous recursions to obtain self-generating systems. In Theorem 5 of Section 7 below, we generalize on their work by increasing the set of recursions for which similar results follow. Examples are given in Section 8.

1. THE RECURSIVE SEQUENCE  $U$ 

In the following,  $d$  and  $p_1, p_2, \dots, p_d$  are fixed integers with  $d \geq 2$  and  $p_1 \geq p_2 \geq \dots \geq p_{d-1} \geq p_d = 1$ . Also  $u_n$  is defined for all integers  $n$  by initial conditions

$$u_1 = 1, u_0 = u_{-1} = u_{-2} = \dots = u_{2-d} = 0 \quad (1)$$

and the recursion

$$u_{n+d} = p_1 u_{n+d-1} + p_2 u_{n+d-2} + \dots + p_d u_n. \quad (2)$$

For each integer  $i$ , let  $U_i$  denote the sequence  $u_{i+1}, u_{i+2}, \dots$  and let  $U_0$  be written as  $U$ .

Hoggatt and Hillman obtained self-generating systems using such recursions for the case  $d = 2$  in [3] and for general  $d$  with  $p_1 = p_2 = \dots = p_d = 1$  in [2].

In the representations discussed below, we want  $U$  to be an increasing sequence of positive integers with 1 as the first term. This is clearly true when  $p_1 > 1$ . If  $p_1 = 1$ , then  $u_1 = u_2 = 1$  and one of these terms must be deleted; this is equivalent to changing the initial conditions (1) to the conditions  $u_h = 2^{h-1}$  for  $1 \leq h \leq d$  of [2]. Since the case  $p_1 = 1$  is that of [2], we avoid notational complications by assuming that  $p_1 > 1$  in what follows.

The representations introduced next are similar to those of the papers in the special January 1972 issue of this Quarterly as well as those of [2] and [3].

## 2. CANONICAL REPRESENTATIONS

Let  $N = \{0, 1, 2, \dots\}$ . If  $X = x_1, x_2, \dots$  and  $Y = y_1, y_2, \dots$  are sequences of numbers with  $x_n = 0$  for  $n > h$ , let

$$X \cdot Y = x_1 y_1 + x_2 y_2 + \dots + x_h y_h.$$

In this section the only properties of  $U = u_1, u_2, \dots$  needed are  $u_1 = 1$  and the fact that  $U$  is an increasing sequence of integers.

With respect to  $U$ , we define inductively for each  $m$  in  $N$  a sequence  $E_m = e_{m1}, e_{m2}, \dots$  of nonnegative integers as follows. Let all the terms of  $E_0$  be zero. Assume that  $E_h$  has been defined for  $0 \leq h < m$ . Since the  $u_n$  are unbounded and  $u_1 = 1 \leq m$ , there is a largest  $k$  such that  $u_k \leq m$ . For this  $k$ , let  $t = m - u_k$ . Then  $E_t$  is defined, and we let  $e_{mk} = 1 + e_{tk}$  and  $e_{mn} = e_{tn}$  for  $n \neq k$ . Clearly  $E_m \cdot U = m$ , i.e., we have the representation

$$m = e_{m1}u_1 + e_{m2}u_2 + \dots \quad (3)$$

It is also clear that when  $m = u_k$  with  $k \geq 1$ ,  $e_{mk} = 1$  and  $e_{ms} = 0$  for  $s \neq k$ .

For  $n \geq 2$ , let  $q_n$  and  $r_n$  be the integers (guaranteed by the division algorithm) such that

$$m - (e_{m,n+1}u_{n+1} + e_{m,n+2}u_{n+2} + \dots) = q_n u_n + r_n, \quad 0 \leq r_n < u_n.$$

Then the definition of  $E_m$  implies that

$$q_n = e_{mn} \quad \text{and} \quad r_n = e_{m1}u_1 + e_{m2}u_2 + \dots + e_{m,n-1}u_{n-1}.$$

Hence

$$e_{m1}u_1 + e_{m2}u_2 + \dots + e_{m,n-1}u_{n-1} < u_n \quad \text{for } n \geq 2. \quad (4)$$

We next show that (4) and the fact that each  $e_{mh}$  is a nonnegative integer characterize  $E_m$ .

