

The four squares problem and its application in operator approximation

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$$O(n^2) \text{ vs } O((\log n)^2 \log \log n)$$

$$\rightarrow O((\log n)^2 / \log \log n)$$

Abstract

I will first walk through an algorithm of Rabin and Shallit [2, 1] that efficiently solves the four-square Diophantine equation $n = x^2 + y^2 + z^2 + w^2$. The efficiency comes from the use of randomness — there are enough “good seed number”, so by randomly choosing a number, it is likely that we can hit a good seed that will grow into a solution. Then, I will explain how this algorithm can be adapted to solve the problem of approximating any 2×2 unitary using matrices of a certain kind. The resulting algorithm is a “baby” version of Ross and Selinger’s algorithm [4, 3].

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$x \xrightarrow{f} \text{a solution}$

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Outline

Randomized algorithms

- Solving simple equations randomly

- Two-square algorithm

- Four-square algorithm

Operator approximation

- Dense subsets of \mathbb{C}^n

- Unit vector approximation

- Unitary operator approximation

Solving simple equations randomly

Question

Let p be a prime. Design an algorithm that finds one solution of $x^{p-1} \equiv 1 \pmod{p}$ (besides the trivial solution 1).

Notation

Let \mathbb{Z}_p be the usual finite field, $\mathbb{Z}_p^* = \mathbb{Z}_p \setminus \{0\}$ the multiplicative group of \mathbb{Z}_p .

Answer

By Fermat's little theorem, every number in \mathbb{Z}_p^* is a solution.

Algorithm:

1. randomly pick a number a in \mathbb{Z}_p^* .
2. return a , finish.

Complexity: $O(1)$.

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$$x - x = 0$$

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Let p be a prime such that $p \equiv 1 \pmod{4}$. Design an algorithm that finds *one* solution (besides the trivial 1) of $x^2 \equiv 1 \pmod{p}$.

Answer

Let $p = 4k + 1$. By Fermat's little theorem, for $x \in \mathbb{Z}_p^*$, we have

$$x^{4k} \equiv 1 \pmod{p}, \text{ i.e., } (x^{2k})^2 \equiv 1 \pmod{p}.$$

Algorithm:

1. randomly pick a number a in \mathbb{Z}_p^* .
2. calculate $b \equiv a^{2k} \pmod{p}$, using "repeated squaring".
3. return b , finish.

Complexity: $O(1) + O(\log(2k)) = O(\log p)$.

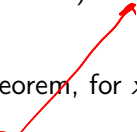
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Handwritten notes illustrating the repeated squaring process:

$$a^1, a^2, a^3, \dots, a^{2k}$$

Diagram showing the sequence of powers: $a^1, a^2, a^4, a^8, a^{16}$. Arrows indicate the squaring steps: $a^1 \rightarrow a^2 \rightarrow a^4 \rightarrow a^8 \rightarrow a^{16}$. The final result is noted as $2k = 10$.

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Question

Let $p = 4k + 1$ be a prime. Design an algorithm that finds *one* solution of $x^2 = -1$ in \mathbb{Z}_p .

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By Fermat's little theorem, for $x \in \mathbb{Z}_p$, we have

$$x^{4k} = 1, \text{ i.e., } (x^{2k})^2 = 1, \text{ i.e., } x^{2k} = (x^k)^2 = 1 \text{ or } -1.$$

Claim: Half of \mathbb{Z}_p^* satisfy $(x^k)^2 = -1$. Will show later.

Algorithm:

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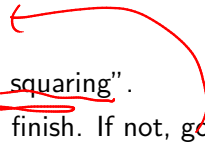
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Complexity analysis

$$\begin{matrix} 1 & 1 & 1 & 1 & \dots \\ \frac{1}{2} & \frac{1}{4} & \frac{1}{8} & \dots \end{matrix}$$

Expected Complexity:

$$O(\log p) \left(\sum_{i=0}^{\infty} \left(\frac{1}{2} \right)^i \right) = 2O(\log p) = O(\log p).$$

Or compute in an easier way: succeed with probability 0.5, on average, we need try $\frac{1}{0.5} = 2$ times to succeed.

Note

Step 3 only takes constant time. In general, randomized algorithms are suitable for solving NP problems — problems that may or may not need polynomial time to solve, but only need polynomial time to check. But randomized algorithms cannot solve NP-hard problems.

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$$b^2 = -1$$

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Proof of the claim

Claim

Half of \mathbb{Z}_p^* satisfy $(x^k)^2 = -1$.

Proof.

