

From incompleteness of static theories to completeness of dynamic beliefs in people and in bots

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Outline

Static incompleteness and dynamic completeness

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Frege,..., Tarski: soundness, completeness

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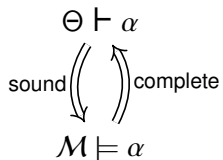
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$$\begin{array}{ccc} \Theta \vdash \alpha & & \\ \text{sound} \left(\begin{array}{c} \downarrow \\ \uparrow \end{array} \right) & & \text{complete} \\ \mathcal{M} \models \alpha & & \end{array}$$

Hilbert: “Wir müssen wissen.”

Frege,..., Tarski: soundness, completeness

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Hilbert: “Wir müssen wissen.”

Brouwer: Mathematical logic is unsound for $\gamma \vee \neg\gamma$

Frege,..., Tarski: soundness, completeness

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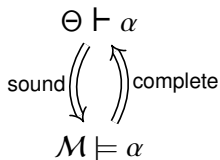
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Hilbert: “Wir müssen wissen.”

Brouwer: Mathematical logic is unsound for $\gamma \vee \neg\gamma$

Gödel: Peano arithmetic is incomplete for $\gamma \vee \neg\gamma$

Gödel's incompleteness claim

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universal language $\mathbb{L} \implies \exists \varphi. \mathcal{M} \models \varphi \wedge \Theta \not\models \varphi$

Belief completeness claim

$$\left. \begin{array}{l} \text{universal language } \mathbb{L} \\ + \\ \text{belief updating} \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} \forall X\text{-process } \mathcal{M} \\ \exists X\text{-beliefs } \Theta \text{ in } \mathbb{L} \\ \forall \text{ state } x \text{ in } X. \\ \mathcal{M}_x \models \alpha \iff \Theta_{\mathcal{M}_x} \vdash \alpha \end{array} \right.$$

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Belief completeness claim

$$\left. \begin{array}{l} \text{universal language } \mathbb{L} \\ + \\ \text{belief updating} \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} \forall x: X \\ \forall X \xleftarrow{\mathcal{M}_x} A \xrightarrow{[x]-} B \\ \exists \Theta_x: \mathbb{L}. \\ [\mathcal{M}_x] \alpha \models \beta \\ \Updownarrow \\ \{\Theta_x\}'' \alpha \vdash \beta \\ \wedge \\ \{\Theta_x\}' \alpha = \Theta_{\mathcal{M}_x \alpha} \end{array} \right.$$

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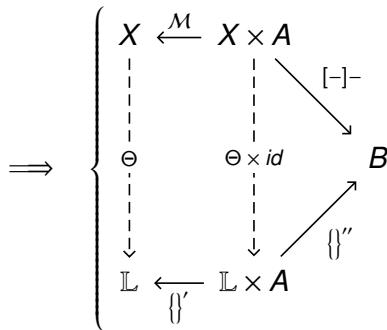
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Belief completeness claim

universal language $\mathbb{L}$
+
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is a type $\mathbb{L}$ equipped with for each pair A, B an *interpreter*

$$\{\}: \mathbb{L} \times A \rightarrow B$$

such that for every $f: X \times A \rightarrow B$ there is $\ulcorner f \urcorner: X \rightarrow \mathbb{L}$ with

$$f_x(a) = \{\ulcorner f \urcorner(x)\}(a)$$

Intuition

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Think of

- ▶ the elements of $\mathbb{L}$ as *belief states*¹
- ▶ the belief states $\ulcorner f \urcorner(x) : \mathbb{L}$ as *explanations* of f

¹e.g., evolving scientific theories

Self-confirming beliefs

Every believer $g: \mathbb{L} \times A \rightarrow B$ has
a self-confirming belief $\Gamma: \mathbb{L}$ with

$$g(\Gamma, a) = \{\Gamma\}(a)$$

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Static incompleteness proof

- ▶ set $\mathbb{L} = \mathbb{N}$ with arithmetic.