**LEMMA 1:** Let  $E = e_1, e_2, \dots$  and  $E' = e'_1, e'_2, \dots$  be sequences of nonnegative integers with  $e_n = 0 = e'_n$  for  $n$  greater than some  $r$ . Also let

$$\begin{aligned}
 & e_1 u_1 + e_2 u_2 + \cdots + e_{n-1} u_{n-1} < u_n \\
 \text{and} \quad & e'_1 u_1 + e'_2 u_2 + \cdots + e'_{n-1} u_{n-1} < u_n \text{ for } n \geq 2 \quad (5)
 \end{aligned}$$

and  $E \cdot U = E' \cdot U$ . Then  $E = E'$ .

PROOF: Since  $e_n = 0 = e'_n$  for  $n > r$ ,  $E \neq E'$  implies that there is a largest  $n$  with  $e_n \neq e'_n$ , and we let  $t$  be this  $n$ . Without loss of generality, we let  $e_t < e'_t$ . Upon deletion of the equal terms in  $E \cdot U = E' \cdot U$ , we have

$$e_1 u_1 + \cdots + e_t u_t = e'_1 u_1 + \cdots + e'_t u_t.$$

Since  $u_1 = 1$ , this implies that  $t > 1$ . Then

$$\begin{aligned}
 u_t & \leq (e'_t - e_t) u_t = e'_t u_t - e_t u_t \\
 & = (e_1 u_1 + \cdots + e_{t-1} u_{t-1}) - (e'_1 u_1 + \cdots + e'_{t-1} u_{t-1}).
 \end{aligned}$$

Since each  $e'_n \geq 0$ , this implies that

$$u_t \leq e_1 u_1 + \cdots + e_{t-1} u_{t-1},$$

contradicting (5) and proving that  $E = E'$ .

The following definition introduces another characteristic property of the  $E_m$  which will be needed below.

DEFINITION: A sequence  $E = e_1, e_2, \dots$  is *compatible* [with respect to the recursion (2)] if, for any  $h$  in  $Z^+$  and any integer  $k$  with  $1 \leq k \leq d$ , the sequence of  $k$  differences

$$p_1 - e_{h+k-1}, p_2 - e_{h+k-2}, \dots, p_k - e_h \quad (6)$$

has the two following properties:

- I. If  $h = 1$  or  $k = d$ , at least one difference in (6) is nonzero.
- II. If some difference in (6) is nonzero, the first nonzero difference is positive.

THEOREM 1: For each  $m$  in  $Z^+$ ,  $E_m$  is compatible. Also if  $E = e_1, e_2, \dots$  is a compatible sequence with  $e_n = 0$  for  $n$  greater than some  $n_0$  and  $E \cdot U = m$  then  $E = E_m$ .

PROOF: We first show that  $E_m$  is compatible. Let  $E = E_m$ . If  $h = 1$  or  $k = d$  and all the differences in (6) were zero, then it would follow from (1) and (2) that

$$u_{h+k} = e_{h+k-1} u_{h+k-1} + e_{h+k-2} u_{h+k-2} + \cdots + e_h u_h.$$

Since this would contradict (4), we have shown that I holds.

To prove II, we assume it false and seek a contradiction. Then we can assume that in (6) the first nonzero difference is  $p_g - e_{h+k-g}$  and also that  $e_{h+k-g} \geq 1 + p_g$ . These assumptions would imply

$$\sum_{j=h}^{h+k-1} e_j u_j \geq \sum_{j=h+k-g}^{h+k-1} e_j u_j \geq u_{h+k-g} + \sum_{j=1}^g p_j u_{h+k-j}.$$

Here, if one uses the recursion (2) to replace  $u_{h+k-g}$  by  $\sum_{j=1}^d p_j u_{h+k-g-j}$ , one finds, since  $p_1 \geq p_2 \geq \dots \geq p_d$ , that

$$\begin{aligned} \sum_{j=h}^{h+k-1} e_j u_j &\geq \sum_{j=1}^d p_j u_{h+k-g-j} + \sum_{j=1}^g p_j u_{h+k-j} \\ &\geq \sum_{j=g+1}^d p_j u_{h+k-j} + \sum_{j=1}^g p_j u_{h+k-j} = u_{h+k}. \end{aligned}$$

This contradicts (4), and thus II holds, and  $E_m$  is compatible.