Recall Fermat's little theorem implies

$$x^{4k} = 1, \text{ i.e., } (x^{2k})^2 = 1, \text{ i.e., } x^{2k} = 1 \text{ or } -1.$$

Since \mathbb{Z}_p is a field, the equations $x^{2k} = 1$ and $x^{2k} = -1$ each has at most $2k$ solutions. And there are $4k$ elements in \mathbb{Z}_p^* . □

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Solving $x^2 + y^2 = p$ randomly

Question

Let $p = 4k + 1$ be a prime. Design an algorithm that finds *one* solution of $x^2 + y^2 = p$ in \mathbb{Z} .

Answer

Let $\mathbb{Z}[i]$ be the set of Gaussian Integers, which is a Euclidean Domain, and the norm $N(a + bi) = a^2 + b^2$ is the rank function. Let $u \in \mathbb{Z}_p$ be a solution of $x^2 = -1$ obtained from the last algorithm. Then in $\mathbb{Z}[i]$, we have $(u + i)(u - i) = u^2 + 1 = mp$. Let $a + bi = \gcd(u + i, p)$. We claim $a^2 + b^2 = p$.

Algorithm:

1. run the last algorithm to obtain u s.t. $u^2 \equiv -1 \pmod{p}$.
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Complexity: Step 1 and 2, $O(\log p)$. Total, $O(\log p)$.

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$O(\log N(p))$

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$$8 = 2^2 + 2^2$$

$$7 = 2^2 + 1^2 + 1^2 + 1^2$$

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Proof.

Recall we have $(u + i)(u - i) = u^2 + 1 = mp$. Since $u \in \mathbb{Z}_p$, we have $mp = u^2 + 1 < p^2$, i.e., $m < p$. {0, ..., p-1}

$$a + bi \mid u + i \implies N(a + bi) \mid N(u + i), \text{ i.e., } a^2 + b^2 \mid mp \text{ in } \mathbb{Z} \quad (*)$$

$$a + bi \mid p \implies N(a + bi) \mid N(p), \text{ i.e., } a^2 + b^2 \mid p^2 \text{ in } \mathbb{Z}.$$

which implies $a^2 + b^2 = 1$ or p or p^2 . We can exclude p^2 by (*).

To exclude 1, suppose not, then $a + bi$ is a unit, so $u + i$ and p are relatively prime. Then $u + i \mid m$, which means $N(u + i) \mid N(m)$, i.e., $mp \mid m^2$, a contradiction. □

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$$m < p$$

Solving $x^2 + y^2 + z^2 + w^2 = \underline{4k + 2}$ randomly

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Let $n = 4k + 2$ be a non-negative integer. Design an algorithm that finds *one* solution of $x^2 + y^2 + z^2 + w^2 = n$ in \mathbb{Z} .

Answer

The idea is that by randomly choosing x and y , we might have $p := n - x^2 - y^2$ is a prime of the form $4a + 1$. Then using the two-square algorithm, we can find z, w s.t. $n - x^2 - y^2 = z^2 + w^2$.

First notice that, if p is a prime, then $p = 2$ or p odd and $p = n - x^2 - y^2 \equiv 2 - x^2 - y^2 \pmod{4} \implies p \equiv 1 \pmod{4}$. This means we only need p to be a prime. For the abundance of such x and y , I need to use a theorem in [2] which says for a certain constant $A > 0$, there exists n_0 such that for $n > n_0$, the number of x, y 's such that $n - x^2 - y^2$ is prime is greater than $\frac{A \cdot n}{(\log n) \log \log n}$, out of $\sqrt{n} \cdot \sqrt{n}$ choices for x, y .

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$$x^2 \equiv 0, 1 \pmod{4}$$

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Algorithm:

1. randomly choose $0 \leq x, y \leq \sqrt{n}$.
2. run two-square algorithm but only finite many steps, with input $n - x^2 - y^2$. If a solution z, w is found. return (x, y, z, w) and finish, otherwise go to step 1.

$$u^2 = -1$$

Complexity: First, notice the “density” of such x, y is

$\frac{A \cdot n}{(\log n) \log \log n} \cdot \frac{1}{\sqrt{n} \sqrt{n}} = \frac{A}{(\log n) \log \log n}$, so on average we need to run $\frac{(\log n) \log \log n}{A}$ times to get a good pair of x, y such that $n - x^2 - y^2$ is a prime. For each such try, running finite many steps of the two-square algorithm costs $O(\log n)$. Total $O((\log n)^2 \log \log n)$.

Solving $x^2 + y^2 + z^2 + w^2 = 4k + 2$ randomly

Question

Let $n = 4k + 2$ be a non-negative integer. Design an algorithm that finds *one* solution of $x^2 + y^2 + z^2 + w^2 = n$ in \mathbb{Z} .

