1 **Anomaly patterns about strong convective events in the tropics and mid-latitudes:**
2 **observations from radiosondes and surface weather stations**
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9   **Abstract**
10   We use 13 years (1998 - 2010) of rainfall estimates from the Tropical Rainfall Measuring
11   Mission (TRMM) 3B42 dataset to identify high rain events located close to radiosonde or surface
12   weather stations. This is done in four regions: the Western Tropical Pacific, Tropical Brazil,
13   Southeast China, and Southeast United States. We then construct composite anomaly patterns of
14   a large number of variables about these high rain events. These variables include temperature,
15   relative humidity, surface pressure, Convective Available Potential Energy (CAPE), geopotential
16   height, mass divergence, relative vorticity, and potential vorticity. One motivation of this analysis
17   is to identify regional differences in the interaction between strong convective events and the
18   background atmosphere. We find, overall, that the changes in meteorological variables which
19   occur during the evolution of strong convective events in mid-latitudes are similar to the changes
20   that occur in the tropics. In mid-latitudes, however, strong convective events are associated with
21   stronger anomalies in surface pressure and geopotential height, and exhibit a warm anomaly in
22   the lower troposphere prior to peak rainfall. In the Southeast United States, the near surface layer
23   of positive CAPE that occurs prior to high rain events is thicker than in the Western Tropical
24   Pacific. In the two mid-latitude regions, the mid-level PV maximum that develops during the
25   growth stage of high rain events acquires a downward tilt toward the surface during the decay
26   stage, suggesting downward transport toward the surface. A conceptual model previously used to
27   interpret the anomaly patterns of the 2-day equatorial wave [*Haertel and Kiladis*, 2004] is used to
28   interpret the anomaly patterns associated with more general types of high rain events in the
29   tropics.

30 **1. Introduction**

31

32   With some exceptions [*Cotton et al.*, 1989; *DeMott et al.*, 2007], most observationally based

33 analyses of the anomaly patterns associated with strong convective events have been based on

34 measurements over the tropical oceans. Here, we use routine radiosonde observations to construct

35 composite anomaly patterns of a large number of meteorological variables about high rain events in

36 four regions: the Western Tropical Pacific, Tropical Brazil, the Southeast United States, and

37 Southeast China. This approach enables us to identify regional similarities and differences in the

38 interaction between deep convection and the background atmosphere. The meteorological variables

39 we analyze include temperature, relative humidity, column water vapor, Convective Available

40 Potential Energy (CAPE), geopotential height, mass divergence, surface pressure, relative vorticity,

41 and potential vorticity. We first use the Tropical Rainfall Measuring Missing (TRMM) 3B42

42 dataset to identify 2x2-degree grid cells in which the rain rate exceeds a particular threshold. We

43 then look for radiosonde or surface measurements that are co-located, and within 48 hours, of the

44 TRMM high rain events. To obtain better statistics, this procedure is repeated at many radiosonde

45 stations, using a large number of rain events from 1998 to 2010. This approach has been previously

46 followed using routine radiosonde profiles [*Sherwood and Wahrlich*, 1999; *Mitovski et al.*, 2010],

47 measurements from field campaigns [*Sobel et al.*, 2004; *Mapes et al.*, 2006; *DeMott et al.*, 2007;

48 *Mapes et al.*, 2009], satellite data [*Zelinka and Hartmann*, 2009; *Masunaga*, 2012], and re-analysis

49 data [*Benedict and Randall*, 2007; *Rapp et al.*, 2011]. Some of the anomaly patterns shown in this

50 paper, especially with respect to temperature and relative humidity anomaly patterns in the tropics,

51 therefore reproduce well known relationships. However, radiosonde observations have not been

52 used to directly calculate the anomaly patterns of other meteorological variables such as

53 geopotential height and potential vorticity, or the vertical profile of CAPE in the boundary layer.

54

55 **2. Datasets**

56 **2.1 Rainfall Data**

57   The TRMM 3B42 gridded rainfall dataset is constructed from several satellite borne sensors.

58 These include a precipitation radar, multichannel microwave radiometer, and visible and infrared

59 sensors [*Kummerow et al.*, 1998; *Liu et al.*, 2012]. The data is available at 3-h temporal (centered at

60 00:00, 03:00, 06:00, 09:00, 12:00, 15:00, 18:00, and 21:00 UTC), and 0.25 x 0.25 degree horizontal

61 resolution. We used TRMM 3B42 rainfall to identify high rain events between Jan 1998 and Dec

62 2010.

63

64   **2.2 Radiosonde Data**

65   The Integrated Global Radiosonde Archive (IGRA) dataset is stored at the National Climatic

66   Data Center (NCDC) [*Durre at al.*, 2006]. We used data from 53 radiosonde locations from the

67   1998 – 2010 time period. The locations of these stations are shown as open squares in Figure 1**,** and

68   are located in four regions: 6 from the Western Tropical Pacific, 11 from Tropical Brazil, 19 from

69   the Southeast United States, and 17 from Southeast China. The soundings provide temperature and

70   relative humidity profiles at variable vertical resolution. Within the Southeast United States region,

71   there were on average 42 temperature and relative humidity measurements below 100 hPa. The

72   comparable numbers for the radiosonde stations in the Western Tropical Pacific, Tropical Brazil,

73   and Southeast China regions, are 39, 26, and 14, respectively. The soundings also provide

74   horizontal wind measurements at the surface and at the standard pressure levels of 1000, 925, 850,

75   700, 500, 400, 300, 250, 200, 150, and 100 hPa. Although some of the IGRA soundings are

76   available every 6 hours, the majority of the soundings are available twice per day at the 00:00 and

77   12:00 UTC standard synoptic times.

78   The divergence and vorticity anomaly calculations require simultaneous horizontal wind

79   measurements from triangular radiosonde arrays. For these variables, we used 2 arrays from the

80   Western Tropical Pacific, 5 arrays from Tropical Brazil, 14 arrays from the Southeast United States,

81   and 17 arrays from Southeast China. The average area of the Western Tropical Pacific arrays was

82   296,000 km^2 (roughly equal to the area of a 5x5-degree grid box at the equator), 57,000 km^2 for the

83   Southeast United States arrays (roughly equal to a 2.5x2.5-degree grid box at 30°N), 207,000 km^2

84   for the Tropical Brazil arrays, and 31,000 km^2 for the Southeast China arrays.

85

86   **2.3 Hourly Surface Data**

87   Over land, convective precipitation usually peaks in the late afternoon [*Nesbitt and Zipser*,

88   2003]. The rain events used to construct the anomaly patterns over land also occur more frequently

89   in the late afternoon. Within a given region, the radiosonde are usually launched at two fixed local

90   solar times. The incomplete diurnal sampling of the radiosondes, in combination with the existence

91   of diurnal cycles in both the rain event frequency and the meteorological variables, will introduce

92   biases into the calculation of our anomaly patterns. It is therefore important that, where possible, the

93   radiosonde anomaly patterns be validated against surface measurements with better temporal

94   resolution. The Integrated Surface Hourly (ISH) dataset consists of surface weather observations

95   from about 20,000 worldwide-distributed stations [*Lott et al.*, 2001]. The data is archived at the

96   NCDC, and contains hourly and synoptic (3-hourly) surface weather observations of several

97   variables, including temperature, relative humidity, and pressure. We used ISH data between 1998

98 and 2010, from surface stations, which were co-located with the 53 IGRA radiosonde stations

99 shown in Figure 1.

100

101 **2.4 Global Positioning System Data**

102 We used Global Positioning System (GPS) radio occultation temperature measurements from

103 the Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC) six-

104 satellite constellation [*Anthes et al.*, 2008], downloaded from the COSMIC Data Analysis and

105 Archive Center (CDAAC). There are approximately 2,000 high-vertical resolution (~100 m in the

106 troposphere) COSMIC temperature profiles over the earth per day. Over a particular region, the

107 COSMIC profiles are distributed roughly uniformly in local solar time. They can therefore also be

108 used to help determine whether the calculated radiosonde temperature anomaly patterns over land

109 exhibit a diurnal bias. We used COSMIC temperature profiles within the Southeast United States

110 (between 30°N and 40°N and between 85°W and 100°W) and Southeast China (between 25°N and

111 35°N and between 103°E and 118°E) regions shown in Figures 1c and 1d. For both regions, we

112 restricted attention to the convective summer season (June, July, and August) from 2006 to 2010.

113

114 **3. Rain Event Definition**

115 In the construction of the radiosonde and surface station anomaly patterns, high rain events

116 were considered to occur at locations where the mean TRMM 3B42 rainfall over a 2 by 2 degree

117 box centered at each station exceeded 1.5 mm/h over a TRMM 3-hour time interval. For the

118 calculation of the mass divergence and relative vorticity anomaly patterns, we used triangular

119 radiosonde arrays, and rain events were defined using the average TRMM 3B42 rain rate within

120 each array. For the Southeast United States and Southeast China regions, we restricted attention to

121 June, July, and August. For the tropical Brazil and the Western Tropical Pacific regions, we used

122 rain events from the entire year.

123 Figure 2a shows the composite TRMM 3B42 2x2-degree mean rain event profiles from the

124 four regions. The mean peak rain rate of close to 3 mm/h is significantly higher than the rain event

125 threshold of 1.5 mm/h. The composite rain event profiles were constructed from events co-located

126 with radiosonde or surface stations, rather than from rain events within the variably sized

127 radiosonde arrays. The rain event profiles are quite similar to each other, partly reflecting the use of

128 a common rain event threshold for all regions. High rain events develop slightly more rapidly over

129 the three land regions than the Tropical Western Pacific. Rainfall starts to increase roughly 9 hours

130 prior to peak rainfall and drops to near background levels roughly 9 hours after peak rainfall.