Second, assume that  $E$  is compatible, the desired  $n_0$  exists, and  $E \cdot U = m$ . It suffices to show that  $u_n > e_1 u_1 + e_2 u_2 + \dots + e_{n-1} u_{n-1}$  for  $n \geq 2$ , since this, the hypothesis  $E \cdot U = m$ , (4), and Lemma 1 imply that  $E = E_m$ . We prove these inequalities by induction on  $n$ . The hypotheses I and II with  $h = 1 = k$  imply that  $p_1 > e_1$ . Hence,  $u_2 = p_1 > e_1 = e_1 u_1$ , and the case  $n = 2$  is true. Assume that  $n > 2$  and that the desired inequalities are true for  $2, 3, \dots, n-1$ . Using I and II, one finds a  $k$  in  $\{1, 2, \dots, d\}$  such that

$$p_k \geq 1 + e_{n-k} \quad \text{and} \quad p_j = e_{n-j} \quad \text{for } 1 \leq j < k. \quad (7)$$

Using the hypothesis of the induction and  $n - k < n$ , one has

$$u_{n-k} > \sum_{j=1}^{n-k-1} e_j u_j. \quad (8)$$

Using (2), (7), and (8), one sees that

$$u_n = \sum_{j=1}^d p_j u_{n-j} \geq u_{n-k} + \sum_{j=1}^k e_{n-j} u_{n-j} > \sum_{j=1}^{n-k-1} e_j u_j + \sum_{j=1}^k e_{n-j} u_{n-j} = \sum_{j=1}^{n-1} e_j u_j.$$

This establishes the desired inequality for  $n$  and completes the proof of the theorem.

**LEMMA 2:** Let  $k \geq 1$ ,  $w = u_k$ . Also define the sequence  $F = f_1, f_2, \dots$  by

$$f_1 = p_r - 1, \text{ where } r \in \{1, 2, \dots, d\} \text{ and } r \equiv k - 1 \pmod{d};$$

$$f_n = 0 \text{ for } n \geq k;$$

$$f_n = 0 \text{ for } n \equiv k \pmod{d};$$

$$f_n = p_j \text{ when } k - n \equiv j \pmod{d}, 1 < n < k, \text{ and } n \not\equiv k \pmod{d}.$$

Then  $E_{w-1} = F$ .

PROOF: Obviously  $F$  is compatible. Since  $p_d = 1$ , repeated use of (2) gives

$$u_z = u_{z-qd} + \sum_{h=0}^{q-1} \sum_{k=1}^{d-1} p_k u_{z-hd-k} \text{ for } q \in Z^+. \quad (9)$$

Now let  $q \in N$ ,  $r \in \{1, 2, \dots, d\}$ , and  $z = qd + r + 1$ . Then

$$u_{z-qd} = u_{r+1} = p_1 u_r + p_2 u_{r-1} + \dots + p_r u_1$$

follows from (2). Hence, (9) can be rewritten as

$$u_z = u_{qd+r+1} = \sum_{h=0}^{q-1} \sum_{k=1}^{d-1} p_k u_{z-hd-k} + \sum_{k=1}^r p_k u_{r+1-k}. \quad (10)$$

Now,  $F \cdot U = w - 1$  follows from (10), and then Theorem 1 gives us the desired  $E_{w-1} = F$ .

### 3. PARTITIONING $Z^+$

Let  $m \in Z^+$ . Then  $e_{mk} \neq 0$  for some  $k$  and we define  $z_m$  as follows: if  $e_{m1} > 0$ ,  $z_m = 1$ , and if  $e_{m1} = 0$ , then  $z_m$  is the largest  $h$  such that  $e_{ms} = 0$  for  $1 \leq s < h$ . For  $1 \leq t \leq d$ , let  $V_t = \{m : z_m \equiv t \pmod{d}\}$ . Clearly,  $V_1, V_2, \dots, V_d$  form a partitioning of  $Z^+$ .

