### Colimits of Double Categories

Dorette Pronk<sup>1</sup> with Marzieh Bayeh<sup>2</sup> and Martin Szyld<sup>1</sup>

<sup>1</sup>Dalhousie University

<sup>2</sup>University of Ottawa

Groups, Rings, Lie and Hopf Algebras. IV Memorial University, May 30, 2022

### **Double Categories**

• A double category is an internal category in Cat,

$$\mathbf{C}_1 \times_{\mathbf{C}_0} \mathbf{C}_1 \xrightarrow{\circ} \mathbf{C}_1 \xrightarrow{s \atop {\underline{\leftarrow}} i^{\circ} \xrightarrow{>}} \mathbf{C}_0$$

 $\bullet$  Since  $\boldsymbol{C}_0$  and  $\boldsymbol{C}_1$  are categories, this is really a diagram



### **Double Categories**

In other words, a double category  $\ensuremath{\mathbb{D}}$  has

- objects C<sub>00</sub>,
- vertical arrows  $\mathbf{C}_{01}$ , denoted  $d_0(v) \xrightarrow{v} d_1(v)$ ,
- horizontal arrows  $C_{10}$ , denoted  $s(f) \xrightarrow{f} t(f)$ ,
- double cells C<sub>11</sub>, denoted



where  $d_0(\alpha) = f$ ,  $d_1(\alpha) = f'$ ,  $s(\alpha) = u$ , and  $t(\alpha) = v$ .

### Double Cell Composition

Double cells can be composed

Horizontally



• Vertically



Colimits of Double Categories

### Double Cell Composition

Both composition operations are required to be associative and together they need to satisfy the middle-four axiom:



$$(\beta' \circ \alpha') \bullet (\beta \circ \alpha) = (\beta' \bullet \beta) \circ (\alpha' \bullet \alpha).$$

### Examples

● For any 2-category C, Q(C) is the double category of quintets in C, with double cells

$$\begin{array}{ccc}
\stackrel{f}{\longrightarrow} & \text{for each } \alpha \colon vf \Rightarrow gu \text{ in } \mathcal{C}. \\
\stackrel{u}{\longleftarrow} & \stackrel{\alpha}{\longrightarrow} & \stackrel{v}{\longrightarrow} & \end{array}$$

**②** For any 2-category C,  $\mathbb{H}(C)$  is the double category with double cells

**③** The double category  $\mathbb{V}(\mathcal{C})$  is defined analogously.

### Example: Matched Pairs of Groups

Let Σ, F and G be groups with Σ = FG with right action
 ⊲: G × F → G and left action ▷: G × F → F defined by

$$g \cdot f = (g \triangleright f) \cdot (f \triangleleft g)$$

such that

$$g \triangleright (f_2 f_1) = (g \triangleright f_2) \cdot ((g \triangleleft f_2) \triangleright f_1)$$
  
$$(g_2 g_1) \triangleleft f = (g_2 \triangleleft (g_1 \triangleright f)) \cdot (g_1 \triangleleft f)$$

• We can model this as a double category

$$\begin{array}{c}
G \times F \xrightarrow{s=\pi_2} F \\
\xrightarrow{t=\flat} F \\
d_1=\pi_1 \downarrow d_0=\triangleleft \downarrow \downarrow \\
G \xrightarrow{s=\pi_2} \{\bullet\}
\end{array}$$

### Example: Matched Pairs of Groups

• Double cells are of the form



- Note: for each left-hand corner there is precisely one double cell.
- Such double categories are called vacant.
- Horizontal composition:



since (g<sub>2</sub>g<sub>1</sub>) ⊲ f = (g<sub>2</sub> ⊲ (g<sub>1</sub> ⊳ f)) · (g<sub>1</sub> ⊲ f).
Vertical composition goes similarly (using the other condition).

