

# THE $n$ -ORDERED GRAPHS—A NEW GRAPH CLASS

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ABSTRACT. For a positive integer  $n$ , we introduce the new graph class of  $n$ -ordered graphs, which generalize partial  $n$ -trees. Several characterizations are given for the finite  $n$ -ordered graphs, including one via a combinatorial game.

We introduce new countably infinite graphs  $R^{(n)}$ , which we name the infinite random  $n$ -ordered graphs. The graphs  $R^{(n)}$  play a crucial role in the theory of  $n$ -ordered graphs, and are inspired by recent research on the web graph and the infinite random graph. We characterize  $R^{(n)}$  as a limit of a random process, and via an adjacency property and a certain folding operation. We prove that the induced subgraphs of  $R^{(n)}$  are exactly the countable  $n$ -ordered graphs. We show that all countable groups embed in the automorphism group of  $R^{(n)}$ .

## 1. INTRODUCTION

As is well-known, a tree may be defined from  $K_1$  by recursively adding vertices of degree one. A more general notion is an  $n$ -tree,  $n \geq 1$ , which is a graph recursively built from  $K_n$  by adding a new vertex of degree  $n$  joined to an existing  $n$ -clique. A *partial  $n$ -tree* is a spanning subgraph of an  $n$ -tree (see [4] for more on partial  $n$ -trees).

We say that a countable graph  $G$  is called  *$n$ -ordered* if there exists a well-ordering  $(x_i : i \in I)$  of its vertices, where  $I$  is finite, or  $I$  has the order-type of  $\mathbb{N}$  so that each  $x_j$  has at most  $n$  neighbors  $x_i$ , with  $i < j$ . (We may consider other countable order-types, but the restriction above is sufficient for our purposes.) In other words, a vertex is joined to at most  $n$  vertices appearing earlier in the ordering. The ordering  $(x_i : i \in I)$  is an  *$n$ -ordering* of  $V(G)$ .

Each finite planar graph is 5-ordered, although  $K_5$  is 5-ordered and not planar. Every partial  $n$ -tree is  $n$ -ordered, but the converse is false

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in general for  $n \geq 3$ . For example, the graph  $G$  in Figure 1 is 3-ordered, but is not a partial 3-tree. If  $G$  is  $n$ -ordered, then by the greedy algorithm  $\omega(G), \chi(G) \leq n + 1$ .

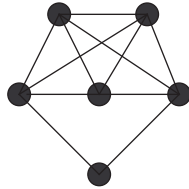


FIGURE 1. A 3-ordered graph that is not a partial 3-tree.

Consider the following countably infinite graph, which is defined as a limit of a certain chain of finite graphs. Let  $R_0 \cong K_n$ . For some  $t \geq 0$ , assume that  $R_t$  is a finite graph containing  $R_0$ . For each subset  $S$  of cardinality  $n$  in  $V(R_t)$ , add a new vertex  $x_S$  joined only to the vertices of  $S$ . We say that  $x_S$  *extends*  $S$ . The graph  $R_t$  along with the new vertices  $x_S$  defines the graph  $R_{t+1}$ . Let  $R^{(n)}$  be the graph with vertices  $\bigcup_{t \in \mathbb{N}} V(R_t)$  and edges  $\bigcup_{t \in \mathbb{N}} E(R_t)$ . We will write  $R^{(n)} = \lim_{t \rightarrow \infty} R_t$  for the graph formed as the limit of this chain of vertices and edges. We will call  $R^{(n)}$  the *infinite random  $n$ -ordered graph*, for reasons that will become apparent as we proceed (see Corollary 9 and Theorem 10). This construction is reminiscent of one construction of the so-called *infinite random* or *Rado* graph, written  $R$ . For  $R$ , at time-step  $t + 1$ , for *all* subsets  $S$  of  $V(R_t)$  (not just those of cardinality at most  $n$ ) add a new vertex  $z_S$  joined only to  $S$ . Hence,  $R$  results by adding vertices joined in all possible ways to existing vertices.

The graph  $R$  is a well-studied example of a countably infinite limit graph; see the surveys [5, 6] on  $R$  for additional background and references. Many new infinite limits have been recently discovered in relation to models for the *web graph*, whose vertices correspond to web pages, and edges represent links between pages. Several stochastic models for the web graph have been introduced (see [2]), and most are *on-line*, in the sense that new vertices appear over time. Hence, it is a natural question to ask about the properties of limits of graphs generated by these models. For further reading on various types of infinite limit graphs corresponding to real-world networks, the reader is directed to [3, 9].

The graph  $R$  satisfies the  *$n$ -existentially closed* or  *$n$ -e.c.* adjacency properties for all positive integers  $n$ . A graph is  *$n$ -e.c.* if for all disjoint finite sets of vertices  $A, B$  with  $|A \cup B| = n$ , there is a vertex  $z \notin A \cup B$

joined to each vertex of  $A$  and to no vertex of  $B$ . We say that  $z$  is *correctly joined* (or *c.j.*) to  $A, B$ . It is easy to see that  $R^{(n)}$  is  $n$ -e.c. A graph that is  $n$ -e.c. for all  $n$  we say is *e.c.* By a back-and-forth argument, a countably infinite graph is e.c. if and only if it is isomorphic to  $R$ . The graph  $R$  arises naturally via the following infinite random process which inspires its name. We add new vertices over countably many discrete time-steps. Fix  $p \in (0, 1)$ . At time  $t = 0$  start with any fixed finite graph. At time-step  $t + 1$ , add in a new vertex  $x_{t+1}$ . For each of the existing vertices  $y$ , add the edge  $yx_{t+1}$  independently with probability  $p$ . Erdős and Rényi proved in [7] that with probability 1, a limit generated by this random process is e.c. and hence, isomorphic to  $R$ . This instance of a random process with a seemingly deterministic conclusion makes  $R$  the centre of much research activity.

