#### Mac Lane and Factorization

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- Saunders Mac Lane
- Duality for groups
- Bulletin for the American Mathematical Society **56** (1950) 485-516

- Saunders Mac Lane
- Groups, categories and duality
- Bulletin of the National Academy of Sciences USA 34 (1948) 263-267)

tion, we axiomatize the terms "injection homomorphism of a subgroup into a larger group" and "projection homomorphism of a group onto a quotient group." We can then define homomorphisms onto and isomorphisms into as "supermaps" and "submaps." respectively.

Definition. A bicategory\* C is a category with two given subclasses of mappings, the classes of "injections"  $(\kappa)$  and "projections"  $(\pi)$  subject to the axioms BC-0 to BC-6 below."

BC-0. A mapping equal to an injection (projection) is itself an injection (projection).

BC-1. Every identity of C is both an injection and a projection.

BC-2. If the product of two injections (projections) is defined, it is an injection (projection).

BC-3. (Canonical decomposition). Every mapping  $\alpha$  of the bicategory can be represented uniquely as a product  $\alpha = \kappa \theta \pi$ , in which  $\kappa$  is an injection,  $\theta$  an equivalence, and  $\pi$  a projection.

Any mapping of the form  $\lambda = \mathbf{s}\theta$  (that is, any mapping with  $\pi$  equal to an identity in the canonical decomposition) is called a submap; any mapping of the form  $\rho = \theta \pi$  is called a subermap.

BC-4. If the product of two submaps (supermaps) is defined, it is a

submap (supermap).

Any product  $\kappa_1\pi_1 \cdots \kappa_n\pi_n$  of injections  $\kappa_i$  and projections  $\pi_i$  is

called an idemmap.

BC-5. If two idemmaps have the same range and the same domain.

they are equal. BC-6. For each object A, the class of all injections with range A is a set, and the class of all projections with domain A is a set.

The inclusion relations between the various classes of mappings can be represented by the following Hasse diagram.



\* The term "bicategory" was suggested by Professor Grace Rose,

7 In the preliminary announcement [16], axiom BC-6 did not appear, and axiom BC-5 was present only in weaker form. jections, projections, identities, and their products. When so formulated, it has a definite dual, but note that there may be several such formulations which lead to essentially different duals. For example, "Q is a quotient group of G" (that is, there is a projection with domain G and range Q) is equivalent to "Q is a conormal quotient group of G." The duals—"M is a subgroup of G" and "M is a normal subgroup of G"-are not equivalent.

11. Partial order in a bicategory. The axioms (especially axiom BC-5) suffice to introduce a relation of partial order (under "inclu $sion^n$ ) in the objects of a bicategory. We define a mapping  $\beta$  to be left cancellable in a category if  $\beta\alpha_1 = \beta\alpha_2$  always implies  $\alpha_1 = \alpha_2$ , and left invertible if  $\beta$  has a left inverse  $\gamma$ , with  $\gamma\beta=I_{D(\beta)}$ . One may readily prove, in succession, the following results.

Lemma 11.1. Two injections  $\kappa_1$  and  $\kappa_2$  such that  $\kappa_1\kappa_2$  is an identity are themselves identities.

LEMMA 11.2. Every right factor of a submapping is a submapping.

Lemma 11.3. If  $\alpha\beta$  is an identity,  $\alpha$  is a supermap and  $\beta$  a submap.

LEMMA 11.4. Every left invertible mapping is a submap, and every submap is left cancellable.

THEOREM 11.5. The class of objects in a bicategory is partially ordered by either of the relations

(11.1)  $S \subset B$  if and only if there is an injection  $\kappa: S \rightarrow B$ ; (11.1')  $Q \le A$  if and only if there is a projection  $\pi: A \rightarrow Q$ .

