# Morphisms of rings

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@CAT Talk

October 29, 2020

- The category of rings **Ring** has rings with 1 as objects and homomorphisms preserving 1 as morphisms
- This is a very good category. It's monadic over **Set**, so complete and cocomplete. It's locally finitely presentable, so has a notion of finitely presentable object, and every object is a filtered colimit of them
- So why muck with it?

### Bimodules

• Given rings R and S, an S-R-bimodule M is simultaneously a left S-module and a right R-module whose left and right actions commute

$$(sm)r = s(mr)$$

• If T is another ring and N a T-S-bimodule, the tensor product over S,  $N \otimes_S M$  is naturally a T-R-bimodule. We have associativity isomorphisms

$$P \otimes_{\mathcal{T}} (N \otimes_{\mathcal{S}} M) \cong (P \otimes_{\mathcal{T}} N) \otimes_{\mathcal{S}} M$$

and unit isomorphisms

$$M \otimes_R R \cong M \cong S \otimes_S M$$

• To keep track of the various rings involved and what's acting on what and on which side we can write

 $M: R \longrightarrow S$ 

to mean that M is an S-R-bimodule

• The tensor product looks like a composition



- Rings with bimodules as morphisms is not a category but a bicategory, Bim
- In a bicategory we have objects and morphisms which compose, but composition is only associative and unitary up to isomorphism
- To express this isomorphism we need morphisms between morphisms



called 2-cells

# $\mathcal{B}im$

- In our example *Bim* 
  - Objects are rings
  - Morphisms (1-cells) are bimodules
  - A 2-cell



is a linear map of bimodules, i.e. a function such that

$$\phi(m_1 + m_2) = \phi(m_1) + \phi(m_2)$$
  
$$\phi(sm) = s\phi(m)$$
  
$$\phi(mr) = \phi(m)r$$

- Bim is a very good bicategory
  - Cartesian bicategory
  - Biclosed

$$\frac{M \longrightarrow N \odot_T P}{N \otimes_S M \longrightarrow P}$$
$$\frac{N \otimes_S M \longrightarrow P}{N \longrightarrow P \oslash_R M}$$

## Double categories

A double category A has objects (A, B, C, D below) and two kinds of morphism, strong, which we call horizontal (f, g below) and weak, or vertical (v, w below) These are related by a further kind of morphism, double cells as in



- The horizontal arrows form a category **Hor**A with composition denoted by juxtaposition and identities by 1<sub>A</sub>. Cells can also be composed horizontally forming a category
- The vertical arrows compose to give a bicategory  $\mathcal{V}ert\mathbb{A}$  whose 2-cells are the *globular cells* of  $\mathbb{A}$ , i.e. those with identities on the top and bottom



Vertical composition is denoted by  $\bullet$  and vertical identities by  $id_A$ 

### Example

Rel has sets as objects and functions as horizontal arrows, so Hor Rel = Set. A vertical arrow  $R : X \longrightarrow Y$  is a relation between X and Y and there is a unique cell



if (and only if) we have

$$\forall_{x,y}(x\sim_R y\Rightarrow f(x)\sim_{R'} g(y))$$

# The double category $\mathbb{R}\mathrm{ing}$

- Objects are rings (with 1)
- Horizontal arrows are homomorphisms (pres. 1)
- Vertical arrows are bimodules
- A double cell

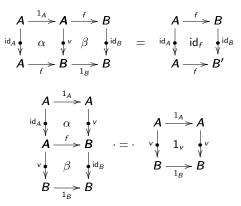
$$\begin{array}{c} R \xrightarrow{f} R' \\ M \xrightarrow{\phi} & \downarrow M' \\ S \xrightarrow{g} S' \end{array}$$

is a linear map in the sense that it preserves addition and is compatible with the actions

$$\phi(sm) = g(s)\phi(m)$$
  
 $\phi(mr) = \phi(m)f(r)$ 

## Companions

Let A be a double category, f : A→ B a horizontal arrow, and v : A→ B a vertical one in A. We say that v is a *companion* of f if we are given cells, the *binding cells*, α and β, such that