The goals of the present article are to present results on the new graph class of  $n$ -ordered graphs and on  $R^{(n)}$ . Our emphasis is on forging connections between properties of the infinite graph  $R^{(n)}$  with the class of finite  $n$ -ordered graphs. Several characterizations of  $n$ -ordered graphs are given in Theorem 2. For example, we show that  $n$ -ordered graphs may be characterized by a certain two player combinatorial game. The induced subgraphs of  $R^{(n)}$  are precisely the countable  $n$ -ordered graphs; see Theorem 10. We characterize the isomorphism type of  $R^{(n)}$  in Theorem 7 as the unique  $n$ -ordered graph which satisfies the strongly  $n$ -e.c. adjacency property, and show that every graph with this adjacency property embeds  $R^{(n)}$ . Hence, the graph  $R^{(n)}$  plays an intriguing role in the theory of  $n$ -ordered graphs: it is at once the maximal  $n$ -ordered graph (with respect to the induced subgraph relation), and the minimal graph satisfying the strongly  $n$ -e.c. adjacency property. We describe how  $R^{(n)}$  arises naturally as the limit of a random process in Theorem 8. Using our characterizations of  $R^{(n)}$ , we study the automorphism group of  $R^{(n)}$  and prove that it contains as subgroups isomorphic copies of all countable groups.

All graphs we consider are simple, undirected, and countable (that is, finite or countably infinite). We write  $G \leq H$  if  $G$  is isomorphic to an induced subgraph of  $H$ , and  $G \upharpoonright S$  for the subgraph induced by  $S \subseteq V(G)$ . We write  $G \cong H$  if  $G$  and  $H$  are isomorphic. The complement of  $G$  is written  $\overline{G}$ . The set of natural numbers, including 0, is denoted by  $\mathbb{N}$ . We write  $\aleph_0$  for the cardinality of  $\mathbb{N}$ .

## 2. THE CLASS OF $n$ -ORDERED GRAPHS

*Throughout the rest of the section, we will assume that  $G$  is finite, unless otherwise stated.* Let  $\delta(G)$  and  $\Delta(G)$  be the minimum and

maximum degrees of  $G$ , respectively. Given a graph  $G$ , a *simple  $n$ -reduction* consists of deleting a single vertex of degree at most  $n$ . An  *$n$ -reduction* consists of a sequence of simple  $n$ -reductions. A graph  $G$  is an  *$n$ -core* if no  $n$ -reductions are possible in  $G$ . An  *$n$ -core of  $G$*  is an induced subgraph  $H$  such that  $H$  is obtained from  $G$  by an  $n$ -reduction and  $H$  is an  $n$ -core. If an  $n$ -core  $H$  of  $G$  is nontrivial, then  $\delta(H) \geq n+1$ . For more on  $n$ -cores, see [1, 11].

Each finite graph  $G$  is  $|\Delta(G)|$ -ordered. Hence, the parameter

$$\Theta(G) = \min\{n : G \text{ is } n\text{-ordered}\}$$

is well-defined. We say that  $\Theta(G)$  is the *orderability* of  $G$ . The  $\Theta(G)$ -core of  $G$  is always  $K_1$ , and the location of this  $K_1$  in  $V(G)$  need not be unique. Further,  $\delta(G) \leq \Theta(G) \leq \Delta(G)$ .

The following lemma is a part of folklore, and has a straightforward proof. Note that it holds for both finite and infinite graphs.

**Lemma 1.** *Let  $G$  be a countable graph. For all non-negative integers  $n$ , the  $n$ -core of  $G$  is unique up to isomorphism.*

The  $n$ -core of  $G$  and the orderability of  $G$  may be computed in polynomial time. The algorithm for computing the  $n$ -core is simple (and well-known): iteratively delete vertices of degree at most  $n$  (in any order). The algorithm for computing  $\Theta(G)$  is also straightforward: find the  $r_0 = \delta(G)$ -core (where  $\delta(G)$  is the minimum degree of  $G$ ) of  $G$ ; call this  $G_1$ . Then find the  $r_1 = \delta(G_1)$ -core of  $G_1$ ; iterate this process until  $K_1$  is obtained. If the algorithm terminates in say  $k$  steps, then it is easy to see that  $\Theta(G) = \max\{r_0, r_1, \dots, r_{k-1}\}$ .

In the following, we introduce a new combinatorial game played on a graph  $G$  called  *$n$ -deletion*. The game  $n$ -deletion is inspired by the well-known game of Cops and Robber; see [10]. There are two players: a *deleter* and *mover*. They move on alternate time-steps, with the deleter beginning the first round of play. The deleter's move consists of a simple  $n$ -reduction on  $G$ . The mover starts at any vertex of  $G$ , and a move for him consists of moving to an adjacent vertex. The mover can never remain on a vertex for more than one round or the deleter wins. In particular, if the mover's position is on an isolated vertex, then the mover loses. If the mover can move indefinitely, even just back-and-forth on an edge, then the deleter loses. A winning strategy for the mover is defined in the usual way (there is no strategy possible for the deleter).

**Theorem 2.** *Let  $G$  be a graph, and let  $n \geq 1$  be fixed. The following are equivalent.*

- (1) *The graph  $G$  is  $n$ -ordered.*
- (2) *The  $n$ -core of  $G$  is  $K_1$ .*
- (3) *The deleter has a winning strategy for  $n$ -deletion played on  $G$ .*
- (4) *There is an acyclic orientation of  $G$  so that each vertex has out-degree at most  $n$ .*

*Proof.* It is straightforward to see that items (1) and (2) are equivalent. To see that (1) implies (4), let  $(x_i : 1 \leq i \leq r)$  be an  $n$ -ordering of  $G$ . Orient the edges so that  $(x_j, x_i)$  is directed edge whenever  $i < j$  and  $x_i x_j \in E(G)$ . Then each vertex has at most  $n$ -out-neighbours. Since vertices may only point to vertices with smaller index, there are no directed cycles. For (4) implies (1), embed the given acyclic orientation of  $G$  into a linear order. The latter ordering is an  $n$ -ordering.

We now prove that (1) and (3) are equivalent. Suppose first that  $G$  is  $n$ -ordered. The deleter deletes vertices of degree at most  $n$  until the resulting graph is  $K_1$ . This is possible since  $G$  is  $n$ -ordered. Either the mover occupies an isolated vertex after one of the deleter's moves, or the mover resides on the  $K_1$  after the deleter's last move. In either case, the deleter wins. Hence, (1) implies (3).