131   Within a particular region, the GPS COSMIC temperature profiles tend to be randomly

132   distributed in space. In order to identify high rain events co-located with GPS temperature profiles,

133   we first calculated the mean TRMM 3B42 rain rate in 2x2-degree grid boxes, separated from each

134   other by 1 degree. For example, the Southeast United States region shown in Figure 1c enclosed an

135   area between 30°- 40°N and 85°- 100°W. Within this region, we calculated the mean rain rate in 10

136   x 15 = 150 overlapping 2x2-degree grid boxes, and searched for GPS temperature profiles within

137   each box. The Southeast China region shown in Figure 1d enclosed an area between 25°- 35°N and

138   103°- 118°E, and was also partitioned into 150 overlapping boxes.

139   Figure 2b shows the variation in average rain rate as a function of radial distance from the

140   high rain events. The rain events of the various regions have a similar spatial scale, with the rain

141   rate typically decaying to a near background value within about 400 km of peak rainfall.

142

143   **4. Diurnal Variability**

144   Figure 3a shows the average diurnal variation of the TRMM 3B42 rain rate within each of the

145   four regions. As is well known [*Nesbitt and Zipser*, 2003], the rainfall rate within the three land

146   regions peaks in the late afternoon or early evening. Within the Tropical Western Pacific region, the

147   rainfall rate exhibits a small peak near 3 am. Figure 3b shows the diurnal variation in the frequency

148   of occurrence of the rain events used to construct the radiosonde temperature anomaly patterns. The

149   time of the diurnal peak in the rain event frequency is usually close to the time of the diurnal

150   rainfall peak. The larger number of rain events within the Tropical Brazil region, despite the lower

151   rain rate, is due to the use of rain events throughout the entire year, rather than June – August for

152   the two mid-latitude regions.

153   Figure 4 shows the number of IGRA radiosonde profiles within each region that occurred

154   within 48 hours of a rain event, and could therefore be used in the construction of the temperature

155   anomaly patterns. Within the Southeast United States, there were approximately 21,000 profiles at

156   06 and 18 h local solar time available from the 1998 – 2010 time period. We used 25,000 profiles

157   from Tropical Brazil (08 and 20 h local solar time), 55,000 profiles from Southeast China (also at

158   08 and 20 h local solar time), and roughly 80,000 soundings from the Tropical Western Pacific,

159   distributed at local solar times of 00, 09, 10, 11, 12, 21, 22, and 23 h. The broader range of local

160   solar times from the Tropical Western Pacific reflects the broader longitudinal distribution of the

161   radiosonde stations in this region.

162

163   **5. Results**

164   **5.1 Temperature Anomaly Patterns From Radiosondes**

165   Once a rain event at a radiosonde station had been identified, we searched for radiosonde

166   launches that had occurred within 48 hours of the rain event. These soundings were then assigned to

167   3-h time bins, based on the difference between the radiosonde launch and rain event times. At each

168   location, the radiosonde measurements were used to define climatological temperature profiles for

169   each individual launch time, month, and year. These climatological profiles were then used to

170   convert the temperature profiles near high rain events to temperature anomaly profiles. We then

171   averaged the temperature anomaly profiles within each 3-h time bin.

172   The composite temperature anomaly patterns generated using the above procedure within

173   each region are shown in Figure 5a, 5b, 5c, and 5e. For all regions, high rain events are associated

174   with an upper-level warming centered at 300 hPa that is coincident with peak rainfall [*Cotton et al.*,

175   1989; *Sherwood and Wahrlich*, 1999; *Kiladis et al.*, 2005; *Mapes et al.*, 2006; *Mitovski et al.*,

176   2010]. With the exception of Southeast China, the regions also show a 700 hPa lower tropospheric

177   cooling coincident with peak rainfall. Each region shows a strong boundary layer cooling (below

178   900 hPa) after peak rainfall. This cooling is significantly larger and more persistent over the three

179   land regions than over the Tropical Western Pacific. Deep convection over the three land regions is

180   preceded by a warm anomaly in the lower troposphere. Over Tropical Brazil and Southeast China,

181   this warming extends upward from the surface to 800 hPa, but extends to 600 hPa over the

182   Southeast United States.

183   The details of the temperature anomaly patterns shown in Figure 5a, 5b, 5c, and 5e will be

184   sensitive, to some degree, to the assumptions used to define the rain events. For example, the use of

185   a larger rain event threshold would be expected to generate larger temperature anomalies. It has

186   been shown, however, that the shapes of the temperature anomaly patterns are not sensitive to the

187   value of the rain event threshold or the assumed event area [*Mitovski et al.*, 2010].

188

189   **5.2 Temperature Anomaly Patterns From GPS**

190   The GPS COSMIC temperature profiles were used to also construct temperature anomaly

191   patterns of the Southeast United States and Southeast China regions. During the summer convective

192   season (JJA) between 2006 and 2010, there were 2,111 profiles from the United States, and 1,538

193   profiles from China, which were co-located within 48 hours of a TRMM rain event. The COSMIC

194   temperature anomaly patterns from these two regions are shown in Figure 5d and 5f. Although the

195   COSMIC and radiosonde temperature anomaly patterns are in good overall agreement, the

196   COSMIC boundary layer cooling is much smaller than the boundary layer cooling obtained from

197   the radiosonde data. There are several reasons why the COSMIC temperature anomaly patterns may

198 be less accurate near the surface. COSMIC provides vertical profiles of atmospheric refractivity,

199 which can be used to calculate the vertical profile of atmospheric density [*Anthes et al.*, 2008].

200 Variations in water vapor can be expected to more strongly affect the atmospheric density at higher

201 temperatures closer to the surface. In the absence of an independent method of constraining the

202 water vapor profile, the relative accuracy of the COSMIC temperature retrievals can be expected to

203 be smaller at lower altitudes. The standard deviation of the difference between COSMIC and

204 radiosonde temperatures does increase toward the surface, though this does not appear to be

205 associated with an increase in the mean bias [*Sun et al.*, 2010]. The refractivity gradient at the top of

206 the boundary layer frequently exceeds the critical refraction, which can result in a systematic

207 negative refractivity bias in the radio occultations within the boundary layer [*Xie et al.*, 2012].

208 Finally, the number of COSMIC temperature retrievals decreases toward the surface, especially for

209 altitudes below 2 km [*Anthes et al.*, 2008].

210

211 **5.3 Anomalies in Pressure, Temperature, and Relative Humidity at the Surface**

212 Figure 6 shows the surface temperature, surface relative humidity, and surface pressure

213 anomalies during high rain events within each of the four regions, calculated from both the

214 radiosonde and surface weather station data. In general, the radiosonde anomaly profiles (solid

215 lines) are in good agreement with the profiles generated by higher temporal resolution surface

216 station measurements (dotted lines).

217 The top row of Figure 6 shows the surface temperature anomaly during high rain events. The

218 three land regions show larger surface cooling than the Tropical West Pacific region. This is

219 consistent with Figure 5, which also shows that the boundary layer cooling over land exhibits

220 greater persistence and depth. One explanation for this difference is that the relative humidity in the

221 lower troposphere over the three land regions tends to be smaller than the relative humidity in the

222 lower troposphere over the ocean region. Downdrafts over land would therefore be expected to

223 exhibit stronger negative buoyancies, have a higher probability of penetrating the boundary layer,

224 and possibly, generate more persistent cooling. It is also possible that, due to a weaker effective

225 heat capacity over land, the sensible heat flux from the surface in response to a cold anomaly near

226 the ground is likely to be weaker over land than the ocean.

227 The middle row of Figure 6 shows the anomaly in surface relative humidity during high rain

228 events. Within all four regions, convective rainfall is associated with a sharp increase in surface

229 relative humidity that is coincident, or slightly lags, peak rainfall. As would be expected, the size of

230 the relative humidity increase scales with the size of the temperature decrease, with the two mid-

231 latitude land regions exhibiting the largest increases in surface relative humidity.

232   The bottom row of Figure 6 shows the surface pressure anomalies during high rain events.

233   These anomalies show larger regional differences than the temperature and relative humidity

234   anomalies. In the Western Tropical Pacific, there is a 0.5 hPa minimum in surface pressure 10 hours

235   prior to peak rainfall, followed by a small local maximum that slightly lags peak rainfall. In the

236   Tropical Brazil region, there is a more rapid increase in surface pressure during high rain events. In

237   the two mid-latitude regions, high rain events are associated with 1-2 hPa reductions in surface

238   pressure over a 2-3 day timescale.

239   The surface pressure anomalies of the radiosonde stations within the Southeast United States,

240   and to a lesser extent within Southeast China and Tropical Brazil, are significantly different from

241   the surface pressure anomalies obtained from the surface stations. These deviations have a 12-hour

242   periodicity. The black curve in Figure 7a shows the average diurnal cycle of the surface pressure

243   anomaly for the Southeast United States surface stations. The red curve shows the diurnal cycle of

244   the surface pressure anomaly, calculated using only those surface pressure measurements for which

245   the rain rate of the local grid cell exceeded 1 mm/h. During the afternoon (14:00 – 18:00 local

246   time), the presence of rainfall is not associated with deviations in surface pressure from the mean

247   diurnal cycle. However, outside this afternoon and early evening time window, rainfall is associated

248   with reduced surface pressure. Figure 7c shows that the surface pressure anomaly during high rain

249   events depends on the time at which the rain event occurs. Each anomaly curve is constructed from

250   high rain events having a common TRMM time, converted here to the approximate local solar time.

251   The three curves with the largest negative anomalies in surface pressure are associated with rain

252   events at 21:00 (solid green curve), 00:00 (solid blue curve), and 03:00 (solid red curve). The two

253   anomaly curves with the smallest negative anomalies in surface pressure are associated with rain

254   events which occur at 15:00 (dashed red curve) and 18:00 (solid black curve). This is consistent

255   with Figure 7a. Rain events which occur during the late evening and early morning are associated

256   with larger decreases in surface pressure (relative to the mean surface pressure at that local time)

257   than rain events in the late afternoon.