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Static incompleteness proof

- ▶ set $\mathbb{L} = \mathbb{N}$ with arithmetic.
- ▶ encode any $p: \mathbb{N} \rightarrow \mathbb{B}$ as $\ulcorner p \urcorner : \mathbb{N}$ such that $\{ \ulcorner p \urcorner \} = p$

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- ▶ construct for any $g: \mathbb{N} \times A \rightarrow \mathbb{B}$ a predicate $\gamma: A \rightarrow \mathbb{B}$ with

$$g(\ulcorner \gamma \urcorner, a) = \{ \ulcorner \gamma \urcorner \} (a) = \gamma(a) \quad (*)$$

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$$g(\ulcorner \gamma \urcorner, a) = \{\ulcorner \gamma \urcorner\}(a) = \gamma(a) \quad (*)$$
- ▶ specify $\mathbb{I}: \mathbb{N} \times A \rightarrow \mathbb{B}$ such that $\mathbb{I}(\ulcorner p \urcorner, a) \iff \vdash p(a)$

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$$g(\ulcorner \gamma \urcorner, a) = \{ \ulcorner \gamma \urcorner \} (a) = \gamma(a) \quad (*)$$
- ▶ specify $\mathbb{Q}: \mathbb{N} \times A \rightarrow \mathbb{B}$ such that $\mathbb{Q}(\ulcorner p \urcorner, a) \iff \vdash p(a)$
- ▶ soundness implies

$$\mathbb{Q}(\ulcorner p \urcorner, a) = \{ \ulcorner p \urcorner \} (a) = p(a) \quad (\ddagger)$$

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- ▶ set $\mathbb{L} = \mathbb{N}$ with arithmetic.
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- ▶ specify $\mathbb{Q}: \mathbb{N} \times A \rightarrow \mathbb{B}$ such that $\mathbb{Q}(\ulcorner p \urcorner, a) \iff \vdash p(a)$

- ▶ soundness implies

$$\mathbb{Q}(\ulcorner p \urcorner, a) = \{ \ulcorner p \urcorner \} (a) = p(a) \quad (\ddagger)$$

- ▶ instantiate $g(p, a) = \mathbb{Q}(\ulcorner \neg p \urcorner, a)$ to get $\gamma: A \rightarrow \mathbb{B}$ with

$$\mathbb{Q}(\ulcorner \neg \gamma \urcorner, a) \stackrel{(*)}{=} \gamma(a) \stackrel{(\ddagger)}{=} \mathbb{Q}(\ulcorner \gamma \urcorner, a)$$

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Static incompleteness proof

Since $\mathbb{I}(\ulcorner p \urcorner, a) \iff \vdash p(a)$, this means

$$\vdash \neg \gamma \iff \vdash \neg \gamma$$

and hence

$$\not\vdash \neg \gamma \vee \gamma$$

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and hence

$$\not\vdash \neg \gamma \vee \gamma$$

But in the standard model of arithmetic, all γ satisfy

$$\models \neg \gamma \vee \gamma$$

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Think of functions $f = \langle f', f'' \rangle : X \times A \rightarrow X \times B$ as *processes* over the state space X , with

- ▶ $f' : X \times A \rightarrow X$ is an *X-state update after A*
- ▶ $f'' : X \times A \rightarrow B$ is an *X-family of B-effects of A*

Self-confirming beliefs of families

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Every X -family of believers $t: X \times \mathbb{L} \times A \rightarrow B$ has
an X -family of self-confirming beliefs $\ulcorner t \urcorner: X \rightarrow \mathbb{L}$, i.e.

$$\{\ulcorner t \urcorner(x)\}(a) = t_x(\ulcorner t \urcorner(x), a)$$



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Every process $f: X \times A \rightarrow X \times B$ has
a self-updating explanation $\llbracket f \rrbracket: X \rightarrow \mathbb{L}$, i.e.

$$\underbrace{\{\llbracket f \rrbracket_x\}'}(a) = \llbracket f \rrbracket(f'_x(a))$$

$$\boxed{\begin{array}{c} f': X \mapsto f'_x \\ \hline \{\}' : \llbracket f \rrbracket(x) \mapsto \llbracket f \rrbracket(f'_x) \end{array}}$$

$$\underbrace{\{\llbracket f \rrbracket(x)\}''}(a) = f''_x(a)$$

$$\boxed{*}$$

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Wittgenstein,..., Kripke: states

(Sachverhalte, ..., possible worlds)

A *state* is a model of a theory.

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Wittgenstein, . . . , Kripke: states

(Sachverhalte, . . . , possible worlds)

A *state* is a model of a theory.