For (3) implies (1), suppose the graph  $G$  is not  $n$ -ordered. In particular, since (1) and (2) are equivalent, the  $n$ -core  $H$  of  $G$  has more than one vertex. The mover's strategy is to always stay in  $H$ . No matter what move the deleter makes, the mover is safe: since vertices of the  $n$ -core  $H$  have degree at least  $n + 1$ , they are never deleted in any move of the deleter. As  $n + 1 \geq 2$ , the mover may always move in  $H$ .  $\square$

A class of  $\mathcal{C}$  graphs is *hereditary* if  $G \leq H \in \mathcal{C}$  implies that  $G \in \mathcal{C}$ .

**Corollary 3.** *The class of  $n$ -ordered graphs is hereditary, and closed under the taking of countable disjoint unions.*

*Proof.* The proof follows by the equivalence of items (1) and (4) in Theorem 2.  $\square$

The *Cartesian product*  $G \square H$  of graphs  $G$  and  $H$  has vertices  $V(G) \times V(H)$  and edges  $(a, b)(c, d)$  if and only if  $ac \in E(G)$  and  $b = d$  or  $bd \in E(H)$  and  $a = c$ . Orderability is additive with respect to the Cartesian product, as our next result demonstrates.

**Theorem 4.** *For graphs  $G$  and  $H$ ,  $\Theta(G \square H) = \Theta(G) + \Theta(H)$ .*

*Proof.* Let  $m = \Theta(G)$  and  $n = \Theta(H)$ . If  $m$  or  $n$  equal 0, then the result follows. For example, if  $m = 0$ , then  $G$  is independent. Then  $G \square H$  is isomorphic to  $|V(G)|$  many disjoint copies of  $H$ , and so  $\Theta(G \square H) = \Theta(H)$ .

Suppose that  $m, n > 0$ . We first give an  $(m + n)$ -ordering of  $G \square H$  which will supply that  $\Theta(G \square H) \leq \Theta(G) + \Theta(H)$ . Let  $\{x_1, \dots, x_r\}$  be an  $m$ -ordering of  $G$ , and let  $\{y_1, \dots, y_s\}$  be an  $n$ -ordering of  $G$ . Order the pairs  $(x, y)$  of  $G \square H$  lexicographically; that is,  $(x, y) < (x', y')$  if and only if  $x < x'$ , or  $x = x'$  and  $y < y'$ . It is straightforward to see that this is an  $(m + n)$ -ordering of  $G \square H$ .

For the lower bound on  $\Theta(G \square H)$ , we consider the  $(m + n - 1)$ -core of  $G \square H$ . The  $(m - 1)$ -core  $A$  of  $G$  is non-trivial, and the  $(n - 1)$ -core  $B$  of  $H$  is non-trivial. Then  $A \square B$  is an induced subgraph of  $G \square H$  with minimal degree  $(m - 1) + 1 + (n - 1) + 1 = m + n > 0$ . Hence, the  $(m + n - 1)$ -core of  $G \square H$  is non-trivial (as it contains  $A \square B$ ), and so by Theorem 2,  $\Theta(G \square H) \geq \Theta(G) + \Theta(H)$ .  $\square$

It would be interesting to consider variants of the class of  $n$ -ordered graphs where at each time-step, different types of subsets are extended. A natural example (which results in graphs with chromatic number at most  $n + 1$ ) extends all subsets with chromatic number at most  $n$ . We leave the consideration of this and analogous classes as an open-ended problem.

### 3. PROPERTIES OF $R^{(n)}$ AND A RANDOM PROCESS

We consider various isomorphic ways (both deterministic and random) of representing  $R^{(n)}$ . We begin by supplying some structural information on the graph  $R^{(n)}$  itself. A graph  $G$  is *strongly  $n$ -e.c.* if for all  $n$ -subsets  $A$  of vertices, and finite subsets  $B$ , there is a vertex  $z \notin A \cup B$  correctly joined to  $A, B$ . Note that if  $G$  is strongly  $n$ -e.c., then  $G$  is infinite and strongly  $m$ -e.c. for all positive  $m < n$  (vertices not in  $A$  or  $B$  can be added to  $A$  to form a set of cardinality  $n$ ).

Let  $J = \lim_{t \rightarrow \infty} H_t$  be a limit of a countable sequence  $\mathcal{C} = (H_t : t \in \mathbb{N})$  of graphs, where  $H_t \leq H_{t+1}$  for all  $t \in \mathbb{N}$ . Define  $\text{age}_{\mathcal{C}} : V(J) \rightarrow \mathbb{N}$  by

$$\text{age}_{\mathcal{C}}(x) = \begin{cases} t & \text{if } x \in V(H_t) \setminus V(H_{t-1}) \text{ where } t > 0; \\ 0 & \text{else.} \end{cases}$$

We will simply write  $\text{age}(x)$  if  $\mathcal{C}$  is clear from context. The age of a finite subset, written  $\text{age}(S)$ , is  $\max\{\text{age}(x) : x \in S\}$ .

**Theorem 5.** *Fix a positive integer  $n$ .*

- (1) *The graph  $R^{(n)}$  is strongly  $n$ -e.c., but not  $(n + 1)$ -e.c.*
- (2) *If  $G$  is a strongly  $n$ -e.c. graph, then  $R^{(n)}$  is an induced subgraph of  $G$ .*
- (3)  *$R^{(n)} \leq R^{(n+1)}$ .*
- (4)  *$\lim_{n \rightarrow \infty} R^{(n)} \cong R$ .*

*Proof.* For (1), fix  $A$  an  $n$ -subset and  $B$  a finite set in  $V(R^{(n)})$ , with  $A$  and  $B$  disjoint. Let  $t_0 = \text{age}(A \cup B)$ . Then  $z_A \in R_{t_0+1}$  is correctly joined to  $A$  and  $B$ .