If  $S \subset B$ , we call S a subobject of B, while if  $Q \subseteq A$ , Q is a quotientobject of A, the terms corresponding to those in group theory. By axiom BC-5 the mappings K and which appear in the dual definitions (11.1) and (11.1') are unique; it is more suggestive to denote

them as
$$(11.2) \qquad \kappa = [B \supset S]: S \to B; \qquad \pi = [Q \le A]: A \to Q.$$

Thus  $[B\supset S]$  is a mapping, defined precisely when  $S\subset B$  and is then an injection; every injection has this form. The notation is so chosen that

that 
$$(11.3) \quad [B \supset S][S \supset T] = [B \supset T], \quad [R \le Q][Q \le A] = [R \le A],$$

by BC-5, whenever the terms on the left are defined.

In examining prospective examples of bicategories, it is easier to formulate the axioms directly in terms of these constructions on the objects.

## A brief history of factorization systems

- Mac Lane 1948/1950
- Isbell 1957/1964
- Quillen 1967
- Kennison 1968
- Kelly 1969
- Ringel 1970/1971
- Freyd-Kelly 1972
- Pumplün 1972

## (Orthogonal) factorization system $(\mathcal{E}, \mathcal{M})$ in $\mathcal{C}$

(FS\*1&2) 
$$\mathcal{E} = ^{\perp} \mathcal{M}, \mathcal{M} = \mathcal{E}^{\perp}$$
  
(FS\*3)  $\mathcal{C} = \mathcal{M} \cdot \mathcal{E}$ 

- (FS\*1) Iso  $\cdot \mathcal{E} \subseteq \mathcal{E}, \mathcal{M} \cdot \text{Iso} \subseteq \mathcal{M}$
- (FS\*2)  $\mathcal{E} \perp \mathcal{M}$
- (FS\*3)  $\mathcal{C} = \mathcal{M} \cdot \mathcal{E}$



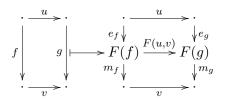
#### Alternative characterization

$$\begin{array}{ll} (\mathrm{FS1}) & \mathrm{Iso} \subseteq \mathcal{E} \cap \mathcal{M} \\ (\mathrm{FS2}) & \mathcal{E} \cdot \mathcal{E} \subseteq \mathcal{E}, \mathcal{M} \cdot \mathcal{M} \subseteq \mathcal{M} \\ (\mathrm{FS3}) & \mathcal{C} = \mathcal{M} \cdot \mathcal{E} \\ (\mathrm{FS3!}) & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & &$$

## Strict factorization system $(\mathcal{E}_0, \mathcal{M}_0)$ in $\mathcal{C}$ (M. Grandis)

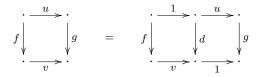
(SFS1) 
$$\operatorname{Id} \subseteq \mathcal{E}_0 \cap \mathcal{M}_0$$
  
(SFS2)  $\mathcal{E}_0 \cdot \mathcal{E}_0 \subseteq \mathcal{E}_0, \mathcal{M}_0 \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0$   
(SFS3)  $\mathcal{C} = \mathcal{M}_0 \cdot \mathcal{E}_0$   
(SFS3!)

## "Higher" Justification:



- $F: \mathcal{C}^2 \to \mathcal{C} \iff$  Eilenberg-Moore structure w.r.t.  $\square^2$
- lacktriangleright fs  $\iff$  normal pseudo-algebras (Coppey, Korostenski-Tholen)
- sfs ⇔ strict algebras (Rosebrugh-Wood)

## Free structure on $\mathcal{C}^2$

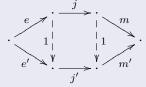


## Mac Lane again:

(BC1) 
$$\operatorname{Id} \subseteq \mathcal{E}_0 \cap \mathcal{M}_0$$

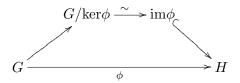
(BC2) 
$$\mathcal{E}_0 \cdot \mathcal{E}_0 \subseteq \mathcal{E}_0, \mathcal{M}_0 \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0$$

(BC3) 
$$\mathcal{C} = \mathcal{M}_0 \cdot \text{Iso} \cdot \mathcal{E}_0$$



(BC4) 
$$\mathcal{E}_0 \cdot \text{Iso} \subseteq \text{Iso} \cdot \mathcal{E}_0, \text{Iso} \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0 \cdot \text{Iso}$$