Answer

Algorithm:

1. randomly choose $0 \leq x, y \leq \sqrt{n}$.
2. run two-square algorithm but only finite many steps, with input $n - x^2 - y^2$. If a solution z, w is found. return (x, y, z, w) and finish, otherwise go to step 1.

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Question

Let n be a non-negative integer. Design an algorithm that finds *one* solution of $x^2 + y^2 + z^2 + w^2 = n$ in \mathbb{Z} .

Answer

- ▶ $n = 4k$. Solve $n/4 = a^2 + b^2 + c^2 + d^2$, then $n = (2a)^2 + (2b)^2 + (2c)^2 + (2d)^2$.
- ▶ $n = 4k + 1$ or $4k + 3$. Solve $2n = 4(2k) + 2$ or $2n = 8k + 6 = 4(2k + 1) + 2$, say $2n = a^2 + b^2 + c^2 + d^2$, then $a^2 + b^2 + c^2 + d^2 \equiv 2 \pmod{4}$, so two of a, b, c, d are odd, two are even. WLOG, say a, b odd, c, d even, then we have $n = (\frac{1}{2}(a+b))^2 + (\frac{1}{2}(a-b))^2 + (\frac{1}{2}(c+d))^2 + (\frac{1}{2}(c-d))^2$
- ▶ $n = 4k + 2$. Solved using last algorithm.

Solving $x^2 + y^2 + z^2 + w^2 = n$ randomly

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- ▶ $n = 4k + 2$. Solved using last algorithm.

Solving $x^2 + y^2 + z^2 + w^2 = n$ randomly

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- ▶ $n = \underline{4k + 2}$. Solved using last algorithm.

Solving $x^2 + y^2 + z^2 + w^2 = n$ randomly



Answer

Complexity: At most $O(\log n)$ arithmetic operations are needed before reaching the form $n = 4k + 2$. Then one run of the last algorithm costs $O((\log n)^2 \log \log n)$. Total $O((\log n)^2 \log \log n)$.

Outline

Randomized algorithms

- Solving simple equations randomly

- Two-square algorithm

- Four-square algorithm

Operator approximation

- Dense subsets of \mathbb{C}^n

- Unit vector approximation

- Unitary operator approximation

Dense subsets of \mathbb{C}^n

Notation

- ▶ $\mathbb{D} := \mathbb{Z}[\frac{1}{2}] = \{\frac{a}{2^k} \mid a \in \mathbb{Z}, k \in \mathbb{N}\}$, the ring of dyadic fractions.
- ▶ $\mathbb{D}[i] = \{a + bi \mid a, b \in \mathbb{D}\}$, a ring with no name yet. $\frac{1}{2^k}(a+bi)$ ✓
- ▶ $S^{n-1} = \{(x_1, x_2, \dots, x_n) \in \mathbb{C}^n \mid \sum |x_i|^2 = 1\}$, unit sphere in \mathbb{C}^n .

Note that $\mathbb{D}[i]^n = \cup_{k \in \mathbb{N}} (1/2^k) \mathbb{Z}[i]^n$.

Claim

1. $\mathbb{D}[i]$ is dense in \mathbb{C} .
2. $\mathbb{D}[i] \cap S^0$ is *not* dense in $\mathbb{C} \cap S^0$.
3. $\mathbb{D}[i]^2 \cap S^1$ is dense in $((\mathbb{C} \oplus 0) \cup \mathbb{D}[i]^2) \cap S^1$, under unproven hypothesis.
4. $\mathbb{D}[i]^3 \cap S^2$ is dense in $((\mathbb{C} \oplus 0 \oplus 0) \cup \mathbb{D}[i]^3) \cap S^2$.

$$\frac{1}{2^k} \begin{bmatrix} a+bi \\ c+di \\ e+fi \end{bmatrix} \rightsquigarrow \begin{bmatrix} e^{i\theta} \\ 0 \\ 0 \end{bmatrix}$$