Let  $S_1, S_2, \dots, S_{n+1} \subseteq V(R_t)$  be  $n+1$  disjoint  $n$ -subsets, and, for each  $S_i$ ,  $1 \leq i \leq n+1$ , let  $z_{S_i}$  be the new vertex in  $R_{t+1}$  that extends  $S_i$ . Take  $S = \{z_{S_i} : 1 \leq i \leq n+1\}$ . No vertex of  $R_{t+1}$  is joined to all of  $S$ . Assume that no vertex of  $R_j$  is joined to all of  $S$ , where  $j \geq t+1$  is fixed. No vertex of  $R_{j+1}$  is joined to all of  $S$ . Hence,  $R^{(n)}$  is not  $(n+1)$ -e.c.

For item (2), we proceed by induction on  $t$  to embed  $R_t$  in  $G$ . For the inductive step, let  $R'_t$  be the copy of  $R_t$  in  $G$ . For  $k \leq n$ , by the strongly  $n$ -e.c. property for  $G$ , for each  $k$ -subset  $S$  of  $V(R'_t)$ , there is a vertex  $z_S$  of  $V(G) \setminus V(R'_t)$  joined to  $S$  and to no vertex of  $V(R'_t) \setminus S$ . Add each of these vertices  $z_S$  to  $V(R'_t)$  successively, in such a way that they are all pairwise non-joined, and let  $R'_{t+1}$  be the resulting subgraph. Then clearly,  $R'_{t+1} \cong R_{t+1}$  and  $R'_t \leq R'_{t+1}$ . Hence, the chain  $(R'_t : t \in \mathbb{N})$  has a limit isomorphic to  $R^{(n)}$ , which is in turn isomorphic to an induced subgraph of  $G$ .

Item (3) follows from (2), since  $R^{(n+1)}$  is strongly  $n$ -e.c. For item (4), first note that the limit is defined with respect to the chain  $(R^{(n)} : n \in \mathbb{N} \setminus \{0\})$ , where  $R^{(n)}$  is an induced subgraph of  $R^{(n+1)}$  (as in (3)). Let  $G = \lim_{n \rightarrow \infty} R^{(n)}$ . It is sufficient to prove that  $G$  is e.c. For this, let  $A, B$  be disjoint finite subsets of  $G$ . Suppose that  $m_1 = |A \cup B|$ . Further, suppose that  $m_2$  is such that  $A \cup B \subseteq V(R^{(m_2)})$ . Let  $m = m_1 + m_2$ . Then  $A \cup B \subseteq V(R^{(m)})$ , and  $R^{(m)}$  is  $m$ -e.c. Therefore, there is a vertex correctly joined to  $A, B$  in  $R^{(m)}$ , hence in  $G$ .  $\square$

Let  $G$  and  $H$  be countable graphs such that  $H \leq G$  and  $|V(H)| \geq n$ . We say that  $H \preceq_{(n,v)} G$  if there is a vertex  $v \in V(G)$  such that  $H = G - v$  and  $\deg_G(v) = n$ . We write  $H \preceq_{(n)} G$  if there is countable chain  $(G_t : t \in I)$  so that  $G_0 \cong H$  and  $G_t \preceq_{(n,v)} G_{t+1}$  for some  $v \in G_{t+1}$ , and if  $I$  is finite, then  $G$  equals the  $G_t$  with maximum index; if  $I$  is infinite, then  $G = \lim_{t \rightarrow \infty} G_t$ . For example,  $K_n \preceq_{(n)} R^{(n)}$  for all positive integers  $n$ .

For another illustrative example, consider the unique isomorphism type  $T_\infty$  of a countable tree such that each vertex has infinite degree. It is straightforward to check that  $K_1 \preceq_{(1)} T_\infty$ ,  $T_\infty$  is strongly 1-e.c., and  $R^{(1)}$  is isomorphic to  $T_\infty$ .

It is clear that  $\preceq_{(n)}$  is an order relation when restricted to finite graphs. Our next theorem demonstrates that it is an order relation also on countable graphs.

**Theorem 6.** *The relation  $\preceq_{(n)}$  is transitive on countable graphs.*

*Proof.* Let  $G$ ,  $H$ , and  $J$  be countable graphs such that  $G \preceq_{(n)} H \preceq_{(n)} J$ . We consider the only nontrivial case where  $V(H) \setminus V(G)$  and  $V(J) \setminus V(H)$  are both countably infinite.

Suppose that  $H = \lim_{n \rightarrow \infty} G_t$ , where  $G_0 \cong G$ , and  $G_t \preceq_{(v_{t+1}, n)} G_{t+1}$  for all  $t \in \mathbb{N}$ . That is, for  $t \geq 1$ ,  $v_t$  is the unique vertex in  $V(G_t) \setminus V(G_{t-1})$ , and  $v_t$  has degree exactly  $n$  in  $G_t$ . Similarly, suppose that  $J = \lim_{n \rightarrow \infty} H_t$ , where  $H_0 \cong H$ , and  $H_{t-1} \preceq_{(u_t, n)} H_t$  for all  $t \in \mathbb{N} \setminus \{0\}$ . Thus, for  $t \geq 1$ ,  $u_t$  is the unique vertex in  $V(H_t) \setminus V(H_{t-1})$ . Note that the two chains  $(v_t : t \geq 1)$  and  $(u_t : t \geq 1)$  enumerate all vertices in  $V(J) \setminus V(G)$ .

Define the binary relation  $\ll$  on  $V(J) \setminus V(G)$  as follows. We have that  $v_i \ll v_j$  if and only if  $i \leq j$ ,  $u_i \ll u_j$  if and only if  $i \leq j$ , and  $v_i \ll u_j$  if and only if  $u_i v_j \in E(J)$ . Let  $<$  be a linear extension of the transitive closure of the relation  $\ll$ , and let  $(w_t : t \geq 1)$  be a strictly increasing chain listing all the elements of  $V(J) \setminus V(G)$  according to this linear order.

We claim that  $G \preceq_{(n)} J$  via the chain  $(w_t : t \geq 1)$ . Namely, let  $J_0 = G$ , and for  $t \geq 1$ , let  $J_t$  be the subgraph of  $J$  induced on  $\{w_1, \dots, w_t\}$ . We will show that  $J_t \preceq_{(w_{t+1}, n)} J_{t+1}$  for all  $t \geq 0$ . For this, it is enough to show that for each  $t \geq 1$ ,  $w_t$  has exactly  $n$  neighbours in  $J_t$ .