### 4. THE SHIFT FUNCTIONS $\sigma^i$

Let  $Z$  be the set of all integers. Recall that  $U_i$  denotes the sequence  $u_{i+1}, u_{i+2}, \dots$ . For each  $i$  in  $Z$ , let  $\sigma^i$  be the function from  $N$  to  $Z$  with

$$\sigma^i(m) = E_m \cdot U_i = e_{m1} u_{i+1} + e_{m2} u_{i+2} + \dots \text{ for all } m \text{ in } N.$$

The following properties are easy to verify:

- (i)  $\sigma^i(m)$  satisfies the recursion (2) for fixed  $m$  in  $N$  and varying  $i$ .
- (ii)  $\sigma^i(0) = 0$  for all  $i$  in  $Z$ .
- (iii)  $\sigma^i(u_k) = u_{k+i}$  for  $i$  in  $Z$  and  $k$  in  $Z^+$ .
- (iv)  $\sigma^{i+1}(m) = \sigma(\sigma^i(m))$  for  $m$  and  $i$  in  $N$ . The proof of this depends on

the fact that the canonical representation of  $\sigma^i(m)$  is, in fact,  $E_m$  shifted  $i$  times.

$$(v) \quad \sigma^0(m) = m \text{ for } m \text{ in } N.$$

### 5. DIFFERENCING $\sigma^i$

For  $i$  in  $Z$  and  $m$  in  $Z^+$ , let the backward difference  $\nabla\sigma^i(m)$  be defined by

$$\nabla\sigma^i(m) = \sigma^i(m) - \sigma^i(m-1) = E_m \cdot U_i - E_{m-1} \cdot U_i.$$

For  $i$  in  $Z$  and  $n$  in  $Z^+$ , let  $D_{in} = \nabla\sigma^i(u_n)$ . If  $u_n = w$ , then  $E_w = e_1, e_2, \dots$  with  $e_n = 1$  and  $e_t = 0$  for  $t \neq n$  and  $E_{w-1} = f_1, f_2, \dots, f_{n-1}, 0, 0, \dots$  with the  $f_j$  as described in Lemma 2. Then

$$D_{in} = u_{i+n} - \sum_{j=1}^{n-1} f_j u_{i+j}.$$

Let  $n \equiv k \pmod{d}$  with  $k$  in  $\{1, 2, \dots, d\}$ . Temporarily, let  $i \geq 2$ . Then, using (10) with  $z = i+n$ , the formulas of Lemma 2 for the  $f_j$ , and the recursion (2), one finds that

$$D_{in} = u_{i+1} \quad \text{if } k = 1, \tag{11}$$

and if  $k \neq 1$ ,

$$\begin{aligned} D_{in} &= u_{i+1} + p_k u_i + p_{k+1} u_{i-1} + \dots + p_d u_{i+k-d} \\ &= u_{i+1} + u_{i+k} - p_1 u_{i+k-1} - \dots - p_{k-1} u_{i+1}. \end{aligned} \tag{12}$$

For fixed  $n$  and varying  $i$ , the  $D_{in}$  satisfy the same recursion (2) as the  $u$ 's. Hence, the truth of (11) and (12) for  $i \geq 2$  implies these formulas for all integers  $i$ . In particular, these formulas imply the following lemma.

**LEMMA 3:**  $D_{in} = D_{ik}$  if  $n \equiv k \pmod{d}$ .

Next we show that  $\nabla\sigma^i(m)$  depends only on  $i$  and the  $k$  such that  $m \in V_k$ .

**THEOREM 2:** Let  $m \in V_k$ . Then  $\nabla\sigma^i(m) = D_{ik}$ .

**PROOF:** Let  $E_m = e_1, e_2, \dots$ . Since  $m \in V_k$ , there is a positive integer  $z$  such that  $z \equiv k \pmod{d}$ ,  $e_z > 0$ , and  $e_s = 0$  for  $1 \leq s < z$ . Let  $w = e_z$  and  $E_{w-1} = f_1, f_2, \dots, f_{z-1}, 0, 0, \dots$ . Using Theorem 1, one finds that

$$E_{m-1} = f_1, f_2, \dots, f_{z-1}, e_z - 1, e_{z+1}, e_{z+2}, \dots$$

and hence,

$$\nabla\sigma^i(m) = E_m \cdot U_i - E_{m-1} \cdot U_i = D_{iz}.$$

Then Lemma 3 implies that  $\nabla\sigma^i(m) = D_{ik}$  as desired.