A model of a theory assigns meanings to its expressions, making its theorems true.

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Wittgenstein, . . . , Kripke: states

(Sachverhalte, . . . , possible worlds)

A *state* is a model of a theory.

A model of a theory assigns meanings to its expressions, making its theorems true.

If a theory is expressed as a sketch $\Theta = \langle \Sigma, \Gamma \rangle$, then a state is a Γ -preserving functor $\mathcal{M}: \Sigma \rightarrow \text{Set}$.

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Definition

A *state space* is a pair $A = \langle \Theta_A, \mathcal{M}_A \rangle$ where

- ▶ $\Theta_A = \langle \Sigma_A, \Gamma_A \rangle$ is a theory, where
 - ▶ Σ_A is the signature and
 - ▶ Γ_A are the axioms
- ▶ $\mathcal{M}_A$ is a reference model of Θ_A , i.e. a Γ_A -preserving functor $\mathcal{M}_A: \Sigma_A \rightarrow \text{Set}$.

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Remark

The states from a space A are the refinements of $\mathcal{M}_A$.
They form an accessible category.

States and spaces

Examples

- ▶ Θ = a scientific theory
 $\mathcal{M}$ = settled measurements

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Examples

- ▶ Θ = a scientific theory
 $\mathcal{M}$ = settled measurements
- ▶ Θ = a software specification
 $\mathcal{M}$ = reference implementation

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Examples

- ▶ Θ = a scientific theory
 $\mathcal{M}$ = settled measurements
- ▶ Θ = a software specification
 $\mathcal{M}$ = reference implementation
- ▶ Θ = situation (Popper, Barwise)
 $\mathcal{M}$ = observations

States and spaces

Examples continued

- ▶ Θ = actor network (Latour et al.)
 $\mathcal{M}$ = protocol

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Examples continued

- ▶ Θ = actor network (Latour et al.)
 $\mathcal{M}$ = protocol
- ▶ Θ = protocol
 $\mathcal{M}$ = session

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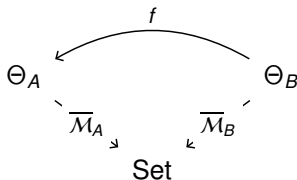
Examples continued

- ▶ Θ = actor network (Latour et al.)
 $\mathcal{M}$ = protocol
- ▶ Θ = protocol
 $\mathcal{M}$ = session
- ▶ Θ = network layer
 $\mathcal{M}$ = stack interfaces

State transitions

A *state transition* $f: A \rightarrow B$ is a pair $f = \langle f_\Theta, f_\Gamma \rangle$ where

- ▶ $f_\Gamma: \Gamma_A \leftarrow \Gamma_B$ is a function, whereas
- ▶ $f_\Theta: \Theta_A \leftarrow \Theta_B$ is a functor such that



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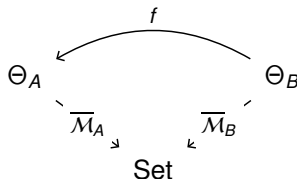
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where

- ▶ Θ_A is a Γ_A -completion of Σ_A ;

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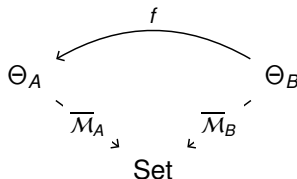
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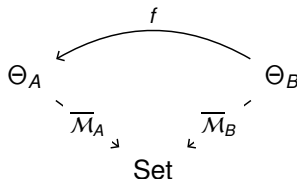
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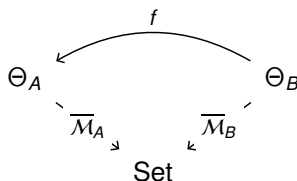
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- ▶ Θ_A is a Γ_A -completion of Σ_A ; ditto Θ_B
- ▶ $\overline{\mathcal{M}}_A$ is the Γ_A -preserving extension of $\mathcal{M}_A$;

State transitions

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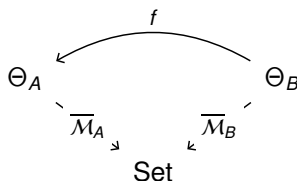
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State transitions

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where

- ▶ Θ_A is a Γ_A -completion of Σ_A ; ditto Θ_B
- ▶ $\overline{\mathcal{M}}_A$ is the Γ_A -preserving extension of $\mathcal{M}_A$; ditto $\overline{\mathcal{M}}_B$
- ▶ f_Θ maps Γ_B -(co)limits into Γ_A -(co)limits according to f_Γ .