Fix  $t \geq 1$ . We consider two cases. First, suppose  $w_t = v_i$  for some  $i \geq 1$ . By construction, we know that

$$(3.1) \quad |N_J(v_i) \cap (V(G) \cup \{v_1, v_2, \dots, v_{i-1}\})| = n.$$

It follows from the ordering of the  $w_t$  that

$$(3.2) \quad \{w_{i'} : 1 \leq i' \leq t-1\} \cap \{v_{i'} : i' \geq 1\} = \{v_1, \dots, v_{i-1}\}.$$

Hence, (3.1) and (3.2) imply that  $w_t$  has exactly  $n$  neighbours in  $V(G_{t-1}) \setminus \{u_j : j \geq 1\}$ . Suppose that  $w_t$  is joined to a vertex  $u_j \in V(J_{t-1})$ . Then  $u_j = w_{t'}$  for some  $t' < t$ , and  $u_j v_i \in E(J)$ . Therefore,

$$w_t = v_i \ll u_j = w_{t'},$$

which contradicts our assumption about the ordering of the  $w_t$ .

For the second case, suppose that  $w_t = u_j$ . In this case

$$(3.3) \quad |N_J(u_j) \cap (V(H) \cup \{u_1, \dots, u_{j-1}\})| = n.$$

Also,

$$(3.4) \quad \{w_{i'} : 1 \leq i' \leq t-1\} \cap \{u_{i'} : i' \geq 1\} = \{u_1, \dots, u_{j-1}\}.$$

Since  $V(H)$  includes all the  $\{v_i : i \geq 1\}$ , (3.3) and (3.4) implies that  $w_t$  has exactly  $n$  neighbours in  $V(J_{t-1}) \cup \{v_i : i \geq 1\}$ . Suppose that  $w_t$  is



joined to a vertex  $v_i$  so that  $v_i \notin V(J_{t-1})$ , so  $v_i = w_{t'}$  with  $t' > t$ . Then

$$w_{t'} = v_i \ll u_j = w_t,$$

which contradicts our assumption about the ordering of the  $w_t$ .  $\square$

Our next result uses the strongly  $n$ -e.c. properties and the relations  $\preceq_{(n)}$  to characterize the isomorphism type of  $R^{(n)}$ .

**Theorem 7.** *Let  $n$  be a fixed positive integer and let  $G$  be a countable graph. Then  $G \cong R^{(n)}$  if and only if  $G$  is strongly  $n$ -e.c. and  $K_n \preceq_{(n)} G$ .*

*Proof.* As the forward direction is immediate, we prove the reverse direction. Suppose, without loss of generality, that  $G = \lim_{n \rightarrow \infty} G_t$ , where  $G_0 \cong K_n$ , and  $G_t \preceq_{(n)} G_{t+1}$  for all  $t \in \mathbb{N}$ . Enumerate  $V(G) \setminus V(G_0)$  as  $\{v_t : t \in \mathbb{N} \setminus \{0\}\}$  so that  $v_t$  is the unique vertex of  $G_t$  not in  $G_{t-1}$ .

Let  $f_0 : G_0 \rightarrow R_0$  be any fixed isomorphism. As the induction hypothesis, suppose that for a fixed  $t \geq 0$ , there is a finite induced subgraph  $J_t$  of  $G$  containing  $G_t$  along with an isomorphism  $f_t : J_t \rightarrow R_t$  extending  $f_0$ .

The graph  $R_{t+1}$  is formed from  $R_t$  by extending all  $n$ -sets of vertices. If we can find analogous vertices in  $G$  for all  $n$ -subsets of  $J_t$ , including the vertex  $v_{t+1}$ , then this will define  $J_{t+1}$ .

The vertex  $v_{t+1}$  extends some fixed  $n$ -set  $S$  of  $G_t$ . List the  $n$ -sets of  $R_t$  as  $(X_i : 1 \leq i \leq r)$ , with  $X_1 = f_t(S)$ . By induction, we may find vertices  $a_i$  extending the  $n$ -sets  $f_t^{-1}(X_i)$ , so that none of the  $a_i$  are pairwise joined. More explicitly, let  $a_1 = v_{t+1}$ . Assuming  $a_1, \dots, a_i$  have been chosen such that  $\text{age}(a_j) < \text{age}(a_{j+1})$  for all  $1 \leq j \leq i$ , choose  $a_{i+1}$  to be the first  $v_k$  extending  $f_t^{-1}(X_{i+1})$  so that  $\text{age}(v_k) > \text{age}(a_i)$ . Note that  $v_k$  is not joined to any  $v_j$ , where  $j < k$ , unless  $v_j \in f_t^{-1}(X_{i+1})$ . The vertex  $v_k$  exists by the strongly  $n$ -e.c. property for  $G$ . Define  $J_{t+1}$  to be the subgraph induced by  $V(G_t) \cup \{a_i : 1 \leq i \leq r\}$ . It is straightforward to see that  $f_{t+1} : J_{t+1} \rightarrow R_{t+1}$  is an isomorphism.

Define  $f : G \rightarrow R^{(n)}$  by  $f = \bigcup_{t \in \mathbb{N}} f_t$ . Then  $f$  is an isomorphism by construction.  $\square$

We now introduce a new random graph process which we name *Model  $n$*  which with high probability will generate  $R^{(n)}$ . The model has some similarities to the Erdős–Rényi model for  $R$ , but there are important differences. In *Model  $n$*  new vertices are added so that older vertices have a higher probability of acquiring new neighbours than their younger counterparts.