(BC5) 
$$\left| \overline{\mathcal{M}_0 \cdot \mathcal{E}_0} \cap \mathcal{C}(A, B) \right| \le 1$$



lacksquare epimorphisms from  $G \iff$  congruences on G

### $\mathsf{Set}^\sim$

objects: sets X with equivalence relation  $\sim_X$ 

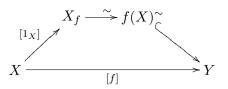
morphisms:  $[f]: X \to Y$ 

 $x \sim_X x' \implies f(x) \sim_Y f(x')$ 

 $f \sim g \iff \forall x \in X : f(x) \sim_Y g(x)$ 

closure:  $Z \subseteq X, Z^{\sim} = \{x \in X \mid \exists z \in Z : x \sim_X z\}$ 

compare: Freyd completion!



$$x \sim_f x' \iff f(x) \sim_Y f(x')$$

$$\mathcal{E}_0 = \{[1_X] : X \to X' \mid \sim_X \subseteq \sim_{X'}\}$$

$$\mathcal{M}_0 = \{[Z \hookrightarrow Y] \mid Z^\sim = Z\}$$

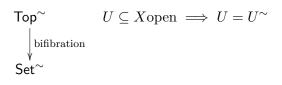
$$[f] \text{ mono } \iff \sim_X = \sim_f$$

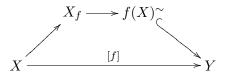
$$[f] \text{ epi } \iff f(X)^\sim = Y$$

$$\text{Epi} \cap \text{Mono} = \text{Iso } \iff AC$$

$$\iff \text{Epi} = \text{SplitEpi}$$

- $\blacksquare$   $\mathsf{Grp}^{\sim} = \mathsf{Grp}(\mathsf{Set}^{\sim})$
- groups with a congruence relation
- homomorphisms "up to congruence"
- $\mathsf{Grp}^{\sim} \to \mathsf{Set}^{\sim} \text{ reflects isos}$





Mac Lane:  $U \subseteq X_f$  open  $\iff \exists V \subseteq Y$  open :  $U = f^{-1}(V)$ Better:  $U \subseteq X_f$  open  $\iff \exists V = V^{\sim} \subseteq Y : U = f^{-1}(V)$  open

## Double factorization system $(\mathcal{E}_0, \mathcal{J}, \mathcal{M}_0)$ in $\mathcal{C}$

$$(e,j)\bot(k,m) \qquad \begin{array}{c} \vdots \xrightarrow{u} \\ e \bigvee \stackrel{!w}{\swarrow} \bigvee_{k} \\ \vdots \\ \downarrow \downarrow \stackrel{!z}{\swarrow} \bigvee_{k} \\ \downarrow m \\ \vdots \\ v \end{array}$$

(DFS\*1) Iso 
$$\cdot \mathcal{E}_0 \subseteq \mathcal{E}_0$$
, Iso  $\cdot \mathcal{J} \cdot \text{Iso} \subseteq \mathcal{J}$ ,  $\mathcal{M}_0 \cdot \text{Iso} \subseteq \mathcal{M}_0$ 

(DFS\*2) 
$$(\mathcal{E}_0, \mathcal{J}) \perp (\mathcal{J}, \mathcal{M}_0)$$

(DFS\*3) 
$$\mathcal{C} = \mathcal{M}_0 \cdot \mathcal{J} \cdot \mathcal{E}_0$$

$$(\mathcal{E}, \mathcal{M})$$
 fs  $\iff$   $(\mathcal{E}, \mathrm{Iso}, \mathcal{M})$  dfs



#### Alternative characterization

(DFS1) Iso 
$$\subseteq \mathcal{E}_0 \cap \mathcal{J} \cap \mathcal{M}_0$$

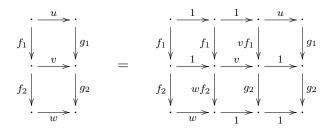
$$(\mathrm{DFS2}) \quad \mathcal{E}_0 \cdot \mathcal{E}_0 \subseteq \mathcal{E}_0, \mathcal{J} \cdot \mathcal{J} \subseteq \mathcal{J}, \mathcal{M}_0 \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0$$