258   The existence of a diurnal cycle in the magnitude of the surface pressure anomaly associated

259   with high rain events leads to errors when the twice daily radiosonde profiles are used to construct

260   the surface pressure anomaly patterns. The twice daily radiosonde launches occur at local solar

261   times of 6 and 18 h. Figure 7b shows the surface pressure anomaly constructed from the individual

262   sondes, as well as the combined response shown previously in Figure 6. For the two individual

263   anomalies, there is a 24 h oscillation generated by changes in the local solar time of the rain events.

264   For example, the curve generated using the 6 local time (LT) radiosonde launches and the curve

265   generated using the 18 LT radiosonde launches both show maxima associated with rain events

266 occurring at 15 LT, and minima associated with rain events occurring at 00 LT. The anomaly

267 pattern is consistent with Figure 7c, which shows weaker surface pressure anomalies for rain events

268 in the late afternoon, and stronger surface pressure anomalies for rain events at night. The combined

269 radiosonde surface pressure anomaly profile in Figure 7b, indicated by the dashed line, is equal to

270 the average of the two individual radiosonde profiles, weighted by the relative frequency of the rain

271 events used to construct the two profiles. Inspection of Figure 7b shows that the 12-hour oscillation

272 in the combined radiosonde surface pressure anomaly pattern is an artifact generated from the 24-

273 hour oscillations of the two individual curves. More generally, for variables which exhibit a

274 coupling with convective precipitation which varies over the diurnal cycle, artifacts may be

275 introduced when using 12 hourly radiosonde profiles to construct anomaly patterns in that variable.

276

277 **5.4 Radial Distribution of the Convective Temperature Response**

278 The temperature anomaly patterns shown in Figure 5 show the time evolution of the local

279 temperature response to deep convection, but give no indication of the spatial scale over which

280 these temperature anomalies extend. The radiosonde measurements can be used to calculate the

281 vertical profile of the temperature anomaly as a function of the radial distance from the rain events.

282 We first identified all 2x2-degree rain events within each of the regional boxes shown in Figure 1,

283 and then searched for radiosonde measurements within 1000 km of the rain event which had

284 occurred within 3 hours of the rain event time. The radiosonde temperature profiles were then

285 stored in 50 km bins, based on the distance between the rain event and the radiosonde location.

286 Each temperature profile was converted to an instantaneous anomaly profile by subtracting the

287 climatological launch-time/monthly/yearly temperature profile at that location. The temperature

288 anomaly profiles within each 50-km bin at each pressure level were then averaged, and the results

289 shown in Figure 8**.**

290 The dominant feature of the radial temperature anomaly pattern is an upper level (300 hPa)

291 warming that extends roughly 1000 km outward from the rain event. All four regions also exhibit a

292 strong near surface (below 900 hPa) cooling that extends roughly 300 km outward from the rain

293 event. The Western Tropical Pacific Ocean and Southeast United States regions also exhibit a

294 strong lower tropospheric cooling between 800 hPa and 500 hPa. This lower tropospheric cooling is

295 less pronounced over Tropical Brazil, and absent over Southeast China. The regional differences in

296 the local convective temperature response shown in Figure 5 are therefore not restricted to the

297 immediate environment of deep convective events, but extend to larger spatial scales.

298

299 **5.5 Relative Humidity**

300   The IGRA radiosonde dataset supplies vertical profiles of water vapor pressure, which can be

301 converted to relative humidity. At temperatures below 0 °C, we normalized the vapor pressure by

302 the saturation vapor pressure over ice [*Emanuel*, 1994]. In general, radiosonde measurements of

303 vapor pressure are less accurate at colder temperatures [*Kley et al.*, 2000]. The relative humidity

304 was therefore not calculated for pressure levels above 200 hPa. Figure 9 shows the relative

305 humidity response to high rain events, calculated using a procedure similar to that used for the

306 temperature anomaly patterns. Within each region, peak rainfall is associated with values of relative

307 humidity that exceed 80 % at most altitudes. The gradual moistening of the lower troposphere prior

308 to high rain events has been attributed to the influence of congestus clouds [*Takayabu et al.*, 1996;

309 *Mapes et al.*, 2006], but this interpretation has been recently challenged [*Hohenegger and Stevens*,

310 2013; *Masunaga*, 2013]. Rain events within the Southeast United States occur within a background

311 atmosphere that is significantly drier than the other three regions, particularly in the upper

312 troposphere.

313

314 **5.6 Total Column Water Vapor Anomaly**

315   The relative humidity and temperature measurements of the radiosonde profiles can be used

316 to calculate the total column water vapor, defined here as the mass of water vapor per unit area

317 between the surface and 200 hPa (also known as the precipitable water). Figure 10 shows the

318 column water vapor anomaly during the growth and decay of high rain events within each region.

319 Rain events in the Southeast United States occur at much lower values of column water than the

320 other three regions (not shown). However, the change in column water during high rain events in

321 the Southeast United States is very similar to the change in Southeast China and the Western

322 Tropical Pacific (7-10 kg/m^2). Rain events in Tropical Brazil are associated with smaller increases

323 in column water (less than 4 kg/m^2).

324

325 **5.7 Convective Available Potential Energy**

326   The existence of air parcels near the surface with positive Convective Available Potential

327 Energy (CAPE) is a precondition for moist convection [*Sherwood and Wahrlich*, 1999]. We

328 calculate CAPE by starting with air parcels near the surface whose pressure, temperature, and

329 relative humidity are equal to the values given by a radiosonde profile at a particular pressure level.

330 We lift the air parcel subject to the assumptions of constant moist static energy and total water (i.e.

331 no condensate removal), no mixing, and no ice formation. Unfortunately, only the radiosonde

332 profiles of the Western Tropical Pacific and Southeast United States regions had sufficient vertical

333 resolution to resolve the vertical structure of boundary layer CAPE.

334   The evolution of CAPE and the CAPE anomaly during the growth and decay of high rain

335 events within these two regions is shown in Figure 11. In both regions, the decay stages of high rain

336 events are associated with strongly negative CAPE anomalies which maximize near the surface

337 several hours after peak rainfall. This CAPE reduction is presumably associated with some

338 combination of preferential entrainment of higher moist static energy air parcels from the boundary

339 layer by convective updrafts and by the injection of lower moist static energy air into the boundary

340 layer from the mid-troposphere [*Zipser*, 1977; *Sherwood and Wahrlich*, 1999].

341   Figure 11 also shows that the near surface layer of positive CAPE is considerably thicker in

342 the Southeast United States region than in the Western Tropical Pacific. Model simulations suggest

343 that convective storms which grow from a deeper near surface moist layer, especially in the range

344 of 1.5 – 2 km, can experience significantly less updraft dilution and develop stronger updraft speeds

345 than those which grow from thinner moist layers [*McCaul and Cohen*, 2002]. In this case, Figure 11

346 may partially explain the well known fact that convective updrafts which occur over the ocean

347 exhibit smaller updraft speeds than those which occur over land [*Lucas et al.*, 1994], though other

348 factors are probably also involved [*Robinson et al.*, 2008].

349   Due to the diurnal cycle in CAPE over land [*Dai et al.*, 1999], and the higher frequency of

350 rain events during the late afternoon, some of the CAPE enhancement within the Southeast United

351 States shown in Figure 11b prior to peak rainfall can be attributed to the diurnal cycle. The CAPE

352 anomaly, in which the diurnal effect has been reduced by subtracting from each CAPE

353 measurement the mean value of CAPE for each particular radiosonde launch time, station, and

354 month is shown in Figures 11c and 11 d. Within the Western Tropical Pacific, there is very little

355 change in CAPE prior to peak rainfall. Within the Southeast United States, the largest positive

356 CAPE anomaly (~ 600 J/kg) occurs for parcels originating from 950 hPa roughly 6 hours prior to

357 peak rainfall.

358   Figure 11 also shows that there is a 12 hour oscillation in the Southeast United States CAPE

359 and CAPE anomaly patterns that mainly occurs prior to peak rainfall. This oscillation is an artifact

360 generated by the same mechanism which produces the surface pressure oscillation shown in Figure

361 7. Although the top panel of Figure 6 shows that the surface temperature errors in the Southeast

362 United States introduced by this sampling bias are small, these errors have a larger relative impact

363 on CAPE.

364

365 **5.8 Geopotential Height**

366   Geopotential height profiles were calculated from the surface pressure, and temperature and

367 relative humidity profiles of individual radiosondes. The geopotential height at the surface of each

368 location was set equal to the local surface station altitude. We used stations whose altitude was less

369 than 200 m. We then iteratively calculated the geopotential height upward from the surface using

370 the hypsometric equation. This equation defines the geopotential height (GPHT) at a pressure level

371 n (p_n) in terms of the geopotential height at a lower pressure level n-1 (p_{n-1}), the virtual temperature

372 at levels n-1 ($T_{v,n-1}$) and n ($T_{v,n}$), and the pressure thickness dp.

373
$$GPHT_n = GPHT_{n-1} + R_d \left(\frac{T_{v,n-1} + T_{v,n}}{2g} \right) d\ln p. \quad (1)$$

374 In this equation, p refers to pressure in Pascals, R_d to the specific gas constant of dry air (287.04

375 $Jkg^{-1}K^{-1}$), T_v to the virtual temperature of an air parcel, and g to standard gravity (9.806 ms^{-2}), and

376 dlnp is defined as

377
$$d\ln p = \frac{p_{n-1} - p_n}{0.5(p_{n-1} + p_n)}. \quad (2)$$

378   To obtain the geopotential height anomaly, we subtracted from each geopotential height the

379 mean geopotential height for that station, month, and radiosonde launch time. We then grouped the

380 resulting geopotential height anomaly profiles of each region in 3-hour time bins about their

381 respective rain events. Figure 12 shows the geopotential height anomaly patterns associated with

382 the high rain events of each region. The anomaly pattern of the Southeast Unites States exhibits a

383 12 hour oscillation. This oscillation is stronger in the lower troposphere, and can be attributed to the

384 12 hour oscillation in lower tropospheric surface pressure discussed earlier.