For *Model  $n$* , the single parameter of the model is  $n \in \mathbb{N} \setminus \{0\}$ . Start with  $G_0 \cong K_n$ , with vertices labelled  $v_1, \dots, v_n$ . For  $t \geq 0$  fixed, assume that  $G_{t-1}$  has been defined and there are finitely many vertices in

$G_t$ . At time  $t$ , add a new vertex  $v_{n+t}$ , and choose a set  $S$  of  $n$  distinct vertices from  $V(G_{t-1})$ , where the probability that a vertex  $v_i$  is included in the set is exponentially proportional to its age. More precisely, for each  $S = \{v_{i_1}, \dots, v_{i_n}\}$ , define  $\mu(S) = 2^{-(i_1 + \dots + i_n)}$ . Define

$$C_t = \sum_{1 \leq j_1 < j_2 < \dots < j_n \leq t+n-1} 2^{-(j_1 + j_2 + \dots + j_n)}.$$

In particular,  $C_t$  is the sum of all the  $\mu(S)$ , where  $S$  a subset of cardinality  $n$  from  $V(G_{t-1})$ . The probability that  $S$  is chosen from  $V(G_{t-1})$  equals  $\mu(S)/C_t$ . If  $S$  is chosen, then join  $v_{n+t}$  to each vertex of  $S$ . The probability of an event  $A$  in a probability space is written  $\mathbb{P}(A)$ .

**Theorem 8.** *Let  $G = \lim_{t \rightarrow \infty} G_t$ , where  $G_t$  was generated by Model  $n$ . With probability 1,  $G$  is strongly  $n$ -e.c.*

*Proof.* Fix disjoint finite subsets of  $V(G)$ ,  $A$  and  $B$ , so that  $|A| = n$ . We prove that the probability that there is no vertex correctly joined to  $A$  and  $B$  in  $G$  is 0. As there are only countably many choices for  $A$  and  $B$  and a countable union of measure 0 sets is measure 0, the proof will follow. Let  $A = \{v_{i_1}, \dots, v_{i_n}\}$ , so that  $\text{age}(v_{i_j}) \leq \text{age}(v_{i_{j+1}})$ , for all  $1 \leq j \leq n$ . Let  $t_0 = \text{age}(A \cup B)$ . For each  $t \geq t_0 + 1$ , let  $V_t$  be the event that  $v_{n+t}$  is joined to all vertices in  $A$  and to no vertex in  $V(G_{n+t-1})$ . Then

$$\mathbb{P}(V_t) = 2^{-(i_1 + \dots + i_n)} / C_t.$$

Note that  $C_t \leq \left(\sum_{j=1}^{t+n-1} 2^{-j}\right)^n \leq 1$  for all  $t$ . Therefore, if we let  $t'$  be the minimum age of a vertex in  $A \cup B$ , then

$$(3.5) \quad \mathbb{P}(V_t) \geq 2^{-(i_1 + \dots + i_n)} \geq 2^{-nt'}$$

for all  $t \geq t_0$ .

Therefore, the probability that there exists no vertex in  $G$  that is c.j. to  $A$  and  $B$  is at most

$$\mathbb{P}\left(\bigcap_{t=t_0}^{\infty} \bar{V}_t\right) = \prod_{t=t_0}^{\infty} (1 - \mathbb{P}(V_t)) \leq \lim_{t \rightarrow \infty} (1 - 2^{-nt'})^t = 0.$$

□

In Model  $n$ , exactly  $n$  edges are added at each time-step from the new vertex to existing vertices. Hence, by Theorems 7 and 8 we have the following.

**Corollary 9.** *With probability 1, a limit generated by Model  $n$  is isomorphic to  $R^{(n)}$ .*

Corollary 9 gives some insight into the finite graphs generated by Model  $n$ . For example, as we will prove in Theorem 10, each finite partial (in fact, countable)  $n$ -tree embeds in  $R^{(n)}$ . Hence, with probability 1 as  $t \rightarrow \infty$ , each finite partial  $n$ -tree embeds in the graph  $G_t$  generated by Model  $n$ .

#### 4. THE $n$ -ORDERED GRAPHS AND $R^{(n)}$

The  $n$ -ordered graphs play an important role in the structure of  $R^{(n)}$ . Our first result characterizes the class of isomorphism types of countable induced subgraphs of  $R^{(n)}$  (sometimes referred to as the *age* of the graph).

**Theorem 10.** *A countable graph  $G$  is an induced subgraph of  $R^{(n)}$  if and only if  $G$  is  $n$ -ordered.*

*Proof.* For the forward direction, suppose that  $G \leq R^{(n)}$ . List the vertices of  $G$  according to their age in  $R^{(n)}$  from youngest to oldest:  $V(G) = (x_i : i \in I)$ , where  $I$  is finite or  $I = \mathbb{N}$ . It is not hard to see that this gives an  $n$ -ordering of  $G$ .

For the reverse direction, let  $(x_i : i \in I)$  be a fixed  $n$ -ordering of  $G$ , so that  $G = \lim_{t \rightarrow \infty} G_t$ , where  $G_t$  is the graph induced on  $\{x_i : i \leq t\}$ . We embed  $G_t$  into  $R^{(n)}$  inductively. Let  $G'_0$  be the graph induced on a fixed vertex  $x$  of  $R^{(n)}$ . For  $t \geq 0$ , assume that  $G_t \cong G'_t \leq R^{(n)}$ , and that  $G'_t$  contains  $x$ . Let  $\text{age}(G'_t) = s$ . The vertex  $x_{t+1}$  is joined to at most  $n$  vertices  $S$  in  $G_t$ . Let  $T = V(G_t) \setminus S$ , and let  $S'$  and  $T'$  be the corresponding subsets of  $V(G'_t)$ . Let  $X'$  be a set in  $R^{(n)}$  with cardinality  $n - |S'|$  that is disjoint from  $S'$  and  $T'$ . Suppose that  $\text{age}(X') = t'$ ; without loss of generality,  $t' > t$ .

Then  $z_{S' \cup X'} \in R_{t'+1}$  is joined to  $S'$  in  $R_t$  and no vertex of  $T'$ . Hence,

$$G'_{t+1} = R_{t'+1} \upharpoonright (V(G'_t) \cup \{z_{S'}\}) \cong G_{t+1},$$

and  $G'_t \leq G'_{t+1}$ .

It follows that

$$G = \lim_{t \rightarrow \infty} G_t \cong \lim_{t \rightarrow \infty} G'_t \leq R^{(n)}.$$

□

**Corollary 11.** *The graph  $R^{(n)}$  embeds all countable  $n$ -ordered graphs.*

Hence, the graph  $R^{(n)}$  is a countable *universal*  $n$ -ordered graph (that is, embeds all countable  $n$ -ordered graphs), analogous to the infinite random graph, which is a countable universal graph. Furthermore, every strongly  $n$ -e.c. graph embeds  $R^{(n)}$  by Theorem 5 (2). The graph

$R^{(n)}$  is then both a maximal  $n$ -ordered graph and a minimal strongly  $n$ -e.c. graph (with respect to the embedding order on countable graphs).