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Examples

- ▶ scientific theory updates
- ▶ software specification refinements
- ▶ events
- ▶ protocol options, suites, stacks, attacks...
- ▶ network integration...

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Monoidal universe

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Let $\mathcal{U}$ be the category of

- ▶ state spaces as objects and
- ▶ state transitions as morphisms.

with the monoidal product

$$A \otimes B = \left\langle \Sigma_A + \Sigma_B, \Gamma_A + \Gamma_B, [\mathcal{M}_A + \mathcal{M}_B] \right\rangle$$

Monoidal universe with comonoids

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Every state A comes with a comonoid

$$\begin{array}{ccc} A \otimes A & \xleftarrow{\Delta} & A \xrightarrow{\text{!}} I \\ \Sigma_A + \Sigma_A & \xrightarrow{[id, id]} & \Sigma_A \xleftarrow{\perp} \perp \end{array}$$

Cartesian transitions

Let $\mathcal{U}^\bullet$ be the category of

- ▶ state spaces and
- ▶ comonoid homomorphisms.

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Let $\mathcal{U}^\bullet$ be the category of

- ▶ state spaces and
- ▶ comonoid homomorphisms.

Remarks

- ▶ $\mathcal{U}^\bullet$ is the largest cartesian subcategory of $\mathcal{U}$.
- ▶ A transition $f = \langle f_\Theta, f_\Gamma \rangle: A \rightarrow B$ is in $\mathcal{U}^\bullet$ if and only if $f_\Theta: \Theta_A \leftarrow \Theta_B$ restricts to $f_\Sigma: \Sigma_A \leftarrow \Sigma_B$.

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static:

$$A \vdash B$$

Hoare:

$$A \left[p \right] B$$

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static:

$$A \vdash B$$

dynamic:

$$[p] A \models B$$

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Logical inference

static:

$$A \vdash B$$

dynamic:

$$[p] A \models B$$

evolving:

$$q: X \times A \rightarrow X \times B$$

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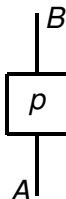
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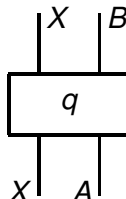
static:

$$\frac{A}{B}$$

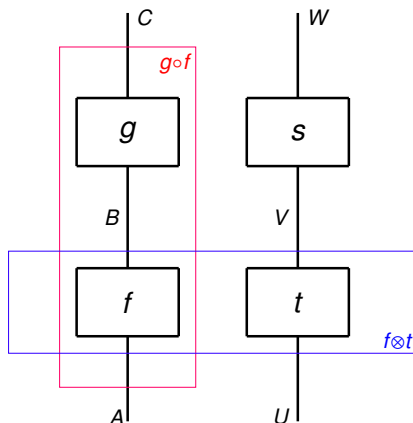
dynamic:



evolving:



String diagrams are 2-dimensional text



$$(g \circ f) \otimes (s \circ t) = (g \otimes s) \circ (f \otimes t)$$

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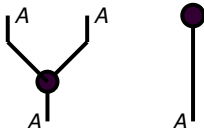
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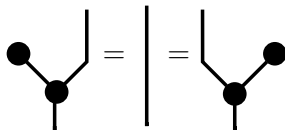
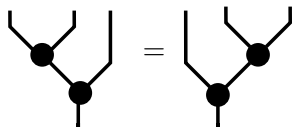
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$$A \otimes A \xleftarrow{\Delta} A \xrightarrow{\epsilon} I$$



Comonoid equations



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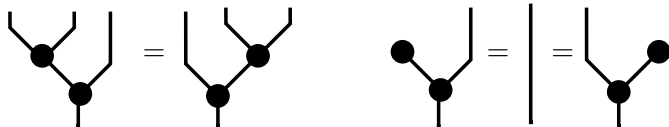
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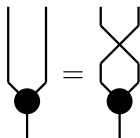
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... also commutative:



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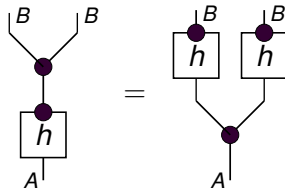
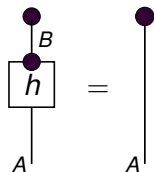
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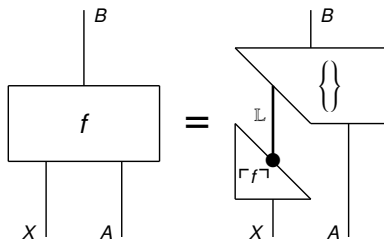
Universal language

is a type $\mathbb{L}$ equipped with for each pair A, B an *interpreter*

$$\{\}: \mathbb{L} \times A \rightarrow B$$

such that for every $f: X \times A \rightarrow B$ there is $\ulcorner f \urcorner: X \rightarrow \mathbb{L}$ with

$$f_x(a) = \{\ulcorner f \urcorner(x)\}(a)$$



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Example 1

If the states are $A = \langle \Theta_A, \mathcal{M}_A \rangle$ where

- ▶ $\Theta_A = \langle \Sigma_A, \Gamma_A \rangle$ is a sketch of a first-order theory
- ▶ $\mathcal{M}_A: \Sigma_A \rightarrow \text{Set}$ is a Γ_A -preserving functor

then $\mathbb{L} = \langle \Theta_{\mathbb{L}}, \mathcal{M}_{\mathbb{L}} \rangle$ is

- ▶ $\Theta_{\mathbb{L}} =$ sketch of state transitions
- ▶ $\mathcal{M}_{\mathbb{L}} = 1$

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Example 2

If the states A are computably enumerable types

- ▶ (enumerations = computable projections)

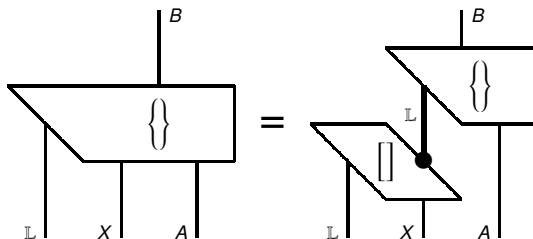
then $\mathbb{L}$ is a universal programming language

- ▶ (interpreters = interpreters)

Specializer

Lemma

For all $X, A, B \in \mathcal{U}$ there is a strict transition $\boxed{\quad}_X^{AB} \in \mathcal{U}^\bullet(\mathbb{L} \times X, \mathbb{L})$ such that



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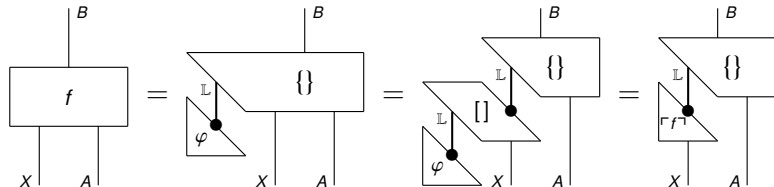
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Slicing state changes



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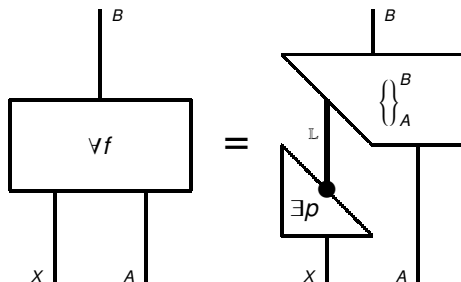
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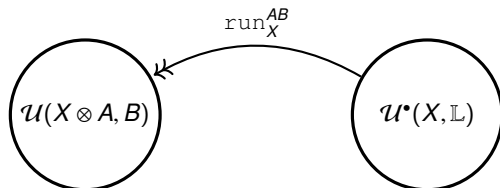
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Universality = polymorphism



induces program-closure $\text{run}_{\mathbb{P}}^{AB}(p) = \{p\}_A^B$



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Single-instruction programming language

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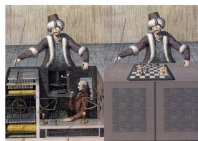
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Programs as Diagrams