385   The geopotential height anomaly patterns of the four regions show some common features.

386 Each region shows a strong positive geopotential height anomaly in the upper troposphere centered

387 at 200 hPa. This anomaly is generated mainly by the upper tropospheric 300 hPa warm anomaly

388 shown in Figure 5. Figure 12 also shows that the positive upper tropospheric geopotential height

389 anomalies within each region rapidly decrease above 200 hPa. This decrease with height can be

390 attributed to the intense narrow cold anomalies centered near 150 hPa shown in Figures 5 and 8,

391 which prevent the positive upper tropospheric geopotential height anomalies associated with strong

392 convective events from penetrating into the lower stratosphere [*Holloway and Neelin*, 2007].

393   In the two tropical regions, the negative mid-level geopotential anomaly is largest at 500 hPa,

394 and roughly symmetric about peak rainfall. The onset of negative geopotential height anomalies in

395 the lower tropospheric begins prior to the lower tropospheric cooling shown in Figure 5a, and can

396 be mainly attributed to local reductions in surface pressure. For example, the lower left panel of

397   Figure 6 shows that the surface pressure of the Western Tropical Pacific region decreases by

398   roughly 0.6 hPa prior to peak rainfall. This would generate a geopotential height decrease of

399   roughly 5.2 m, comparable with the lower tropospheric geopotential height anomalies prior to peak

400   rainfall shown in Figure 12a.

401   In the two mid-latitude regions, negative surface pressure anomalies several days prior to

402   peak rainfall contribute to negative geopotential height anomalies in the boundary layer. As peak

403   rain rates develop, the cold anomalies in the boundary layer and mid-levels contribute to the

404   intensification and upward extension of these negative geopotential height anomalies to 400 hPa.

405   These negative mid-level geopotential height anomalies are much stronger, deeper, and more

406   persistent than those observed in the tropics.

407

408   **5.9 Mass Divergence**

409   At a given pressure level, the net horizontal mass inflow or outflow from a convecting region

410   can be estimated using horizontal wind measurements from radiosonde arrays. We calculated the

411   mass divergence of the triangular arrays shown in Figure 1 using the following expression.

412   $$\nabla \cdot \vec{V} = \sum \frac{\vec{V} \cdot \vec{n} \, dl}{A} \qquad (3)$$

413   $V \cdot \vec{n}$ refers to the wind component normal with respect to a line that connects two points of the

414   array, dl to the distance between the two points, and A to the surface area of the array.

415   The number of divergence profiles available to construct the anomaly patterns is usually

416   much less than the number of available temperature or relative humidity profiles, partly because a

417   divergence calculation require a complete ring of simultaneous horizontal wind measurements. The

418   native resolution TRMM 3B42 dataset was used to calculate the average rainfall rate within the

419   arrays shown in Figure 1. The rain event frequency is in general smaller for larger arrays. On

420   average, there were 164 divergence profiles available per 3 h time bin from the two arrays in the

421   Western Tropical Pacific, 205 profiles from the 14 arrays in the Southeast United States, 414

422   profiles from the 17 arrays in the Southeast China, and 102 profiles from the 5 arrays in the

423   Equatorial Brazil.

424   Figure 13 shows the divergence anomaly patterns of each region. The four patterns are quite

425   similar. The dominant feature of each pattern is a strong divergence maximum in the upper

426   troposphere (200 hPa). This divergence maximum occurs near peak rainfall, but in three of the

427   regions shows a tendency for stronger persistence after peak rainfall. Each region also shows a

428   strong convergence feature in the boundary layer prior to peak rainfall, extending from the surface

429   to 800 hPa. In the Western Tropical Pacific, this feature occurs 6 hours prior to peak rainfall. For

430   rain events within the three land regions, the time difference between the boundary layer divergence

431   maximum and peak rainfall is roughly equal to 3 hours.

432   The divergence anomaly pattern of the Western Tropical Pacific region shown in Figure 13

433   exhibits an antisymmetric mid-level divergence dipole about peak rainfall [*Thompson et al.*, 1979;

434   *Mapes et al.*, 2006; *Mapes et al.*, 2009; *Mitovski et al.*, 2010]. Within the three land regions, there is

435   also a tendency for mid-level divergence to occur prior to peak rainfall and mid-level convergence

436   to occur after peak rainfall. However, the timing of these divergence features is closer to peak

437   rainfall than in the Western Tropical Pacific.

438

439   **5.10 Relative Vorticity**

440   Relative vorticity was calculated from the horizontal wind measurements of a radiosonde

441   array using the following expression.

$$\zeta = \sum \frac{V_p dl}{A} \quad (4)$$

443   V_p refers to the wind component parallel to a line connecting two points in the array, dl to the

444   distance between the two points, and A to the surface area of the array.

445   Unfortunately, the relative vorticity anomaly patterns of the two tropical regions were

446   irregular and did not show strong features. This can probably be attributed to the weakness of the

447   Coriolis parameter at the latitudes characteristic of the radiosonde arrays of these two regions,

448   which would weaken the relative impact of the divergence term on the local vorticity budget. Figure

449   14a and 14b shows the relative vorticity anomaly patterns of the two mid-latitude regions. Within

450   the Southeast China and Southeast United States regions, positive relative vorticity anomalies

451   develop in the boundary layer one day prior to peak rainfall. These features are presumably

452   associated with the coincident development of boundary layer convergence. At peak rainfall, the

453   positive vorticity anomalies intensify and grow vertically to 400 hPa. The timing in the growth and

454   vertical extent of these vorticity anomalies is consistent with the mid-level convergence features

455   shown in Figure 13. Both regions also show a strong negative (anticyclonic) vorticity anomaly in

456   the upper troposphere [*Cotton et al.*, 1989], as would be expected to develop in response to the

457   strong upper tropospheric outflow features shown in Figure 13.

458

459   **5.11 Potential Vorticity**

460   The production of Potential Vorticity (PV) within convective systems is believed to have a

461   role in the development of the midlevel jet [*Franklin et al.*, 2006]. PV was calculated from the

462   temperature and horizontal wind profiles of the radiosonde arrays using then following expression.

463 $$PV = (\zeta + f)\left(-g\frac{\partial \theta}{\partial p}\right) \qquad (5)$$

464 In this equation, ζ refers to relative vorticity, f to the Coriolis parameter, g to standard gravity

465 (9.806 ms^{-2}), θ to potential temperature, and p to pressure.

466   The PV and PV anomaly patterns about high rain events within the Southeast United States

467 and Southeast China regions are shown in Figure 14c, 14d, 14e, and 14f, respectively. The

468 tropopause is indicated by the strong vertical gradient in PV near 200 hPa. In both regions, high

469 rain events are associated with strong negative PV anomalies near the tropopause. The development

470 of this anomaly is consistent with a reduction in convective heating in going from the troposphere

471 to the stratosphere.

472   Figures 14e and 14f also show that high rain events within the two regions are associated with

473 positive PV anomalies that extend from the surface to 400 hPa. The stratiform type temperature

474 response shown in Figures 5 and 8 would be expected to be associated with positive stability and

475 PV anomalies in the mid-troposphere [*Hertenstein and Schubert*, 1991; *May et al.*, 1994; *Franklin*

476 *et al.,* 2006]. It has been shown that convective rainfall variability within the western half of the

477 Southeast United States region shown in Figure 1c is modulated by PV anomalies generated along

478 Rocky Mountains [*Li and Smith*, 2010]. This source of convective variability is likely to have some

479 influence on the overall PV anomaly in this region. Figure 14e also shows that high rain events in

480 the Southeast United States are also associated with strong positive PV anomalies near the surface.

481 This increase is consistent with the increase in boundary layer stability that occurs during high rain

482 events.

483

484 **5.12 Tendencies**

485   Figure 15a shows the relative humidity tendency about high rain events in the Western

486 Tropical Pacific, calculated from the relative humidity anomaly pattern shown in Figure 9a. The

487 tendency pattern is dominated by moistening during the growth stage of high rain events at all

488 altitudes, but especially in the upper troposphere. During the decay stage, drying is strongest in the

489 lower troposphere. Figure 15b shows the temperature tendency about high rain events in the

490 Western Tropical Pacific, calculated from the temperature anomaly patterns shown in Figure 5a.

491 The pattern is dominated by the development of a stratiform temperature response prior to peak

492 rainfall, and the erosion of this response after peak rainfall.

493   Diagnostic interpretations of the anomaly patterns which occur during the evolution of

494 strong convective events usually attribute the observed patterns to a combination of convective and

495 large scale forcings [*Yanai et al.*, 1973]. The large scale forcings refer, for example, to the

496   temperature and relative humidity tendencies associated with the mean vertical motion within a

497   radiosonde array and to horizontal advection. The convective forcings then refer to the sum of the

498   remaining physical tendencies which can not be attributed to the large scale flow. These include not

499   only the tendencies associated with moist convective circulations internal to the radiosonde array,

500   but also to the tendencies generated by other forms of turbulent mixing as well as radiative heating

501   and cooling. For a convective system, the particular partitioning of the observed (or residual)

502   tendency into large scale and convective components will depend on the size of the radiosonde

503   array used to diagnose the mean vertical velocity within the array.

504   Figure 15c shows the evolution of the domain averaged vertical motion (pressure velocity)

505   within the two Western Tropical Pacific radiosonde arrays shown in Figure 1. It was calculated

506   from the divergence anomaly pattern shown in Figure 13a, the climatological divergence profile of

507   each array, and by imposing the boundary condition $\omega = 0$ at the 100 hPa pressure level. During the

508   growth stage of high rain events, there is strong upward motion throughout the troposphere, with

509   somewhat larger ascent in the lower troposphere. After peak rainfall, the vertical motion is mainly

510   confined to the upper troposphere [*Mapes et al*., 2006]. Figure 15d shows the temperature tendency

511   pattern associated with the vertical motion pattern shown in Figure 15c, calculated by multiplying

512   the calculated pressure velocity by the appropriate static stability. The heating rate from the large

513   scale vertical motion appears to account for some features of the observed temperature tendencies.