We may vary the definition of  $R^{(n)}$  somewhat and obtain an isomorphic graph. For example, for a countable graph  $G$ , define  $R^{(n,G)}$  by the same limit process used to define  $R^{(n)}$  but with the initial graph  $R_0 \cong G$ . A graph *generates*  $R^{(n)}$  if  $R^{(n,G)} \cong R^{(n)}$ . We do not know exactly which graphs generate  $R^{(n)}$ . We partially characterize graphs which do and do not generate  $R^{(n)}$  in the following corollary.

**Corollary 12.** *Let  $G$  be a countable graph, and let  $n$  be a positive integer.*

- (1) *If  $K_n \preceq_{(n)} G$ , then  $R^{(n,G)} \cong R^{(n)}$ .*
- (2) *If either*
  - (a)  *$G$  has a nontrivial  $n$ -core, or*
  - (b)  *$|V(G)| = n$  and  $|E(G)| < \binom{n}{2}$ ,**then  $R^{(n,G)} \not\cong R^{(n)}$ .*

*Proof.* For item (1), since  $K_n \preceq_{(n)} G$  and  $G \preceq_{(n)} R^{(n,G)}$ , we have that  $K_n \preceq_{(n)} R^{(n,G)}$  by Theorem 6. It is straightforward to see that  $R^{(n,G)}$  is strongly  $n$ -e.c. Hence, the proof follows from Theorem 7.

For item (2a), assume  $G$  has a nontrivial  $n$ -core  $C$ . Without loss of generality, assume that  $G = C$ . Let  $H = R^{(n)}$ , and  $J = R^{(n,G)}$ , and assume for a contradiction that  $f$  is an isomorphism from  $H$  to  $J$ . Let  $X$  be the vertices of  $H$  that correspond to the initial copy of  $K_n$  with age 0, and let  $Y$  be the vertices of  $J$  that correspond to the initial copy of  $G$  of age 0.

Construct a set  $S \subseteq V(H)$  as follows. Let  $S_0$  be the set with elements  $f^{-1}(Y)$ . For  $i > 0$ , form  $S_{i+1}$  from  $S_i$  as follows: for each  $v \in S_i$ , add all neighbours of  $v$  with lower age than  $v$ . Stop when no new vertices can be added, and let  $S = \bigcup_i S_i$ . The set  $S$  contains  $X$ . The  $n$ -core of  $H \upharpoonright S$  is  $K_1$ .

Let  $T = f(S)$ , so that  $Y \subseteq T$ . The  $n$ -core of  $T$  is  $G$ . However, the  $n$ -core of a countable graph is unique by Lemma 1, which contradicts that  $H \upharpoonright S \cong J \upharpoonright T$ .

For item (2b), we proceed in a similar fashion as the proof of (2a), defining the sets  $X$ ,  $Y$ ,  $S$ , and  $T$  as before. Note that  $S$  and  $T$  are finite. Let  $|S| = r$ . Since all vertices in  $S$  except those in  $X$  have exactly  $n$  lower age neighbours in  $S$ ,  $H \upharpoonright S$  has exactly  $n(r - n) + \binom{n}{2}$  edges.

Assume that all edges in  $J \upharpoonright T$  are directed from higher age to lower age vertices. Then, each vertex has out-degree at most  $n$ , while vertices in  $Y$  have out-degree zero. This implies that  $J \upharpoonright T$  has at

most  $n(r - n) + |E(G)|$  edges. But then  $|E(J \upharpoonright T)| < |E(G \upharpoonright S)|$  by hypothesis, which contradicts the fact that  $f$  is an isomorphism.  $\square$

As an application of Corollary 12, note that by (2b),  $R^{(2, \overline{K_2})} \not\cong R^{(2)}$ . Corollary 12 is also useful to prove various properties of  $R^{(n)}$ . For example, let  $K_n(\omega)$  be the graph formed from  $K_n$  by adding  $\aleph_0$ -many pairwise non-joined vertices joined to each vertex of  $K_n$ . Then  $K_n \preceq_{(n)} K_n(\omega)$  and by Corollary 12,  $K_n(\omega)$  generates  $R^{(n)}$ . See Theorem 14 for an application of the corollary to the automorphism group of  $R^{(n)}$ .

The graph  $R^{(1)}$  is the unique countable tree with each vertex of infinite degree. Hence,  $R^{(1)}$  has infinite diameter. However, for  $n \geq 2$ ,  $R^{(n)}$  has diameter 2 (since any 2-e.c. graph has diameter 2). The spanning subgraphs of  $R$  are well-known; see [5]. A *ray* is an infinite path that extends indefinitely in one direction; a *double ray* is an infinite path that extends indefinitely in two directions. A *one-way Hamiltonian path* is a spanning subgraph that is a ray, while a *two-way Hamiltonian path* is a spanning subgraph that is a double ray. Henson [8] proved first that  $R$  contains one- and two-way Hamiltonian paths, and we obtain a similar result for  $R^{(n)}$ .

**Theorem 13.** *If  $G$  is a strongly 2-e.c. graph, then  $G$  has one and two-way Hamilton paths. In particular, for  $n \geq 2$ ,  $R^{(n)}$  has one and two-way Hamilton paths.*

*Proof.* We prove that  $G$  has one-way Hamilton path; the existence of a two-way Hamilton path is similar. Without loss of generality, let  $V(G) = \mathbb{N}$ .