Proof of claim 1, 2, and 4

1. $\mathbb{D}[i]$ is dense in \mathbb{C} . Since any $x \in \mathbb{R}$ can be approximated by $\lfloor 2^i x \rfloor / 2^i$, \mathbb{D} is dense in \mathbb{R} . Then \mathbb{D}^n is dense in \mathbb{R}^n , hence $\mathbb{D}[i]$ is dense in \mathbb{C} . $\approx \mathbb{R}^2$ $\cong \mathbb{D}^2$
2. Unit vectors in $\mathbb{D}[i]$ does *not* approximate unit vectors in \mathbb{C} . Because for $u = a/2^k + b/2^l i \in \mathbb{D}[i]$ (in reduced form, wlog, $k \geq l$) to be of unit length, $a^2 + b^2 4^{k-l} = 4^k$. If $k > 0$, the right is congruent to 0 modulo 4, but the left 1 or 2. For $k = 0$, we only have finite many such u , but S is infinite.
4. Unit vectors in $\mathbb{D}[i]^3$ approximates unit vectors in $(\mathbb{C} \oplus 0 \oplus 0)$.
$$(1/2^k) \begin{bmatrix} \lfloor 2^k \cos \theta \rfloor + \lfloor 2^k \sin \theta \rfloor i \\ a + bi \\ c + di \end{bmatrix} \text{ approximates } \begin{bmatrix} e^{i\theta} \\ 0 \\ 0 \end{bmatrix},$$

where a, b, c, d satisfy
$$a^2 + b^2 + c^2 + d^2 = (2^k)^2 - (\lfloor 2^k \cos \theta \rfloor)^2 - (\lfloor 2^k \sin \theta \rfloor)^2.$$

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$$\frac{1}{2^k} (a+bi)$$

$$k=0$$

2. Unit vectors in $\mathbb{D}[i]$ does not approximate unit vectors in \mathbb{C} .
Because for $u = a/2^k + b/2^l i \in \mathbb{D}[i]$ (in reduced form, wlog, $k \geq l$) to be of unit length, $a^2 + b^2 4^{k-l} = 4^k$. If $k > 0$, the right is congruent to 0 modulo 4, but the left 1 or 2. For $k = 0$, we only have finite many such u , but S is infinite.

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Proof of claim 1, 2, and 4

$$x^2 + y^2 + z^2 + w^2 = n \quad \mathbb{Q} \quad \mathbb{U}_4(\mathbb{Q})$$

1. $\mathbb{D}[i]$ is dense in \mathbb{C} . Since any $x \in \mathbb{R}$ can be approximated by $\lfloor 2^i x \rfloor / 2^i$, \mathbb{D} is dense in \mathbb{R} . Then \mathbb{D}^n is dense in \mathbb{R}^n , hence $\mathbb{D}[i]$ is dense in \mathbb{C} .
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Unit vector approximation — Proof of claim 3

Question

Let $u = \begin{bmatrix} e^{i\theta} \\ 0 \end{bmatrix} \in \mathbb{C}^2$ be a unit vector. Design an algorithm that finds unit $(1/2^k) \begin{bmatrix} a + bi \\ c + di \end{bmatrix} \in \mathbb{D}[i]^2 \cap S^1$ that approximates u .

Answer

Recall in four-square problem “The idea is that by randomly choosing x and y , we might have $p := n - x^2 - y^2$ is a prime of the form $4k + 1$...”

But here: we randomly choose x and y in smaller region determined by $e^{i\theta}$. And we need a strong hypothesis about the abundance of such x and y .

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Answer

Recall in four-square problem “The idea is that by randomly choosing x and y , we might have $p := n - x^2 - y^2$ is a prime of the form $4k + 1 \dots$ ”

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Unit vector approximation — Proof of claim 3

Question

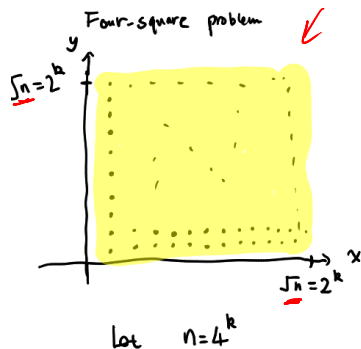
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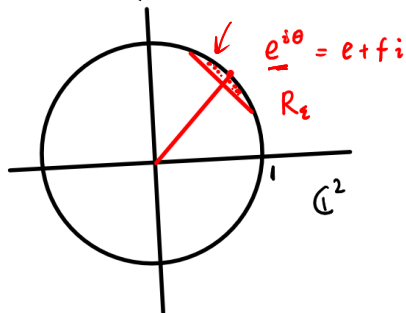
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Smaller region



Pool : all the integer pts in this region.