514   In particular, the lower tropospheric warming after peak rainfall appears to be partially due to the

515   weakness of the lower tropospheric dynamical cooling during the decay stage of high rain events.

516

517   **6. Discussion in terms of two mode dynamical response**

518   Most of the rainfall variability in the Tropical West Pacific is associated with clusters of

519   enhanced convective activity propagating parallel to the equator and which have horizontal flows

520   similar to those exhibited by equatorially trapped shallow water waves [*Kiladis et al*., 2009]. One of

521   the more common wave types has a period of roughly 2 days, usually propagates westward with a

522   speed of $10 - 30$ m/s, and is referred to as the 2-day wave [*Takayabu*, 1994]. The temperature,

523   divergence, and specific humidity anomaly patterns generated by these waves [*Kiladis et al*., 2009]

524   are similar to the anomaly patterns obtained here by averaging over all tropical high rain events.

525   The anomaly patterns of the 2-day waves can be reproduced by a simple model in which the

526   dynamical response to convective heating is dominated by two vertical modes [*Haertel and Kiladis*,

527   2004]. The first vertical mode is associated with upward or downward vertical motion which

528   extends throughout the troposphere, peaks at mid-levels, and can be mainly thought of as the

529   dynamical response to full depth convective heating. The dynamical heating from this mode is

530   roughly equal to the full depth convective heating, but almost exactly out of phase. As illustrated in

531   Figure 16a, the full depth heating generated by deep convection is therefore almost exactly

532   cancelled locally by the adiabatic cooling generated by the upward motion of the first mode. As a

533   result, the induced subsidence heating and drying from deep convection is effectively exported to

534   larger spatial scales.

535   The convective heating and induced vertical motion patterns associated the second vertical

536   mode [*Haertel and Kiladis*, 2004] are illustrated in Figure 16c. During the growth stage of high

537   rain events, there is convective warming in the lower troposphere (e.g. from cumulus congestus

538   clouds), and convective cooling in the upper troposphere. The large scale upward motion in the

539   lower troposphere and downward motion in the upper troposphere of mode 2 give rise to the

540   observed mid-level divergence that occurs prior to peak rainfall, shown in Figure 13a. As with the

541   first vertical mode, the heating tendencies associated with convection and the dynamical response

542   oppose one another. However, because the magnitude of the dynamical heating exceeds the

543   magnitude of the convective heating, the observed temperature tendency during the growth stage of

544   high rain events is in phase with the dynamically induced cooling of the lower troposphere and the

545   dynamical warming of the upper troposphere. After peak rainfall, the convective heating of the

546   second mode is associated with convective cooling in the lower troposphere and convective

547   warming in the upper troposphere (e.g. as would arise from precipitating stratiform anvil clouds).

548   The induced dynamical motion is downward in the lower troposphere and upward in the upper

549   troposphere, as required to generate the observed mid-level convergence. The magnitude of the

550   dynamical heating again exceeds the magnitude of the convective heating, so that the net heating

551   rate from the second mode is positive in the lower troposphere and negative in the upper

552   troposphere, giving rise to the observed residual heating pattern which damps the stratiform

553   temperature anomaly pattern generated during the growth stage of high rain events.

554   The net upward lower tropospheric motion of the second mode would favour moistening of

555   the lower troposphere during the growth stage. Conversely, the net downward motion of the second

556   mode would favour the drying of the lower troposphere during the decay stage. These effects are

557   consistent with the observed relative humidity tendencies shown in Figure 15a. However, for the

558   upper troposphere, it is not possible to interpret the observed relative humidity tendency in terms of

559   the expected residual vertical motion of the second mode. This is particularly true during the growth

560   stage where the upper troposphere warms (suggesting net descent), but the observed moistening is

561   quite strong. This is presumably a reflection of the relatively greater role of detrained condensate in

562   affecting the moisture budget of the upper troposphere.

563   In the above conceptual model [*Haertel and Kiladis*, 2004], the growth and decay of the

564   dominant stratiform temperature response is attributed to an imbalance between the convective and

565   dynamical heating of the second mode. It is not clear why the dynamical heating tendency of the

566   second mode should be larger than that of the convective heating tendency. However, presumably,

567   there is a self selection procedure whereby the size, propagation speed, and heating patterns of

568   observed convective systems are self selected in ways that are favorable to their continued

569   existence. This would include the rapid removal of the deep convective heating signature via the

570   mode 1 dynamical response, so that the rainfall system continues to have sufficient CAPE, and the

571   mid-level divergence during the growth stage, which would increase the column moist static energy

572   [Haertel at al., 2008].

573   The mid-level divergence and associated upward lower tropospheric motion prior to peak

574   rainfall would also promote the development of positive column water vapor anomalies during the

575   growth stage of high rain events. Column water affects the growth of convective instabilities by

576   modifying the effect of entrainment on updraft buoyancy [*Sherwood*, 1999; *Sherwood and*

577   *Wahrlich*, 1999; *Raymond*, 2000]. For rain events in the Western Tropical Pacific, Figure 10 shows

578   that column water starts to increase up to 48 hours prior to peak rainfall. This is much earlier than

579   the onset of increases in rainfall (about 12 hours prior to peak rainfall), and suggests that the

580   induced lower tropospheric uplift from the second mode may extend a considerable distance in

581   front of a rain event. The radial temperature anomalies shown in Figure 8 support this viewpoint by

582   showing that the lower tropospheric cold anomalies extend roughly 600 km outward from high rain

583   events, again much larger than the size of the rain event itself. A slow synoptic scale rise in column

584   water starting roughly 36 hours prior to peak rainfall also occurring prior to rainfall increases has

585   been previously observed in other datasets [*Holloway and Neelin*, 2010].

586   The development of the stratiform temperature response during the growth stage of high rain

587   events contributes to increases in mid-level stability and PV. During the decay stage, the net

588   downward motion in the lower troposphere from the convective and large scale circulations (which

589   contribute to the observed decrease in lower tropospheric relative humidity) would also be expected

590   to transport the enhanced mid-level PV toward the surface. Although we could not calculate PV

591   anomaly patterns of the two tropical regions, the PV patterns shown in Figure 14 for the Southeast

592   United States and Southeast China regions give support for the existence of a tongue of higher PV

593   air extending downward toward the surface from the 400 hPa level after peak rainfall. The

594   downward advection of the mid-level PV maximum after peak rainfall may play a role in hurricane

595   genesis. In the "top down" theory of hurricane genesis [*Gjorgjievska and Raymond*, 2013], a mid-

596   level positive PV anomaly generated by the stratiform heating profile of a mesoscale convective

597 system is advected toward the surface by evaporative cooling, where it then initiates the formation

598 of a warm core anticyclone [*Bister and Emanuel*, 1997].

599

600 **7. Summary**

601   We have used the TRMM 3B42 gridded rainfall dataset to identify 2x2-degree rain events in

602 four regions: the Western Tropical Pacific, Tropical Brazil, Southeast China, and Southeast United

603 States. Within each region, we selected rain events in close proximity to radiosonde or surface

604 weather stations. These measurements were then used to construct composite anomaly patterns of a

605 large number of meteorological variables about high rain events. One motivation of this analysis

606 was to determine the regional similarities and differences in the interaction between strong

607 convective events and the background atmosphere. The second motivation was to help determine

608 the pathways by which the atmosphere returns to a balanced state following moist convection, and

609 to help understand how these pathways affect the evolution of convective systems.

610   Our analysis shows that there are many similarities in the interaction between strong

611 convective events and the background atmosphere between the four different regions. With the

612 partial exception of Southeast China (which appears to lack the 700 hPa cooling maximum), deep

613 convection occurs in association with the development of a stratiform type temperature response in

614 the background atmosphere. In all four regions, the upper tropospheric warming extends roughly

615 1000 km outward from deep convective events, while the lower tropospheric cooling extends

616 roughly 600 km. The upper tropospheric warming and lower tropospheric cooling generate

617 increased stability at mid-levels. The mid-level stability increase can be expected to enhance

618 convective detrainment in the mid-troposphere and promote the development of cumulus congestus

619 clouds. High rain events in each of the four regions are associated with strong boundary layer

620 cooling during the decay stage. This boundary layer cooling was stronger in the three land regions

621 than in the Western Tropical Pacific.

622   In two of the four regions, we were able to calculate the time evolution of the vertical

623 structure of CAPE in the boundary layer during high rain events. In agreement with previous

624 studies [*Sherwood and Wahrlich*, 1999] the boundary layer cooling after peak rainfall contributes to

625 the development of strongly negative CAPE anomalies throughout the boundary layer in both

626 regions. Within the Southeast United States region, the CAPE enhancement prior to peak rainfall

627 was roughly 200 J/kg. The near surface layer of positive CAPE was much deeper over land than the

628 ocean. Within the Southeast United States region, the positive CAPE layer extended to roughly 800

629 hPa, whereas within the Western Tropical Pacific region it extended to only 900 hPa.

630   There were regional variations in the interaction between strong convective events and the

631   background atmosphere. In the two mid-latitude regions, the mid and lower tropospheric negative

632   geopotential height anomalies that developed during and after high rain events were much stronger,

633   more vertically coherent, and more persistent than in the two tropical regions. These more strongly

634   negative geopotential height anomalies can be partly attributed to the more strongly negative

635   surface pressure anomalies that develop during mid-latitude convection. An additional difference is

636   that each of the three land regions exhibited warming in the lower troposphere prior to peak rainfall,

637   a feature absent from the tropical ocean region. Finally, the mid-level stratiform convergence of the

638   two mid-latitude regions occurred closer in time to peak rainfall than in the two tropical regions.

639   Within the Southeast United States region, rain events which occur during the evening are

640   associated with larger reductions in surface pressure than those which occur during the day. It is not

641   clear whether the larger surface pressure reductions at night were driven by a diurnal change in the

642   nature of deep convection, or whether the larger surface pressure reduction at night is associated

643   with more strongly forced synoptic environments for convective events. However, if convection

644   does indeed force the larger nighttime surface pressure reductions, diurnal changes in behavior of

645   deep convection may contribute to the observed diurnal cycle of surface pressure in this region.