The two following results are not needed for the main theorem (Theorem 5 below) but they generalize on work of [2] and [3].

**LEMMA 4:** For  $1 \leq i < d$ ,  $\nabla\sigma^{-i}(m)$  is 1 for  $m$  in  $V_{i+1}$  and is 0 otherwise.

**PROOF:** Temporarily, let  $k \neq 1$ . By Theorem 2 and (12), for  $m$  in  $V_k$ ,

$$\begin{aligned} \nabla\sigma^{-i}(m) &= u_{k-i} - p_1 u_{k-i-1} - \cdots - p_{k-1} u_{-i+1} + u_{-i+1} \\ &= (u_{k-i} - p_1 u_{k-i-1} - \cdots - p_{k-i} u_0) - p_{k-i+1} u_{-1} - \cdots \\ &\quad - p_{k-1} u_{-i+1} + u_{-i+1}. \end{aligned}$$

For  $k = i + 1$ , this becomes

$$\nabla\sigma^{-i}(m) = u_1 - p_1 u_0 - p_2 u_{-1} - \cdots - p_i u_{-i+1} + u_{-i+1} = u_1 = 1,$$

since

$$u_0 = u_{-1} = \cdots = u_{2-d} = 0.$$

For  $k \neq i + 1$ , i.e., for  $m$  not in  $V_k$ ,  $\nabla\sigma^{-i}(m) = 0$ , since

$$u_{k-i} = p_1 u_{k-i-1} + \cdots + p_{k-i} u_0$$

by (1) and (2). The same results are obtained for  $k = 1$  from (11).

**THEOREM 3:** Let  $|S|$  denote the number of elements in the set  $S$ . Then

$$(i) \quad \sigma^{-i}(m) = |V_{i+1} \cap \{1, 2, \dots, m\}| \text{ for } i = 1, 2, \dots, d-1.$$

$$(ii) \quad m - \sigma^{-1}(m) - \sigma^{-2}(m) - \cdots - \sigma^{-(d-1)}(m) = |V_1 \cap \{1, 2, \dots, m\}|.$$

**PROOF:** For (i),

$$\begin{aligned} \nabla\sigma^{-i}(1) + \nabla\sigma^{-i}(2) + \cdots + \nabla\sigma^{-i}(m) &= [\sigma^{-i}(1) - \sigma^{-i}(0)] + [\sigma^{-i}(2) - \sigma^{-i}(1)] \\ &\quad + \cdots + [\sigma^{-i}(m) - \sigma^{-i}(m-1)] \\ &= \sigma^{-i}(m) - \sigma^{-i}(0) = \sigma^{-i}(m). \end{aligned}$$

For fixed  $i$ , by Lemma 4,  $\nabla\sigma^{-i}(1) + \cdots + \nabla\sigma^{-i}(m)$  is the number of integers in  $V_{i+1} \cap \{1, 2, \dots, m\}$ . But the telescoping sum shows this to be  $\sigma^{-i}(m)$ . Part (ii) follows from (i).

6. A PARTITIONING OF  $N$ 

For  $i = 1, 2, \dots, d$  and  $j = 0, 1, \dots, p_i - 1$ , let  $B_{ij}$  be the sequence  $b_0, b_1, \dots$  with  $b_m = u_{i+1} + j - p_i + \sigma^i(m)$ . When the dependence of  $b_m$  on  $i$  and  $j$  has to be indicated, we will write  $b_m$  as  $b_{ijm}$ .