(DFS3) 
$$\mathcal{C} = \mathcal{M}_0 \cdot \mathcal{J} \cdot \mathcal{E}_0$$

(DFS4) 
$$\mathcal{J} \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0 \cdot \mathcal{J}, \mathcal{E}_0 \cdot \mathcal{J} \subseteq \mathcal{J} \cdot \mathcal{E}_0$$

$$(\mathcal{E}_0, \mathcal{J}, \mathcal{M}_0) dfs \iff (\mathcal{E}_0, \mathcal{M}_0 \cdot \mathcal{J}), (\mathcal{J} \cdot \mathcal{E}_0, \mathcal{M}_0) fs$$
  
 $\mathcal{J} = \mathcal{J} \cdot \mathcal{E}_0 \cap \mathcal{M}_0 \cdot \mathcal{J}$ 

## Free structure on $C^3$ :



$$\begin{split} & (\mathcal{E}_0, \mathcal{J}, \mathcal{M}_0) & \leftrightarrow & (\mathcal{E}, \mathcal{W}, \mathcal{M}) \\ & \mathcal{E}_0 = \mathcal{E} \cap \mathcal{W} & \mathcal{E} = \mathcal{J} \cdot \mathcal{E}_0 \\ & \mathcal{J} = \mathcal{E} \cap \mathcal{M} & \mathcal{W} = \mathcal{M}_0 \cdot \mathcal{E}_0 \\ & \mathcal{M}_0 = \mathcal{M} \cap \mathcal{W} & \mathcal{M} = \mathcal{M}_0 \cdot \mathcal{J}_0 \end{split}$$

- $\mathcal{W}$  is closed under retracts in  $\mathcal{C}^3$ .
- When does W have the 2-out-of-3 property?

# Double factorization systems $(\mathcal{E}_0, \mathcal{J}, \mathcal{M}_0)$ :

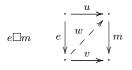
$$(\mathcal{E}_0, \mathcal{M}_0 \cdot \mathcal{J}), (\mathcal{J} \cdot \mathcal{E}_0, \mathcal{M}_0) \text{ fs},$$
  
 $\mathcal{E}_0 \cdot \mathcal{M}_0 \subseteq \mathcal{M}_0 \cdot \mathcal{E}_0,$   
 $ej \in \mathcal{E}_0, e \in \mathcal{E}_0, j \in \mathcal{J} \implies j \text{ iso},$   
 $jm \in \mathcal{M}_0, m \in \mathcal{M}_0, j \in \mathcal{J} \implies j \text{ iso}.$ 

# "Quillen factorization systems" $(\mathcal{E}, \mathcal{W}, \mathcal{M})$ :

$$(\mathcal{E} \cap \mathcal{W}, \mathcal{M}), (\mathcal{E}, \mathcal{M} \cap \mathcal{W})$$
 fs,  $\mathcal{W}$  has 2-out-of-3 property.

(Pultr-Tholen 2002)

## Weak factorization system $(\mathcal{E}, \mathcal{M})$ in $\mathcal{C}$



(WFS\*1&2) 
$$\mathcal{E} = \square \mathcal{M}, \mathcal{M} = \mathcal{E}^{\square}$$
  
(WFS\*3)  $\mathcal{C} = \mathcal{M} \cdot \mathcal{E}$ 

- (WFS\*1a)  $gf \in \mathcal{E}, g$  split mono  $\Longrightarrow f \in \mathcal{E}$
- (WFS\*1b)  $gf \in \mathcal{M}, f$  split epi  $\Longrightarrow g \in \mathcal{M}$ 
  - (WFS\*2)  $\mathcal{E} \square \mathcal{M}$
  - (WFS\*3)  $\mathcal{C} = \mathcal{M} \cdot \mathcal{E}$



## (Mono,Epi) in Set

- (Mono, Mono  $\square$ ) wfs in  $\mathcal{C}$  with binary products and enough injectives
- $\blacksquare$  (II, SplitEpi) wfs in every lextensive category  $\mathcal C$