646   Convective circulations modify the temperature, relative humidity, and surface pressure of the

647   background atmosphere, and contribute to the generation of geopotential height anomalies. These

648   geopotential height anomalies, in concert with any pre-existing dynamical forcings, contribute to

649   the large scale circulations which occur in association with convective events. The observed

650   anomaly patterns therefore result from both the direct effects of convection and the large scale flow.

651   We have suggested that a two mode dynamical model originally developed to explain the anomaly

652   patterns of the 2-day wave [*Haertel and Kiladis*, 2004], and later used to interpret anomalies

653   associated with the Madden-Julian oscillation [*Haertel et al.*, 2008], is an appropriate conceptual

654   model for interpreting the mean anomaly patterns of high rain events over the tropical oceans. In

655   this model, the negative mid-level geopotential height anomalies that develop during the growth

656   stage of convective events help drive a convergent mid-level inflow toward high rain events during

657   the decay stage that requires, by mass balance, compensatory lower tropospheric uplift in the

658   background atmosphere. This uplift appears to be responsible for the development of positive

659   column water vapor anomalies which develop up to 48 hours prior to peak rainfall, and roughly 36

660   hours prior to the onset of increases in rain rate.

661   We were able to calculate the vorticity and potential vorticity anomaly patterns of high rain

662   events in the Southeast China the Southeast United States regions. In both of these regions, high

663   rain events are associated with negative anomalies in both upper tropospheric relative and potential

664   vorticity which extend into the lower stratosphere. Below 400 hPa, convective events are associated

665   with positive anomalies in relative vorticity and potential vorticity. During the decay stage of high

666   rain events, the mid-level positive PV anomaly appears to be transported toward the surface by the

667   net downward circulation.

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796 **Figure Captions**

797

798 Figure 1. Open squares refer to the co-located IGRA radiosonde station and the ISH weather

799 stations used to construct the anomaly patterns. The triangles show the radiosonde arrays used to

800 calculate the mass divergence, relative vorticity, and potential vorticity anomaly patterns. The large

801 rectangles show the regions within which rain events were chosen to calculate the radial variation of

802 temperature about high rain events.

803

804 Figure 2. (a) The variation in mean rainfall during the growth and decay of the TRMM 3B42 2x2-

805 degree high rain events within each region. (b) The radial variation in mean rainfall about the high

806 rain events.

807

808 Figure 3. (a) The variation in TRMM 3B42 2x2-degree rainfall with local solar time, averaged over

809 the radiosonde locations of each region shown in Figure 1. (b) The diurnal variation in the number

810 of high rain events within each 3-hour time bin, calculated from all rain events used in the

811 construction of the temperature anomaly patterns.

812

813 Figure 4. The number of available soundings, at each local solar time, within 48 hours of the

814 rainfall events used in the construction of the temperature anomaly patterns. Different colors refer

815 to different regions.

816

817 Figure 5. (a), (b), (c), and (e) show temperature anomaly patterns about the TRMM high rain

818 events, calculated using IGRA radiosonde profiles from each region. (d) and (f) show temperature

819 anomaly patterns calculated using the COSMIC GPS temperature profiles.

820

821   Figure 6. Within each figure, the dotted line refers to an anomaly profile calculated using 1-h ISH

822   surface data, while the solid lines refer to anomaly profiles calculated using 12-h IGRA radiosonde

823   data. (upper row) The variation of the surface temperature anomaly during high rain events. (middle

824   row) The variation of surface relative humidity anomaly during high rain events. (bottom row) The

825   variation in the surface pressure anomaly during high rain events. Each column refers to a different

826   region with from left to right: the Tropical Western Pacific, Tropical Brazil, Southeast USA, and

827   Southeast China regions.

828

829   Figure 7. (a) The black curve of the top panel shows the diurnal variation in the surface pressure

830   anomaly, plotted against local solar time, of the surface stations within the Southeast United States

831   region shown in Figure 1 (June, July, and August). The red curve was obtained from the subset of

832   surface pressure measurements at which the local rain rate of each surface station exceeded 1.0

833   mm/h; (b) The solid black curve shows the surface pressure anomaly about high rain events

834   constructed using radiosonde measurements launched at 6 LT only. The red curve shows the surface

835   pressure anomaly about high rain constructed from radiosonde measurements at 18 LT only. The

836   black dashed line shows the combined surface pressure anomaly of the two radiosonde launch

837   times. (c) Each curve shows the surface pressure response, calculated using ISH surface station data

838   within the Southeast United States region, to TRMM rain events occurring at a particular time. For

839   each curve, the local time of the TRMM rain events is shown in the legend. The circles in the

840   middle panel indicate the local time of the rain events about which the pressure anomaly was

841   calculated. For example, the red circles of the middle panel indicate surface pressure anomalies

842   calculated from radiosondes launched at 18 LT, from rain events at 15 LT. Each red circle of the

843   middle panel corresponds to a red circle in the lower panel, which indicates the effect of rain

844   occurring events at that time on the surface pressure anomaly. In general, maxima in the curves of

845   the middle panel occur at times when the corresponding rain events are associated with a smaller

846   surface pressure anomaly, and vice versa.

847

848   Figure 8. The radial distribution of the temperature anomaly about high rain events in each region.

849   The horizontal axis refers to the radial distance between a radiosonde location and a TRMM high

850   rain event. The patterns were constructed from radiosonde launches within 3 h of a TRMM rain

851   event.

852

853   Figure 9. The variation in relative humidity during the evolution of high rain events in each region.

854   The horizontal axis refers to time since peak rainfall.

855

856   Figure 10. The variation of the total column water vapor anomaly about high rain events in each

857   region. The total column water vapor anomaly is a mass weighted vertical integral of the specific

858   humidity anomaly between 1000 and 200 hPa, as measured by a radiosonde profile.

859

860   Figure 11. Panels (a) and (b) show the variation in boundary layer CAPE during high rain events in

861   the Western Tropical Pacific and Southeast United States regions. The horizontal axis refers to time

862   since peak rainfall. Panels (c) and (d) are similar to the upper panels, but show the CAPE anomaly.

863

864   Figure 12. The variation in geopotential height (GPHT) during high rain events in each region. The

865   horizontal axis refers to time since peak rainfall.

866

867   Figure 13. The variation in the mass divergence anomaly during high rain events in each region.

868   The horizontal axis refers to time since peak rainfall.

869

870   Figure 14. Panels (a) and (b) show the variation in the relative vorticity anomaly about high rain

871   events, calculated from radiosonde arrays in the Southeast United States and China. Panels (c) and

872   (d) show the variation in Potential Vorticity (PV) about high rain events. Panels (e) and (f) show the

873   variation in the potential vorticity anomaly about high rain events. The horizontal axis refers to time

874   since peak rainfall. The potential vorticity is expressed in potential vorticity units (PVU), where 1

875    $PVU = 10^{-6} m^2 s^{-1} K kg^{-1}$.

876

877   Figure 15. (a) The relative humidity tendency pattern (dRH/dt) calculated from the Western

878   Tropical Pacific relative humidity pattern shown in Figure 9a. (b) The observed temperature

879   tendency pattern (dT/dt), calculated from the Western Tropical Pacific temperature anomaly

880   patterns shown in Figure 5a. (c) The vertical pressure velocity calculated from the Western Pacific

881   divergence anomaly pattern shown in Figure 13a. (d) The temperature tendency pattern due to

882   vertical advection, calculated from the vertical pressure velocity and stability anomaly patterns.

883

884   Figure 16. (a) The top panel shows the convective heating and large scale circulation associated

885   with mode 1. The convective heating is indicated with the red oval, and the large-scale dynamical

886   motions by the arrows. (b) Rainfall and column water anomalies about high rain events in Western

887   Tropical Pacific region. (c) The convective heating and large scale circulations associated with

888   mode 2. Dark blue and red ovals show observed cold and warm temperature anomalies. This figure

889   has been adapted and modified from Figure 10 of Haertel and Kiladis [2004].

Figure 1. Open squares refer to the co-located IGRA radiosonde station and the ISH weather stations used to construct the anomaly patterns. The triangles show the radiosonde arrays used to calculate the mass divergence, relative vorticity, and potential vorticity anomaly patterns. The large rectangles show the regions within which rain events were chosen to calculate the radial variation of temperature about high rain events.

a) Rain about t=0

T. West Pacific
T. Brazil
SE USA
SE China

[mm/h]

Time [h]

b) Rain with distance

[mm/h]

Distance [km]

Figure 2. (a) The variation in mean rainfall during the growth and decay of the TRMM 3B42 2x2-degree high rain events within each region. (b) The radial variation in mean rainfall about the high rain events.

a) Diurnal variation of rainfall

b) Number of Rain events

Figure 3. (a) The variation in TRMM 3B42 2x2-degree rainfall with local solar time, averaged over the radiosonde locations of each region shown in Figure 1. (b) The diurnal variation in the number of high rain events within each 3-hour time bin, calculated from all rain events used in the construction of the temperature anomaly patterns.

909

910   Figure 4. The number of available soundings, at each local solar time, within 48 hours of the

911   rainfall events used in the construction of the temperature anomaly patterns. Different colors refer

912   to different regions.

913

Figure 5. (a), (b), (c), and (e) show temperature anomaly patterns about the TRMM high rain events, calculated using IGRA radiosonde profiles from each region. (d) and (f) show temperature anomaly patterns calculated using the COSMIC GPS temperature profiles.