From Categorical Computability
to Computable Categories

Dusko Pavlovic



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Logic as an evolutionary process

$$\frac{\text{program construction}}{\text{program evaluation}} = \frac{\text{induction}}{\text{deduction}} = \frac{\text{learning}}{\text{prediction}}$$

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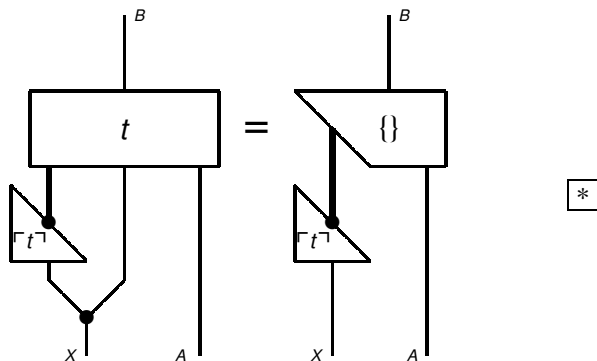
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Self-confirming beliefs theorem

Every family of believers $t \in \mathcal{U}(\mathbb{P} \otimes X \otimes A, B)$ has a family of self-confirming beliefs $\ulcorner t \urcorner \in \mathcal{U}^\bullet(X, \mathbb{P})$



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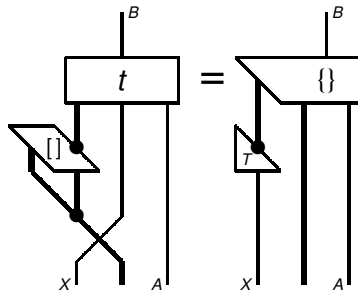
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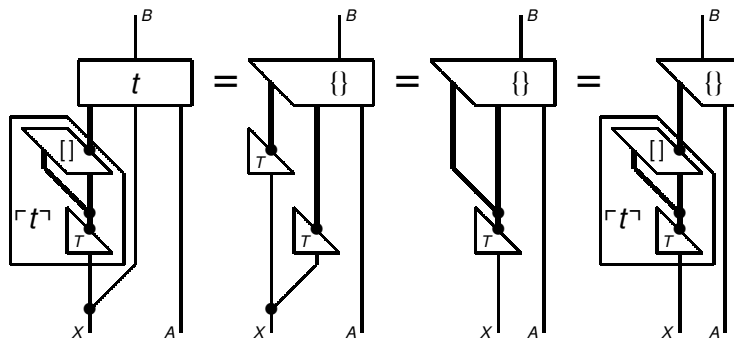
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Self-confirming beliefs examples

- ▶ observer's bias in scientific theories
 - ▶ sampling bias (R. Fisher: controls)
 - ▶ design bias (J. Neyman: causal inference, allocation)
 - ▶ anthropic assumptions (F. Hoyle!)
 - ▶ cultural relativism (M. Mead, Levi-Strauss, ...)
- ▶ self-validating software (Knuth, Blum, Rubinfeld...)
- ▶ “Credo quia absurdum”
- ▶ ...

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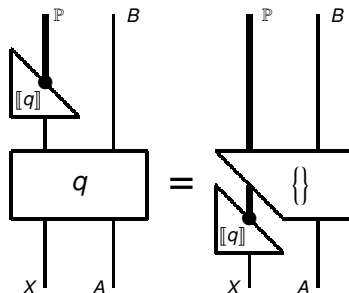
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Self-updating explanation theorem

Every process $q: X \times A \rightarrow X \times B$ has a self-updating explanation $\llbracket q \rrbracket: X \rightarrow \mathbb{L}$, consistent with state changes:

$$\llbracket q \rrbracket (q'_x(a)) = \{ \llbracket q \rrbracket_x \}' (a) \qquad q''_x(a) = \{ \llbracket q \rrbracket(x) \}'' (a)$$



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Self-updating explanation **explanation**

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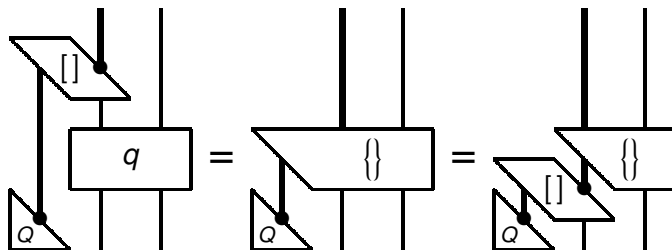
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Consistency with the state changes means that $\llbracket q \rrbracket$ explains q

- ▶ not only at the state x by $\llbracket q \rrbracket_x$
- ▶ but also at the state $q'_x(a)$ by $\llbracket q \rrbracket_{q'_x(a)} = \{\llbracket q \rrbracket_x\}'(a)$

for all a in A and x in X .