 $\begin{array}{ccc} \text{fs} & \Longrightarrow & \text{wfs} \\ & \mathcal{E}^{\square} \text{:} & \text{closed under composition, direct products} \\ & & \text{stable under pullback, intersection} \end{array}$ 

If  $\mathcal C$  has kernelpairs, any of the following will make a wfs  $(\mathcal E,\mathcal M)$  an fs:

- lacksquare  $\mathcal{M}$  closed under any type of limit
- $gf \in \mathcal{M}, g \in \mathcal{M} \implies f \in \mathcal{M}$
- $gf = 1, g \in \mathcal{M} \implies f \in \mathcal{M}$

## Cassidy-Hébert-Kelly (1985), Ringel (1970)

#### $\mathcal{C}$ finitely well-complete

- $\blacksquare$  reflective subcategories of  $\mathcal{C}$  (full, replete)
- factorization systems  $(\mathcal{E}, \mathcal{M})$  with  $gf \in \mathcal{E}, g \in \mathcal{E} \implies f \in \mathcal{E}$

$$(\mathcal{E},\mathcal{M}) \mapsto \mathcal{F}(\mathcal{M}) = \{B \in \mathcal{C} \mid (B \to 1) \in \mathcal{M}\}$$

 $\mathcal{F}$  reflective in finitely complete  $\mathcal{C}$  with reflection  $\rho: 1 \to R$ 

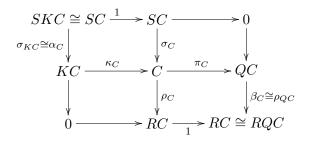
$$(\mathcal{E},\mathcal{M}) = \left(R^{-1}(\mathrm{Iso}),\mathrm{Cart}(R,\rho)\right) \text{ fs } \iff \begin{array}{c} \forall f:A \to B: \\ \left(A \xrightarrow{(\rho_A,f)} RA \times_{RB} B\right) \in \mathcal{E} \end{array}$$
 
$$\mathcal{E} \text{ stable under pb along } \mathcal{M} \iff \mathcal{F} = \mathcal{F}(\mathcal{M}) \text{ semilocalization}$$
 
$$\mathcal{E} \text{ stable under pullback} \iff \mathcal{F} = \mathcal{F}(\mathcal{M}) \text{ localization}$$

### $\mathcal{C}$ with 0

$$(\mathcal{E},\mathcal{M}) \text{ torsion theory} \quad \Longleftrightarrow \quad (\mathcal{E},\mathcal{M}) \text{ fs},$$
 
$$\mathcal{E},\mathcal{M} \text{ have 2-out-of-3 property}$$

$$\mathcal{F}(\mathcal{M}) = \{B \mid (B \to 0) \in \mathcal{M}\}\$$
  
 $\mathcal{T}(\mathcal{E}) = \{A \mid (0 \to A) \in \mathcal{E}\}\$ 

#### $\mathcal{C}$ with kernels and cokernels



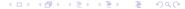
$$C \in \mathcal{F}(\mathcal{M}) \iff SC = 0 \iff KC = 0$$
  
 $C \in \mathcal{T}(\mathcal{E}) \iff RC = 0 \iff QC = 0$ 

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$$\alpha_C$$
 iso  $\iff \beta_C$  iso  $\iff \pi_C \kappa_C = 0$   
 $(\mathcal{E}, \mathcal{M})$  simple  $\implies (\mathcal{E}, \mathcal{M})$  normal

 $\mathcal{C}$  homological,  $\mathcal{C}^{\text{op}}$  homological: normal torsion theories  $(\mathcal{E}, \mathcal{M}) \iff$  standard torsion theories  $(\mathcal{T}, \mathcal{F})$ 

$$0 \to T \to C \to F \to 0$$
$$\mathcal{C}(\mathcal{T}, \mathcal{F}) = 0$$



## Example

C: abelian groups with  $(4x = 0 \implies 2x = 0)$ 

 $\mathcal{F}$ : abelian groups with 2x = 0