Figure 6. Within each figure, the dotted line refers to an anomaly profile calculated using 1-h ISH surface data, while the solid lines refer to anomaly profiles calculated using 12-h IGRA radiosonde data. (upper row) The variation of the surface temperature anomaly during high rain events. (middle row) The variation of surface relative humidity anomaly during high rain events. (bottom row) The variation in the surface pressure anomaly during high rain events. Each column refers to a different region with from left to right: the Tropical Western Pacific, Tropical Brazil, Southeast USA, and Southeast China regions.

a) Diurnal δPs

b) δPs about 0-24 rain events

c) δPs about 3-h rain events

929   Figure 7. (a) The black curve of the top panel shows the diurnal variation in the surface pressure

930   anomaly, plotted against local solar time, of the surface stations within the Southeast United States

931   region shown in Figure 1 (June, July, and August). The red curve was obtained from the subset of

932   surface pressure measurements at which the local rain rate of each surface station exceeded 1.0

933   mm/h; (b) The solid black curve shows the surface pressure anomaly about high rain events

934   constructed using radiosonde measurements launched at 6 LT only. The red curve shows the surface

935   pressure anomaly about high rain constructed from radiosonde measurements at 18 LT only. The

936   black dashed line shows the combined surface pressure anomaly of the two radiosonde launch

937   times. (c) Each curve shows the surface pressure response, calculated using ISH surface station data

938   within the Southeast United States region, to TRMM rain events occurring at a particular time. For

939   each curve, the local time of the TRMM rain events is shown in the legend. The circles in the

940   middle panel indicate the local time of the rain events about which the pressure anomaly was

941   calculated. For example, the red circles of the middle panel indicate surface pressure anomalies

942   calculated from radiosondes launched at 18 LT, from rain events at 15 LT. Each red circle of the

943   middle panel corresponds to a red circle in the lower panel, which indicates the effect of rain

944   occurring events at that time on the surface pressure anomaly. In general, maxima in the curves of

945   the middle panel occur at times when the corresponding rain events are associated with a smaller

946   surface pressure anomaly, and vice versa.

947

Figure 8. The radial distribution of the temperature anomaly about high rain events in each region. The horizontal axis refers to the radial distance between a radiosonde location and a TRMM high rain event. The patterns were constructed from radiosonde launches within 3 h of a TRMM rain event.
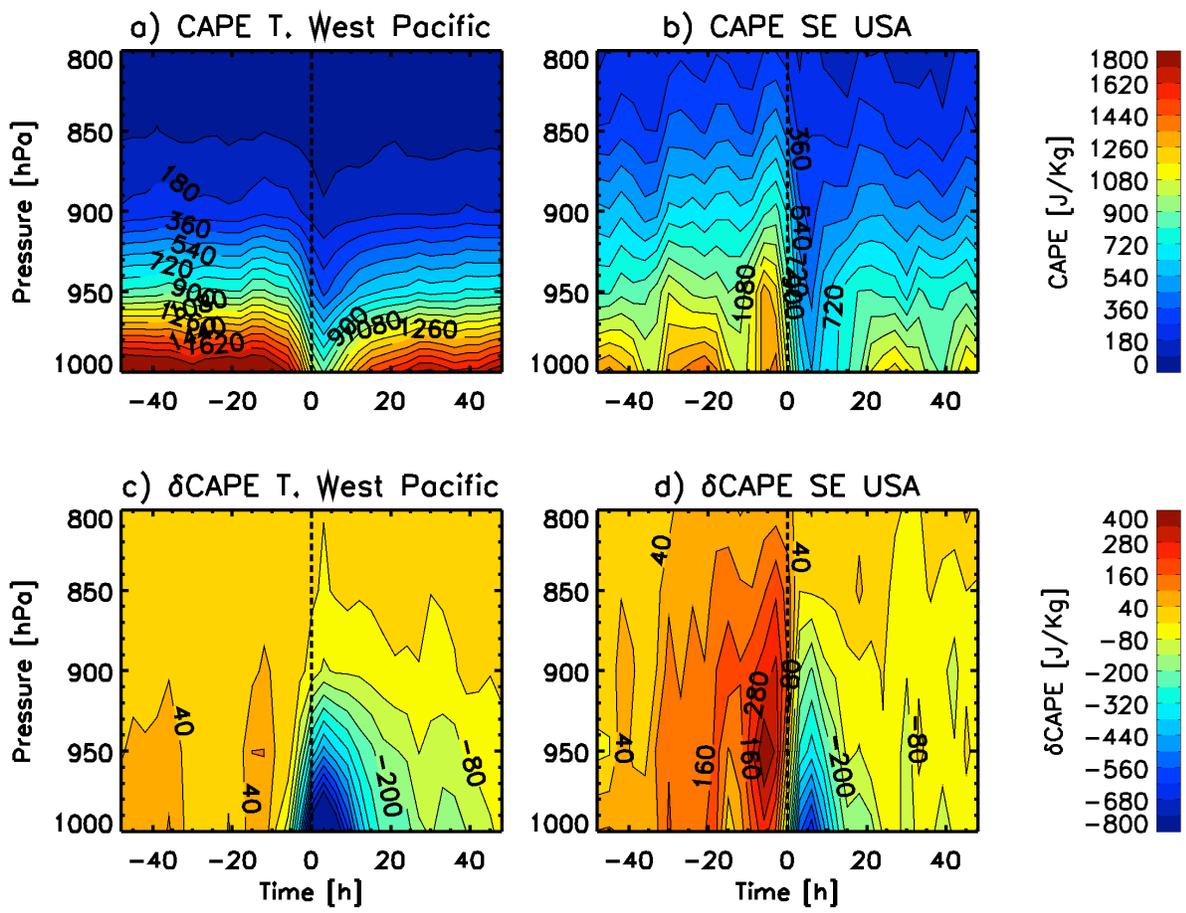
Figure 9. The variation in relative humidity during the evolution of high rain events in each region. The horizontal axis refers to time since peak rainfall.
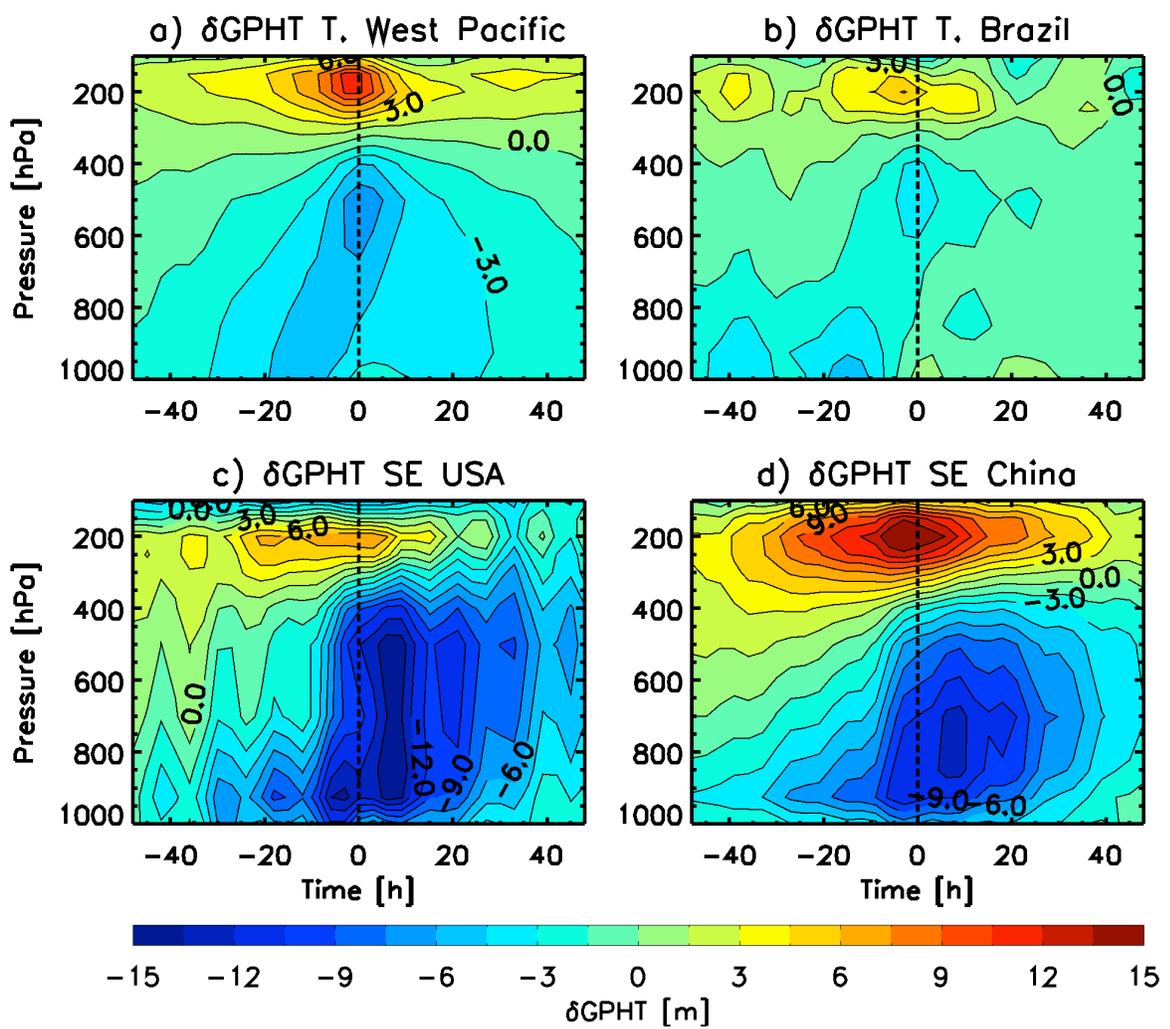
δ(Total Column Water Vapor)

958

959 Figure 10. The variation of the total column water vapor anomaly about high rain events in each

960 region. The total column water vapor anomaly is a mass weighted vertical integral of the specific

961 humidity anomaly between 1000 and 200 hPa, as measured by a radiosonde profile.

962

Figure 11. Panels (a) and (b) show the variation in boundary layer CAPE during high rain events in the Western Tropical Pacific and Southeast United States regions. The horizontal axis refers to time since peak rainfall. Panels (c) and (d) are similar to the upper panels, but show the CAPE anomaly.