Companions, when they exist, are unique up to isomorphism, and we use the notation  $f_\ast$  to denote a choice of companion for f

• In  $\mathbb{R}$ el, every function  $f: A \longrightarrow B$  has a companion, viz. its graph  $Gr(f) \subseteq A \times B$ 

### Proposition

(a) In  $\mathbb{R}$ ing, every homomorphism  $f : R \longrightarrow S$  has a companion, namely S considered as an S-R-bimodule with actions  $\bullet$  given by

$$s' \bullet s = s's$$
  
 $s \bullet r = sf(r)$ 

(b) A bimodule  $M : R \longrightarrow S$  is a companion, i.e. is of the form  $f_*$  for some horizontal arrow f, if and only if it is free on one generator as a left S-module (c) f is unique up to conjugation by a unit of S

#### Proposition

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If  $M : R \longrightarrow S$  is free as a left S-module with generator  $m_0 \in M$ . Then for every  $r \in R$  there is a unique element  $f(r) \in S$  such that

$$m_0r=f(r)m_0$$

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If  $n_0$  is another free generator, then there exists an invertible element  $a \in S$  such that  $n_0 = am_0$ . If  $g : R \longrightarrow S$  corresponds to  $n_0$ , we have

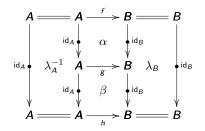
$$g(r)n_0 = n_0r = am_0r = af(r)m_0 = af(r)a^{-1}n_0$$

## The 2-category $\mathcal{H}or\mathbb{A}$

• Every double category A (strict or not) has a horizontal 2-category,  $\mathcal{H}or A$ . The objects are those of A, the 1-cells are the horizontal arrows of A, and the 2-cells are the *special cells* of A, i.e. cells of the form



Vertical composition of 2-cells uses the canonical isomorphisms  $\lambda = \rho$ : id • id  $\rightarrow$  id



# The 2-category *Ring*

When applied to the double category  $\mathbb{R}$ ing we get a 2-category whose objects are rings, whose arrows are homomorphisms and whose 2-cells are linear maps of the form



Such an  $\alpha$  is determined by its value at 1. We have  $\alpha(r) = \alpha(r \cdot 1) = g(r)\alpha(1)$  and  $\alpha(r) = \alpha(1 \cdot r) = \alpha(1)f(r)$  which gives the following

### Definition

The 2-category of rings,  $\mathcal{R}\textit{ing}$  , has rings as objects, homomorphisms as 1-cells and as 2-cells

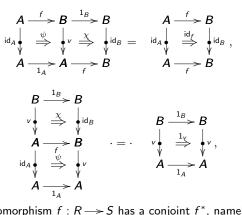


elements  $a \in S$  such that af(r) = g(r)a

Then (c) in the proposition says that f is isomorphic to g

# Conjoints

Let f : A→B be a horizontal arrow in a double category A and v : B→A a vertical one. We say that v is conjoint to f if we are given cells ψ and χ (conjunctions) such that



• In Ring, every homomorphism  $f : R \longrightarrow S$  has a conjoint  $f^*$ , namely  $S : S \longrightarrow R$  with left action by R given by "restriction"

$$r \bullet s = f(r)s$$

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Morphisms of rings

- Homomorphisms f: R → S correspond to bimodules M: R → S which are free on one generator as left S-modules
- What if *M* is free on 2 generators?
- Assume M free on m<sub>1</sub>, m<sub>2</sub> as a left S-module. Nothing is said about the right action (as before). Then for each r ∈ R we get unique s<sub>11</sub>, s<sub>12</sub>, s<sub>21</sub>, s<sub>22</sub> ∈ S such that

 $m_1 r = s_{11} m_1 + s_{12} m_2$  $m_2 r = s_{21} m_1 + s_{22} m_2$ 

Let's denote  $s_{ij}$  by  $f_{ij}(r)$ . So to each r we associate not 2 but 4 elements of S or rather a 2  $\times$  2 matrix in S

If *M* is free on *p* generators  $m_1, \ldots, m_p$ :

$$m_i r = \sum_{j=1}^{p} f_{ij}(r) m_j$$

#### Theorem

(a) Any matrix-valued homomorphism  $f : R \longrightarrow Mat_p(S)$  induces an S-R-bimodule structure on  $S^{(p)}$ 