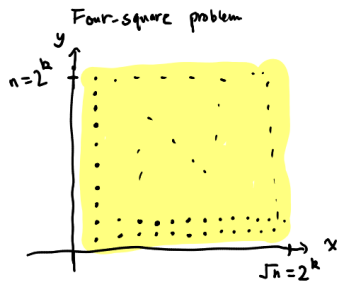
unit vector approximation



pool : all the "dyadic fraction" pts in this region. eg. For $a+bi \in R_2$

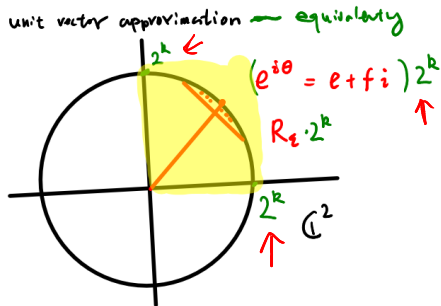
$$\left\| \begin{pmatrix} a+bi \\ 0 \end{pmatrix} - \begin{pmatrix} e+fi \\ 0 \end{pmatrix} \right\| < \epsilon$$

Smaller region



Let $n = 4^k$

Pool : all the integer pts in
this region. i.e. this square



Equivalently : $\frac{1}{2^k}(a+bi) \in R_z, a, b \in \mathbb{Z}$

$\Leftrightarrow a+bi \in 2^k R_z$

Pool : all the integer pts in the resolvent

Bigger hypothesis

Note

$2^k R_\epsilon$ contains many points for sufficient large k .

Recall the proven density of good x, y 's in the square

$$\frac{A}{(\log n) \log \log n}$$

Bigger hypothesis

Good points x, y are even distributed, i.e. the density of good x, y 's in the crescent is same as the density in the square.

Consequences

For large k , we can find x, y such that $4^k - x^2 - y^2$ is a prime of the form $4j + 1$. That is the four-square problem with extra constraint can be efficiently solved.

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Bigger hypothesis

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
$$\frac{1}{2} \begin{bmatrix} 1-i & 1-i' \\ 1-i & -1+i \end{bmatrix}$$
$$\begin{bmatrix} 1 & i \end{bmatrix}$$

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Unit vector approximation

Question

Find a unit vector $(1/2^k) \begin{bmatrix} a + bi \\ c + di \end{bmatrix}$ that approximates $\begin{bmatrix} e^{i\theta} \\ 0 \end{bmatrix}$.

Answer

Algorithm:

1. For given ϵ , calculate k . (not hard, not expensive, omitted)
2. Solve restricted four-square problem $4^k = x^2 + y^2 + y^2 + z^2$ with $(x, y) \in 2^k R_\epsilon$ get solution a, b, c, d .
3. return $(1/2^k) \begin{bmatrix} a + bi \\ c + di \end{bmatrix}$, finish.

Complexity: depends on the area of R_ϵ . We omit the calculation and only state the result. Polynomial in $\log(1/\epsilon)$.

Note

c, d are relatively small compared to 2^k , it doesn't affect much.

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$$\frac{1}{\epsilon} \sim n = 4^k \\ \Rightarrow k \sim \log \frac{1}{\epsilon}$$

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$$O((\log n)^2 \log \log n)$$

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z-rotation approximation

z-rotation



Question

Find unitary $(1/2^k) \begin{bmatrix} a + bi & e + fi \\ c + di & g + hi \end{bmatrix}$ that is close to $\begin{bmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{bmatrix}$,
where $a, b, c, d, e, f, g, h, k$ are integers.

Answer

Basically, we first approximate $\begin{bmatrix} e^{i\theta} \\ 0 \end{bmatrix}$, then through some algebraic manipulation, we get a solution. We claim, if

$(1/2^k) \begin{bmatrix} a + bi \\ c + di \end{bmatrix}$ approximates $\begin{bmatrix} e^{i\theta} \\ 0 \end{bmatrix}$, then

$(1/2^k) \begin{bmatrix} a + bi & -c + di \\ c + di & a - bi \end{bmatrix}$ is unitary and close to $\begin{bmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{bmatrix}$.

z-rotation approximation

Question

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Unitary approximation

Question

Find unitary $(1/2^k) \begin{bmatrix} a + bi & e + fi \\ c + di & g + hi \end{bmatrix}$ that approximates $\begin{bmatrix} p & q \\ r & s \end{bmatrix}$ an arbitrary unitary, where $a, b, c, d, e, f, g, h, k$ are integers.

Answer

An x-rotation is of the form $K \begin{bmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{bmatrix} K^\dagger$, where

$K = \frac{1}{2} \begin{bmatrix} 1-i & 1-i \\ 1-i & -1+i \end{bmatrix}$, and \bullet^\dagger means taking conjugate transpose.

Any 2×2 unitary can be written as a product ABC , where A, C are z-rotations and B is an x-rotation.

Unitary approximation

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/ up to global phase

References

$$O((\log n)^2 / \log \log n)$$



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