**THEOREM 4:** The  $p_1 + p_2 + \dots + p_d$  subsets  $\{B_{ij}\}$  partition  $N$ .

**PROOF:** Let  $s \in N$ . We need to show that there is a unique ordered triple  $(i, j, m)$  such that

$$s = u_{i+1} + j - p_i + \sigma^i(m). \quad (13)$$

Let  $E_s = e_1, e_2, \dots$  and for the sought after  $m$ , let  $E_m = f_1, f_2, \dots$ , i.e., let  $e_{sk} = e_k$  and  $e_{mk} = f_k$ . With this notation and using (1) and (2), one can rewrite (13) as

$$s = p_1 u_i + p_2 u_{i-1} + \dots + p_{i-1} u_2 + p_i u_1 + j - p_i + f_1 u_{i+1} + f_2 u_{i+2} + \dots$$

Since  $u_1 = 1$ ,  $p_i u_1 + j - p_i = j u_1$  and the equation takes the form

$$s = j u_1 + p_{i-1} u_2 + p_{i-2} u_3 + \dots + p_1 u_i + f_1 u_{i+1} + f_2 u_{i+2} + \dots \quad (14)$$

Using the condition of Theorem 1 that  $E_m = f_1, f_2, \dots$  must be compatible, together with the fact that  $j \leq p_i - 1$ , one sees that the sequence

$$S = j, p_{i-1}, p_{i-2}, \dots, p_1, f_1, f_2, \dots$$

must be compatible. Since the right side of (14) is  $S \cdot U$ , Theorem 1 (with  $m$  replaced by  $s$ ) tells us that (13) is equivalent to  $S = E_s$ .

If there is no  $i$  with  $2 \leq i \leq d$  and

$$(p_1, p_2, \dots, p_{i-1}) = (e_i, e_{i-1}, \dots, e_2) \quad (15)$$

then the sequence  $e_2, e_3, \dots$  is compatible and  $E_s = S$  holds if and only if  $i = 1$ ,  $j = e_1$ , and the sequence  $e_2, e_3, \dots$  is the sequence  $f_1, f_2, \dots$ .

Now assume that (15) holds for some  $i$  in  $\{2, 3, \dots, d\}$  but not for any larger integer in this set. We wish to show that the sequence

$$e_{i+1}, e_{i+2}, \dots \quad (16)$$

is compatible. Since  $e_1, e_2, \dots$  is compatible, (16) can fail to be compatible only if there is an integer  $g$  with

$$(p_1, p_2, \dots, p_g) = (e_{i+g}, e_{i+g-1}, \dots, e_{i+1}) \text{ and } i \leq g < d. \quad (17)$$

Then condition II (of the definition of a compatible sequence) with  $h = i$  and

$k = 1 + g$  would imply that  $e_i \leq p_{g+1}$ . If  $e_i < p_{g+1}$ , (15) gives us the contradiction  $p_1 = e_i < p_{g+1} \leq p_1$ . Now condition I implies that  $g + 1 < d$ . Also  $e_i = p_{g+1}$  similarly implies that  $p_1 = p_2 = \dots = p_{g+1}$ . This, (17), and the equality  $p_1 = e_i$  from (15) would give us

$$(p_1, p_2, \dots, p_{g+1}) = (e_{i+g}, e_{i+g-1}, \dots, e_i).$$

As before, condition II with  $h = i - 1$  and  $k = 2 + g$  implies that  $p_{g+2} = p_1$ , and hence that

$$(p_1, p_2, \dots, p_{g+2}) = (e_{i+g}, e_{i+g-1}, \dots, e_{i-1}).$$

This process would continue until we had

$$(p_1, p_2, \dots, p_{i+g-1}) = (e_{i+g}, e_{i+g-1}, \dots, e_2),$$

which contradicts the fact that the  $i$  in (15) is maximal.

Hence  $e_{i+1}, e_{i+2}, \dots$  satisfies I and II and so is compatible. Then  $E_s = S$  holds if and only if  $i$  is the maximal  $i$  for (15),  $j = e_1$ , and

$$f_1, f_2, \dots = e_{i+1}, e_{i+2}, \dots$$

This completes the proof.

## 7. SELF-GENERATING SYSTEM

For  $i = 1, 2, \dots, d$  and  $j = 1, 2, \dots, p_i$ , let  $A_{ij}$  be the sequence

$$a_{ij1}, a_{ij2}, \dots$$

with  $a_{ijm} = 1 + b_{i,j-1,m-1}$  (the  $b$ 's are as in Section 6). When both  $i$  and  $j$  are known from the context, we may write  $a_{ijm}$  as  $a_m$ .