We construct such a path by induction. Let  $X_0$  be the subgraph induced by  $\{0\}$ . Suppose that  $X_n$  contains the vertices  $\{0, 1, \dots, n-1\}$ , and further suppose that  $X_n$  has a Hamilton path  $P$ . If  $n$  is contained in  $V(X_n)$ , then let  $X_{n+1} = X_n$ . Suppose that  $n$  is not in  $X_n$ , and let  $u$  be an endvertex of  $P$ . By the strongly 2-e.c. property, there is a vertex  $z$  joined to  $n$  and  $u$ , and joined to none of the other vertices in  $X_n$ . Let  $X_{n+1}$  be the graph formed by taking the subgraph induced by  $V(X_n) \cup \{z, n+1\}$ . Then  $X_{n+1}$  contains a Hamilton path. A Hamilton path in  $G$  is then  $X = \lim_{n \rightarrow \infty} X_n$ .  $\square$

**4.1. Symmetries of  $R^{(n)}$ .** A mapping  $f : G \rightarrow H$  with the property that  $xy \in E(G)$  implies that  $f(x)f(y) \in E(H)$  is a *homomorphism*. We write  $fg$  for the composition of two mappings. If  $S \subseteq V(G)$ , then we write  $f \upharpoonright S$  for the restriction of  $f$  to  $S$ . An embedding  $f : G \rightarrow H$  is an injective homomorphism with the property that  $xy \notin E(G)$  implies that  $f(x)f(y) \notin E(H)$ . An *automorphism* is a bijective embedding.

The group of all automorphisms of  $G$  under composition is written  $\text{Aut}(G)$ . We write  $id_G$  for the identity mapping on  $G$ .

The automorphism group of  $R$  has been actively studied (see [5]). For example, the e.c. property and a back-and-forth argument together imply that each countable group is isomorphic to a subgroup of the automorphism group of  $R$ ; we say that  $\text{Aut}(R)$  *embeds* each countable group. We prove an analogous result for  $R^{(n)}$ .

**Theorem 14.** *The automorphism group of  $R^{(n)}$  embeds all countable groups. In particular, the countably infinite symmetric group  $S_\omega$  is a subgroup of  $\text{Aut}(R^{(n)})$ .*

*Proof.* For a positive integer  $r$ , let  $K_n(\omega)$  be the graph formed from  $K_n$  by adding infinitely many pairwise non-joined vertices joined to each vertex of  $K_n$ . Then  $K_n \preceq_{(n)} K_n(\omega)$  and by Corollary 12,  $K_n(\omega)$  generates  $R^{(n)}$ . Let  $G = R^{(K_n(\omega), n)}$ . The countably infinite symmetric group  $S_\omega$  is a subgroup of  $\text{Aut}(K_n(\omega))$ , since we may permute in all ways the elements of the independent set outside  $K_n$ , leaving  $K_n$  fixed. By Cayley's theorem on symmetric groups, each countable group is a subgroup of  $S_\omega$ . Hence, to prove the theorem it is enough to prove that the  $\text{Aut}(K_n(\omega)) = \text{Aut}(R_0)$  is isomorphic to a subgroup of  $\text{Aut}(G)$ .

Let  $f_0$  be an automorphism of  $R_0$ . Assume that  $f_t$  is an automorphism of  $R_t$  such that  $f_t \upharpoonright X_0 = f_0$ . For each vertex  $z_S$  of  $V(R_{t+1}) \setminus V(R_t)$ , where  $S$  is a subset of  $V(R_t)$  satisfying  $|S| = n$ , define  $f_{t+1}(z_S) = z_{f_t(S)}$ . Otherwise, define  $f_{t+1}$  to be  $f_t$  on  $R_t$ . It follows that  $f_{t+1}$  is an automorphism of  $R_{t+1}$  satisfying  $f_{t+1} \upharpoonright R_t = f_t$ .

Define  $F \in \text{Aut}(G)$  by  $F = \bigcup_{t \in \mathbb{N}} f_t$ , and let  $\alpha : \text{Aut}(R_0) \rightarrow \text{Aut}(G)$  be defined by  $\alpha(f) = F$ . Since  $F \upharpoonright R_0 = f$ , it follows that  $\alpha$  is injective. To prove that  $S_\omega$  is isomorphic to a subgroup of  $\text{Aut}(G)$ , it is sufficient to show that  $\alpha$  is a group homomorphism.

As  $\alpha$  clearly preserves the identity automorphism, we show that for all  $x \in V(G)$ ,

$$(4.1) \quad \alpha(fg)(x) = \alpha(f)\alpha(g)(x).$$

Fix  $x \in V(G)$  with  $\text{age}(x) = t$ . We prove (4.1) by induction on  $t$ . If  $t = 0$ , then (4.1) clearly holds. Suppose that (4.1) holds for all vertices  $x$  with  $\text{age}(x) \leq t$ . Let  $\text{age}(x) = t + 1$ . Then  $x$  is of the form  $z_S$ , where  $S$  is a finite subset of  $X_t$  such that  $|S| \leq n$ . Now,

$$\begin{aligned} \alpha(fg)(x) &= (fg)_{t+1}(x) \\ &= z_{(fg)_t(S)} \\ &= z_{f_t g_t(S)} \\ &= \alpha(f)\alpha(g)(x), \end{aligned}$$

where the third equality follows by induction hypothesis. Hence, (4.1) holds by induction on  $t$ .  $\square$

The tree  $R^{(1)}$  is easily seen to be vertex-transitive. However, for  $n > 1$ , the graph  $R^{(n)}$  is not in general vertex-transitive. This is in sharp contrast to  $R$ , which possesses a large amount of symmetry; see [5]. For example, if  $n = 2$ , then consider the 2-ordered graph  $G$  in Figure 4.1 Since every vertex but  $z$  has degree 3,  $z$  may only appear as

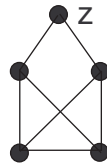


FIGURE 2. A graph  $G$  with  $K_2 \preceq G$ .

the last vertex in any 2-ordering of  $G$ . As  $K_2 \preceq G$ , by Theorem 12 we may generate  $R^{(2)}$  by  $G$ . If  $R^{(2)}$  were vertex-transitive, then we may automorphically map  $z$  in  $G$  to a vertex  $u$  of the copy of  $K_2$  with age 0. In particular, there is an isomorphic copy  $G'$  of  $G$  in  $R^{(2)}$ , containing  $u$ , and with  $u$  acting as  $z$ . But then the 2-ordering of  $R^{(2)}$  induces a 2-ordering  $L$  of  $G'$  with  $z$  as the first or second vertex in  $L$ , which is a contradiction.

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