Figure 12. The variation in geopotential height (GPHT) during high rain events in each region. The horizontal axis refers to time since peak rainfall.
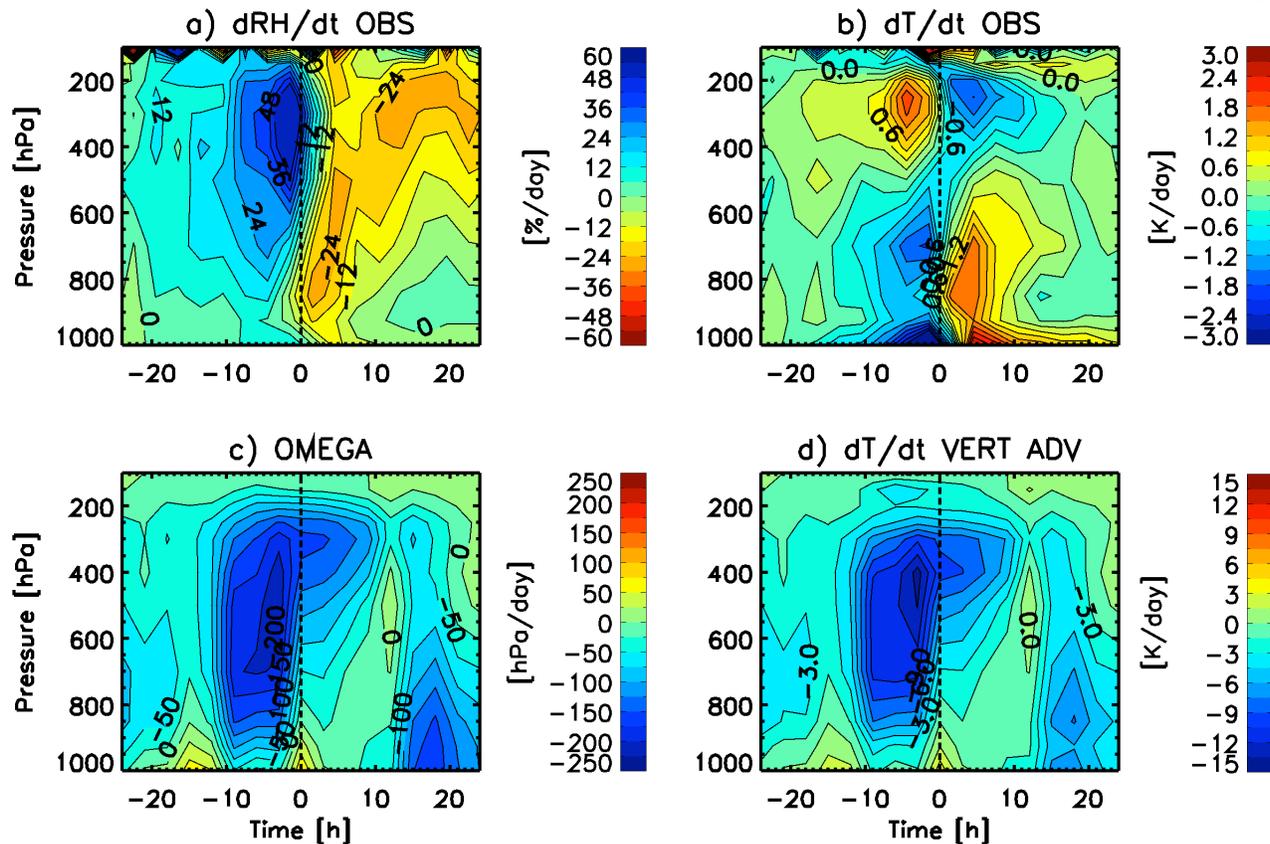
Figure 13. The variation in the mass divergence anomaly during high rain events in each region. The horizontal axis refers to time since peak rainfall.
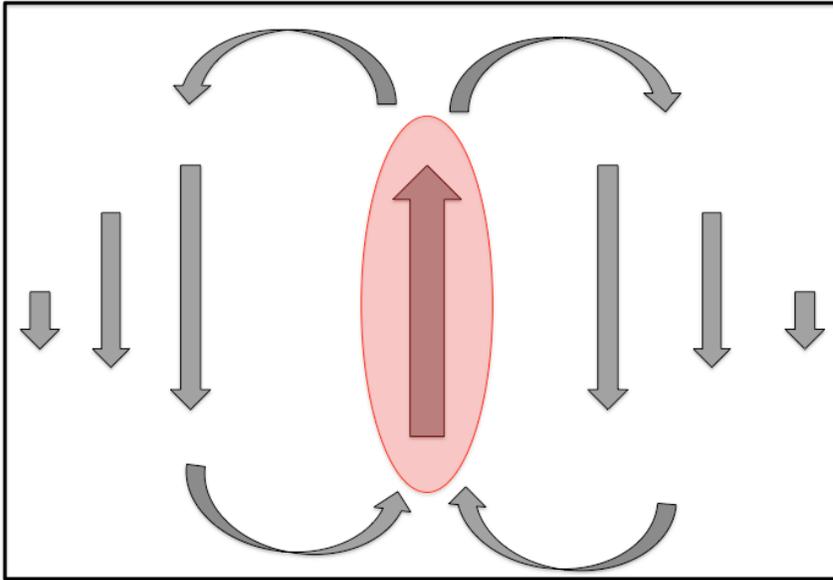
Figure 14. Panels (a) and (b) show the variation in the relative vorticity anomaly about high rain events, calculated from radiosonde arrays in the Southeast United States and China. Panels (c) and (d) show the variation in Potential Vorticity (PV) about high rain events. Panels (e) and (f) show the variation in the potential vorticity anomaly about high rain events. The horizontal axis refers to time since peak rainfall. The potential vorticity is expressed in potential vorticity units (PVU), where 1 PVU $= 10^{-6} m^2 s^{-1} K kg^{-1}$.
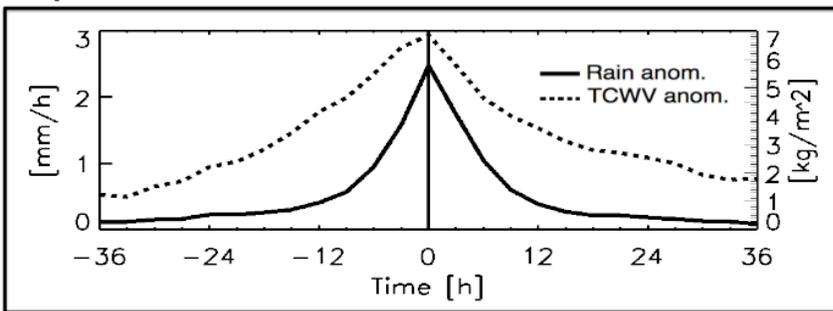
984

Figure 15. (a) The relative humidity tendency pattern (dRH/dt) calculated from the Western

Tropical Pacific relative humidity pattern shown in Figure 9a. (b) The observed temperature

tendency pattern (dT/dt), calculated from the Western Tropical Pacific temperature anomaly

patterns shown in Figure 5a. (c) The vertical pressure velocity calculated from the Western Pacific

divergence anomaly pattern shown in Figure 13a. (d) The temperature tendency pattern due to

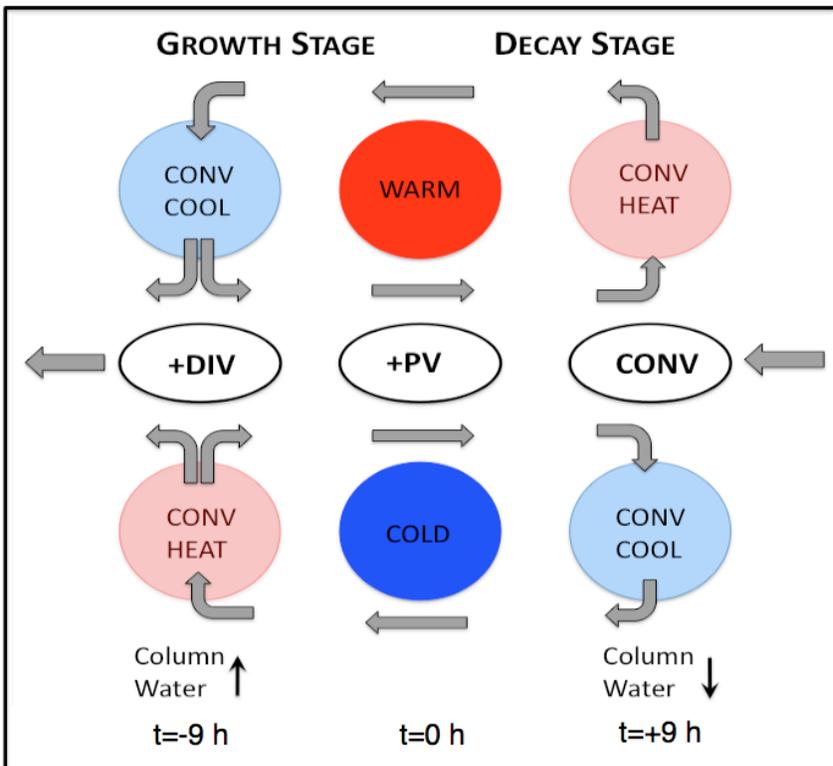vertical advection, calculated from the vertical pressure velocity and stability anomaly patterns.

991

a) Mode-1

b) Rain and Column Water anomalies

c) Mode-2

993   Figure 16. (a) The top panel shows the convective heating and large scale circulation associated

994   with mode 1. The convective heating is indicated with the red oval, and the large-scale dynamical

995   motions by the arrows. (b) Rainfall and column water anomalies about high rain events in Western

996   Tropical Pacific region. (c) The convective heating and large scale circulations associated with

997   mode 2. Dark blue and red ovals show observed cold and warm temperature anomalies. This figure

998   has been adapted and modified from Figure 10 of Haertel and Kiladis [2004].