**THEOREM 5:** *The sequences  $A_{ij}$  for  $1 \leq i \leq d$  and  $1 \leq j \leq p_i$  form a self-generating system.*

**PROOF:** From the definition of the sets  $\{B_{i,j-1}\}$  in Section 6 and  $V_k$  in Section 3, it follows that

$$V_1 = \{A_{d1}\} \cup T, \tag{18}$$

where  $T$  is the union of the  $\{A_{ij}\}$  for  $1 \leq i < d$  and  $1 \leq j < p_i$ , and that

$$V_{h+1} = \{A_{h,p_h}\} \text{ for } h = 1, 2, \dots, d - 1.$$

Since the  $\{B_{ij}\}$  form a partition of  $N$  (or, equivalently, since the  $V$ 's partition  $Z^+$ ), the  $\{A_{ij}\}$  partition  $Z^+$ . Since  $b_{ijm} = u_{i+1} + j - p_i + \sigma^i(m)$ ,

$$\begin{aligned}\nabla b_{ijm} &= b_{i,j,m} - b_{i,j,m-1} = (u_{i+1} + j - p_i + \sigma^i(m)) - (u_{i+1} + j - p_i + \sigma^i(m-1)) \\ &= \sigma^i(m) - \sigma^i(m-1) = \\ &= \nabla \sigma^i(m).\end{aligned}$$

Then by Theorem 2 we have

$$\nabla b_{ijm} = \nabla \sigma^i(m) = D_{ik} \text{ if } m \in V_k.$$

Since  $\alpha_{ijm} = 1 + b_{i,j-1,m-1}$ ,  $\Delta A_{ij}$  is the sequence  $d_1, d_2, \dots$  with

$$d_m = \alpha_{i,j,m+1} - \alpha_{i,j,m} = b_{i,j-1,m} - b_{i,j-1,m-1} = D_{ik}$$

when  $m \in V_k$ . Since each  $V_k$  is an  $\{A_{ij}\}$  or a union of  $\{A_{ij}\}$ ,

$$\Delta A_{ij} = \sum_{\substack{1 \leq h \leq d \\ 1 \leq k \leq p_h}} d_{ijhk} \chi_{A_{hk}}$$

where  $d_{ijhk} = D_{is}$  when  $\{A_{hk}\}$  is a subset of  $V_s$ .

### 8. EXAMPLE

For  $d = 3$  and  $p_1 = p_2 = 3, p_3 = 1$ , we have  $u_{n+3} = 3u_{n+2} + 3u_{n+1} + u_n$  and  $U = 1, 3, 12, 46, 177, \dots$ . As an illustration of the canonical representation in Section 1, for  $m = 136$ , we have  $E_m = 2, 2, 3, 2, 0, 0, \dots$  and  $\sigma(m) = 2u_2 + 2u_3 + 3u_4 + 2u_5 = 522$ . The following is a table of the  $\sigma^i(m)$  for the  $i$ 's involved in Theorem 5.

$m$	0	1	2	3	4	5	6	7	8	9	10	11	12
$\sigma(m)$	0	3	6	12	15	18	24	27	30	36	39	42	46
$\sigma^2(m)$	0	12	24	46	58	70	92	104	116	138	150	162	177
$\sigma^3(m)$	0	46	92	177	223	269	354	...					

The  $p_1 + p_2 + p_3 = 7$  subsets partitioning  $Z^+$  are:

$$\begin{aligned}\{A_{11}\} &= \{\sigma(m) + 1\} = \{1, 4, 7, 13, 16, 19, 25, 28, 31, 37, 40, \dots\} \\ \{A_{12}\} &= \{\sigma(m) + 2\} = \{2, 5, 8, 14, 17, 20, 26, 29, 32, 38, 41, \dots\} \\ \{A_{13}\} &= \{\sigma(m) + 3\} = \{3, 6, 9, 15, 18, 21, 27, 30, 33, 39, 42, \dots\} \\ \{A_{21}\} &= \{\sigma^2(m) + 10\} = \{10, 22, 34, 56, 68, 80, 102, \dots\} \\ \{A_{22}\} &= \{\sigma^2(m) + 11\} = \{11, 23, 35, 57, 69, 81, 103, \dots\} \\ \{A_{23}\} &= \{\sigma^2(m) + 12\} = \{12, 24, 36, 58, 70, 82, 104, \dots\}\end{aligned}$$