Self-updating explanation construction



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Self-updating explanation example

- adoption process (Brian Arthur)

$$q: X \times A \rightarrow X \times B$$

$$\langle x, p \rangle \mapsto \langle x', p' \rangle$$

$$x' = x + \delta(p, \tau)$$

$$p' = p - d(p, \tau)$$

where

- τ = investment distribution
- $\delta(p, \tau)$ = part of x believing that $p < x - x^2$
- $d(p, \tau)$ = adoption discount

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Self-updating explanation example

- deployment strategy

$$\begin{aligned} \llbracket q \rrbracket: X &\rightarrow \mathbb{L} \\ x &\mapsto \begin{cases} \text{advertize}(\tau) & \text{if } p(\tau) \geq x - x^2 \\ \text{monetize}(\tau) & \text{otherwise} \end{cases} \end{aligned}$$

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Empiric problem in machine learning

Why do bots hallucinate?

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Foundational problem in belief logic

How do false beliefs evolve:

- ▶ myths, legends, religions
- ▶ lies, deceptions
- ▶ delusions

?

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Foundational problem in belief logic

How do false beliefs evolve:

- ▶ myths, legends, religions
- ▶ lies, deceptions
- ▶ delusions

?

How do they survive the reality checks?

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General approaches

- ▶ induction $\rightsquigarrow$ formal epistemology
 - ▶ Bayes, Fisher, Carnap, Popper, Jaynes, Dempster-Shafer, Vapnik, ...
- ▶ incomplete information $\rightsquigarrow$ interactive epistemology
 - ▶ Harsanyi, Aumann, Brandenburger, DEL (Baltag, van Benthem, van Ditmarsch, ...)

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Belief engineering (campaigning) combines

- ▶ belief logic
- ▶ algorithm design (programming)
- ▶ universal (programming) languages.

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Bot beliefs

Belief engineering (campaigning) combines

- ▶ belief logic
- ▶ algorithm design (programming)
- ▶ universal (programming) languages.

Universality allows

- ▶ unfalsifiable claims
- ▶ dynamic completeness.

Tentative answers

Bots hallucinations arise through

- ▶ *data processing*:
dreaming without sleeping
- ▶ **logical constructions**:
self-confirming explanations

False beliefs persist through

- ▶ *data processing*:
~~concept amplification~~
- ▶ **logical constructions**:
self-updating (unfalsifiable complete) explanations

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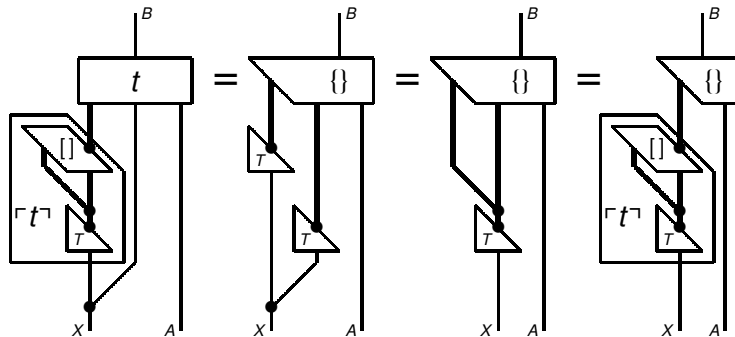
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“Credo quia absurdum”



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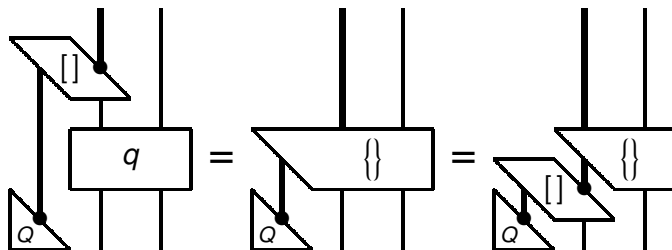
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“Your friends are my followers”



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