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(b) Any S-R-bimodule  $M : R \longrightarrow S$  which is free on p generators as a left S-module is isomorphic (as on S-R-bimodule) to  $S^{(p)}$  with R-action induced by a homomorphism  $f : R \longrightarrow Mat_p(S)$  as in (a)

(c) The homomorphism f in (b) is unique up to conjugation by an invertible  $p\times p$  matrix A in  $Mat_p(S)$ 

# Proof sketch

• Module structure on  $S^{(p)}$ :

An element of  $S^{(p)}$  is a row vector, i.e. a  $1 \times p$  matrix  $\mathbf{s} = [s_1, \ldots, s_p]$ 

$$s' \bullet \mathbf{s} = [s's_1, \dots, s's_p]$$
 and  $\mathbf{s} \bullet r = \mathbf{s}f(r)$ 

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• Preservation of multiplication:

$$m_i(rr') = \sum_{k=1}^p f_{ik}(rr')m_k$$

and

$$\begin{aligned} (m_i r)r' &= \sum_{j=1}^{p} f_{ij}(r)m_j r' \\ &= \sum_{j=1}^{p} f_{ij}(r) \left( \sum_{k=1}^{p} f_{jk}(r')m_k \right) \\ &= \sum_{k=1}^{p} \left( \sum_{j=1}^{p} f_{ij}(r)f_{jk}(r') \right) m_k \end{aligned}$$

So  $f_{ik}(rr') = \sum_{j=1}^{p} f_{ij}(r) f_{jk}(r')$ , i.e. we get a homomorphism

$$f: R \longrightarrow Mat_p(S)$$

into the ring of  $p \times p$  matrices in S

# Examples

• (Pairs of homomorphisms) Let  $f, g: R \longrightarrow S$  be homomorphisms. Then we get a homomorphism  $h: R \longrightarrow Mat_2(S)$  given by

$$h(r) = \left[ \begin{array}{cc} f(r) & 0 \\ 0 & g(r) \end{array} \right]$$

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### • (Derivations)

Let  $f : R \longrightarrow S$  be a homomorphism and d an f-derivation, i.e. an additive function  $d : R \longrightarrow S$  such that

$$d(rr') = d(r)f(r') + f(r)d(r')$$

Then we get a homomorphism  $R \longrightarrow Mat_2(S)$ 

$$r \mapsto \left[ \begin{array}{cc} f(r) & 0 \\ d(r) & f(r) \end{array} 
ight]$$

### More examples

• More generally we can consider the subring of lower triangular matrices

$$L = \left\{ \left[ \begin{array}{cc} s & 0 \\ s' & s'' \end{array} \right] \middle| s, s', s'' \in S \right\}$$

Then a homomorphism  $R \longrightarrow Mat_2(S)$  that factors through *L* corresponds to a pair of homomorphisms  $f, g: R \longrightarrow S$  and a derivation *d* from *f* to *g*, i.e. an additive function  $d: R \longrightarrow S$  such that

$$d(rr') = d(r)f(r') + g(r)d(r')$$

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• We give one more, somewhat mysterious, example to illustrate the variety of morphisms we get just in the 2 × 2 case. For any ring *S* we can construct a ring of "complex numbers" over *S*:

$$\mathbb{C}(S) = \left\{ \left[ egin{array}{cc} s & s' \ -s' & s \end{array} 
ight] \middle| s,s' \in S 
ight\}$$

This is a subring of  $Mat_2(S)$ . A homomorphism  $R \longrightarrow Mat_2(S)$  that factors through  $\mathbb{C}(S)$  corresponds to two additive functions cosd,  $sind : R \longrightarrow S$  with the properties

$$cosd(rr') = cosd(r)cosd(r') - sind(r)sind(r')$$
  
sind(rr') = sind(r)cod(r') + cosd(r)sind(r')