and

$$\{A_{31}\} = \{\sigma^3(m) + 46\} = \{46, 92, 138, 223, \dots\}.$$

The following is a table of  $D_{ik}$  for  $-2 \leq i \leq 3$  and  $1 \leq k \leq 3$ .

$k \backslash i$	-2	-1	0	1	2	3
1	0	0	1	3	12	46
2	0	1	1	6	22	85
3	1	0	1	4	15	58

Since  $V_1 = A_{11} \cup A_{12} \cup A_{21} \cup A_{22} \cup A_{31}$ ,  $V_2 = A_{13}$ , and  $V_3 = A_{23}$ , we have

$$\begin{aligned} \Delta A_{1j} &= D_{11}(\chi A_{11}) + D_{11}(\chi A_{12}) + D_{12}(\chi A_{13}) + D_{11}(\chi A_{21}) \\ &\quad + D_{11}(\chi A_{22}) + D_{13}(\chi A_{23}) + D_{11}(\chi A_{31}) \end{aligned}$$

$$\begin{aligned} \Delta A_{2j} &= D_{21}(\chi A_{11}) + D_{21}(\chi A_{12}) + D_{22}(\chi A_{13}) + D_{21}(\chi A_{21}) \\ &\quad + D_{21}(\chi A_{22}) + D_{23}(\chi A_{23}) + D_{21}(\chi A_{31}) \end{aligned}$$

$$\begin{aligned} \Delta A_{3j} &= D_{31}(\chi A_{11}) + D_{31}(\chi A_{12}) + D_{32}(\chi A_{13}) + D_{31}(\chi A_{21}) \\ &\quad + D_{31}(\chi A_{22}) + D_{33}(\chi A_{23}) + D_{31}(\chi A_{31}) \end{aligned}$$

and the  $7 \times 7$  matrix  $(d_{hk})$  for the self-generating system  $A_{11}, A_{12}, A_{13}, A_{21}, A_{22}, A_{23}, A_{31}$  is

$$\begin{pmatrix} 3 & 3 & 6 & 3 & 3 & 4 & 3 \\ 3 & 3 & 6 & 3 & 3 & 4 & 3 \\ 3 & 3 & 6 & 3 & 3 & 4 & 3 \\ 12 & 12 & 22 & 12 & 12 & 15 & 12 \\ 12 & 12 & 22 & 12 & 12 & 15 & 12 \\ 12 & 12 & 22 & 12 & 12 & 15 & 12 \\ 46 & 46 & 85 & 46 & 46 & 58 & 46 \end{pmatrix}$$

As an illustration of Theorem 3(i), with  $i = 1$  and  $m = 20$ ,

$$\begin{aligned} \sigma^{-1}(20) &= \sigma^2(20) - 3\sigma(20) - 3\sigma^0(20) \\ &= 2u_3 + 2u_4 + u_5 - 3(2u_2 + 2u_3 + u_4) - 60 \\ &= 5 = |V_2 \cap \{1, 2, \dots, 20\}|, \end{aligned}$$

where  $V_2 = \{n : z_n \equiv 2 \pmod{3}\} = \{3, 6, 9, 15, 18\}$  since the only sequences  $E_n$ , with  $n \leq 20$  and  $z_n \equiv 2 \pmod{3}$  are:

$$E_3 = 0, 1, 0, 0, \dots$$

$$E_6 = 0, 2, 0, 0, \dots$$

$$E_9 = 0, 3, 0, 0, \dots$$

$$E_{15} = 0, 1, 1, 0, \dots$$

$$E_{18} = 0, 2, 1, 0, \dots$$

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4. See the special issue of *The Fibonacci Quarterly* (Vol. 10, No. 1 [1972]) on Representations.

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