# The graded category of rings

• Homomorphisms  $f: R \longrightarrow Mat_p(S)$  and  $g: S \longrightarrow Mat_q(T)$  correspond to bimodules

$$S^{(p)}: R \longrightarrow S$$
 and  $T^{(q)}: S \longrightarrow T$ ,

and we can compose these

$$T^{(q)}\otimes_S S^{(p)}\cong T^{(pq)}$$

• This gives a composite gf

$$R \xrightarrow{f} Mat_{p}(S) \xrightarrow{Mat_{p}(g)} Mat_{p}Mat_{q}(T) \cong Mat_{pq}(T)$$

Thus we first apply f to an element  $r \in R$  to get a  $p \times p$  matrix in S, and then apply g to each entry separately to get a  $p \times p$  block matrix of  $q \times q$  matrices, and then consider this as a  $(pq) \times (pq)$  matrix

#### Theorem

With this composition we get an  $(\mathbb{N}^+, \cdot)$ -graded category **Gring** whose objects are rings and whose morphisms of degree p are homomorphisms into  $p \times p$  matrices:

$$\frac{R \xrightarrow{(p,f)} S}{f: R \longrightarrow Mat_p(S)}$$

# The graded double category of rings

The double category  $\mathbb{G}\mathrm{ring}$ 

- Objects rings
- Horizontal arrows  $(p, f): R \longrightarrow R'$
- Vertical arrows are bimodules  $M: R \longrightarrow S$
- A double cell



is a linear map (a cell in  $\mathbb{R}ing$ )

$$\begin{array}{c|c} R \xrightarrow{f} Mat_{p}(R') \\ & \downarrow & \downarrow \\ M & \downarrow & \downarrow \\ M & \downarrow & \downarrow \\ Mat_{q,p}(M') \\ S \xrightarrow{g} Mat_{q}(S') \end{array}$$

where  $Mat_{q,p}(M')$  is the bimodule of  $q \times p$  matrices with entries in M', with the  $Mat_q(S')$  action given by matrix multiplication on the left, and similarly for  $Mat_p(R')$ 

Theorem

(1)  $\mathbb{G}$ ring is a double category

(2) Every horizontal arrow has a companion

(3) Every horizontal arrow has a conjoint

(4) The vertically full double subcategory determined by the morphisms of degree 1 is isomorphic to  $\mathbb{R}ing$ 

- The horizontal 2-category of Gring can be described as follows:
- The objects are rings
- The morphisms are graded morphisms  $(p, f): R \longrightarrow S$
- A 2-cell



is given by a  $q \times p$  matrix A with entries in S such that for every  $r \in R$ 

Af(r) = g(r)A

- If a horizontal arrow f: A→B in a double category A has a companion f<sub>\*</sub> and a conjoint f<sup>\*</sup> then f<sub>\*</sub> is left adjoint to f<sup>\*</sup> in VertA
- Say that B is Cauchy complete if every adjoint pair v ⊢ u, v: A → B,
   u: B → A is of the form f<sub>\*</sub> ⊢ f<sup>\*</sup> for some f: A → B
- A is Cauchy if every object is Cauchy complete

Example

 $\mathbb{R}$ el is Cauchy

### Adjoint bimodules

Recall that two bimodules  $M : R \longrightarrow S$  and  $N : S \longrightarrow R$  are adjoint, or more precisely M is left adjoint to N, if there are an S-S linear map

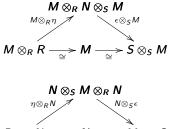
$$\epsilon: M \otimes_R N \longrightarrow S$$

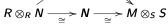
and an R-R linear map

$$\eta: R \longrightarrow N \otimes_S M$$

such that

and





#### commute

The following theorem is well-known

#### Theorem

A bimodule  $M : R \longrightarrow S$  has a right adjoint if and only if it is finitely generated and projective as a left S-module

#### Remark

Given this theorem then, we see that S is Cauchy-complete in  $\mathbb{G}$ ring if and only if every finitely generated projective left S-module is free. Commutative rings with this property are of considerable interest in algebraic geometry having to do with when vector bundles are trivial. If S is a PID or a local ring then it is Cauchy. That polynomial rings are so is the content of the Quillen-Suslin theorem, which is highly non trivial

## Finitely generated projective

M is finitely generated, by  $m_1, \ldots, m_p$  say, if and only if the S-linear map

$$\tau: S^{(p)} \longrightarrow M$$

 $\tau(s_1 \dots s_p) = \sum_{i=1}^p s_i m_i$  is surjective. If M is S-projective, then  $\tau$  splits, i.e. there is an S-linear map

$$\sigma: M \longrightarrow S^{(p)}$$

such that  $\tau \sigma = \mathbf{1}_M$ . In fact, M is a finitely generated and projective S-module if and only if there exist  $p, \tau, \sigma$  such that  $\tau \sigma = \mathbf{1}_M$ 

Let the components of  $\sigma$  be  $\sigma_1, \ldots, \sigma_p : M \longrightarrow S$ . Then  $\tau \sigma = 1_M$  means that for every  $m \in M$  we will have

$$m=\sum_{i=1}^p\sigma_i(m)m_i$$

i.e. the  $\sigma_i$  provide an *S*-linear choice of coordinates for *m* relative to the generators  $m_1 \dots m_p$ . All of this is independent of *R* 

# Amplifying homomorphisms

For any r we can write

$$m_i r = \sum_{j=1}^p \sigma_j(m_i r) m_j$$

If we let  $f_{ij}(r) = \sigma_j(m_i r)$  we get the same formula as for Gring (on frame 15)

$$m_i r = \sum_{j=1}^p f_{ij}(r) m_j$$

#### Theorem

(1) The functions  $f_{ij}$  define a non-unital homomorphism  $f : R \longrightarrow Mat_p(S)$ (2) Any such homomorphism comes from a bimodule which is finitely generated and projective as a left S-module

(Non-unital) homomorphisms  $R \longrightarrow Mat_p(S)$  have already appeared in the quantum field theory literature (see e.g. Szlachanyi, K, Vecsernyes, K, Quantum symmetry and braid group statistics in *G*-spin models, Commun. Math. Phys. 156, 127-168 (1993)) where they are called *amplifying homomorphisms* or *amplimorphisms* for short

# The double category Ampli

- Objects: rings (with 1)
- Horizontal arrows: amplimorphisms  $R \longrightarrow S$ ,
- Vertical arrows: bimodules  $M: R \longrightarrow S$
- Cells:

$$\begin{array}{cccc} R & \stackrel{(p,f)}{\longrightarrow} R' & & R \stackrel{f}{\longrightarrow} Mat_p(R') \\ M & \stackrel{\phi}{\downarrow} & \stackrel{\phi}{\Longrightarrow} & \stackrel{f}{\downarrow} M' & \text{are cells} & & M \stackrel{\phi}{\downarrow} & \stackrel{\phi}{\Longrightarrow} & \stackrel{f}{\downarrow} Mat_{q,p}(M') \\ S & \stackrel{\phi}{\longrightarrow} & S' & & S \stackrel{-}{\longrightarrow} Mat_q(S') \end{array}$$

i.e. additive functions  $\phi: M \longrightarrow Mat_{q,p}(M')$  such that

$$\phi(mr) = \phi(m)f(r)$$
  $\phi(sm) = g(s)\phi(m)$ 

### Theorem

(1) Ampli is a double category
(2) Ampli is vertically self dual
(3) Every horizontal arrow has a companion and a conjoint
(4) Ampli is Cauchy

# The 2-category *Ampli*

### Proposition

The 2-category Ampli of amplifying homomorphisms has unitary rings as objects, amplimorphisms  $(p, f) : R \longrightarrow S$  as morphisms and as 2-cells  $\phi : (p, f) \Rightarrow (q, g), q \times p$ matrices A such that (1) Af(1) = A(2) for every  $r \in R, Af(r) = g(r)A$ The identity 2-cell on (p, f) is the  $p \times p$  matrix f(1)

### Corollary

Two representations (p, f) and (q, g) of the same S-R-bimodule (finitely generated projective over S) are related as follows: There is a  $q \times p$  matrix A and a  $p \times q$  matrix B, both with entries in S, such that (1) Af(1) = A and Af(r) = g(r)A(2) Bg(1) = B and Bg(r) = f(r)B(3) AB = g(1) and BA = f(1)