### Matched Pairs of Groupoids

- This leads us to a straightforward generalization of the notion of matched pair of groups; namely, a *matched pair of groupoids*.
- A matched pair of groupoids is a pair of groupoids

$$d_0, d_1 \colon \mathcal{V} \rightrightarrows \mathcal{P} \quad \text{and} \quad s, t \colon \mathcal{H} \rightrightarrows \mathcal{P}$$

with the same base (set of objects) with actions

$$\triangleright \colon \mathcal{H} \times_{s,\mathcal{P},d_1} \mathcal{V} \to \mathcal{V} \quad \text{and} \quad \triangleleft \colon \mathcal{H} \times_{s,\mathcal{P},d_1} \mathcal{V} \to \mathcal{H}$$

such that we can form double cells

and horizontal and vertical composition are well-defined.

• Result: Matched pair of groupoids are vacant double groupoids.

## The category **DblCat**

The category **DblCat** of double categories has:

- objects: double categories  $\mathbb{C}, \mathbb{D}, \ldots$ ;
- arrows: double functors  $F, G, \ldots$  are internal functors,



where  $F_0$  and  $F_1$  are functors.

• 2-cells: these come in two flavours: internal and external; or, vertical and horizontal.

### Transformations

• Vertical Transformations  $\gamma \colon F \Longrightarrow G \colon \mathbb{C} \rightrightarrows \mathbb{D}$  given by

$$FA \xrightarrow{Fh} FB$$

$$\gamma_{A} \downarrow \qquad \gamma_{h} \qquad \downarrow \gamma_{B} \text{ for each } h: A \to B \text{ in } \mathbb{C}$$

$$GA \xrightarrow{Gh} GB$$

functorial in the horizontal direction and natural in the vertical direction.

• Horizontal Transformations  $\nu \colon F \Longrightarrow G$  are defined dually, by a family of double cells,

### Modifications

Modifications are 3-dimensional cells

$$\begin{array}{ccc}
F & \stackrel{\mu}{\longrightarrow} & G \\
\gamma & & \Theta & & \\ \downarrow & & & \\ F' & \stackrel{\nu}{\longrightarrow} & G'
\end{array}$$

that are given by a family of double cells, indexed by the objects of the domain double category,

$$FA \xrightarrow{\mu_{A}} GA$$

$$\gamma_{A} \downarrow \Theta_{A} \downarrow \delta_{A}$$

$$F'A \xrightarrow{\nu_{A}} G'A.$$

### Interlude

- When we view a group G as a one-object category BG, functors  $BG \rightarrow BH$  correspond precisely to group homomorphisms  $G \rightarrow H$ .
- Now the natural transformations make the category of groups into a 2-category: natural transformations Bφ ⇒ Bψ correspond to group elements h ∈ H such that hψh<sup>-1</sup> = φ.
- This also places groups into a much larger category of groupoids or categories and this means that the notion of colimit of a diagram of groups may change significantly:
  - The colimit of a disconnected diagram is not a group.
  - We may also consider pseudo and lax colimits. (An important application of this is the tom Dieck fundamental groupoid.)

### Example

- Double functors between vacant double groupoids correspond to groupoid homomorphisms between matched pairs that preserve the factorization.
- For matched pairs of groups, vertical transformations are determined by an element of the first factor of the codomain that establishes a conjugation between the homomorphisms between the first factors of the matched pairs.
- Analogously, horizontal transformations are determined by conjugation with an element of the second factor of the codomain.
- Modifications correspond to a pair of a horizontal and a vertical transformation.

### The category **DblCat** - Properties

- DblCat is not a double category.
- DblCat is enriched in the category DblCat of double categories: each DblCat(ℂ, D) is a double category.
- We can also view **DblCat** as a 2-category: **DblCat**<sub>v</sub> (resp. **DblCat**<sub>h</sub>) is the 2-category with vertical (resp. horizontal) transformations.
- So lax (co)limits have typically been taken in the 2-category DblCat<sub>v</sub> or DblCat<sub>h</sub> with laxity in one direction.
- We want to introduce a notion of colimit that may have laxity in both directions so we want to index the diagram by a double category.

### Diagrams in **DblCat**

To define a diagram of double categories indexed by a double category  $\mathbb{D}$ :

- Send objects of  $\mathbb D$  to double categories;
- Send both horizontal and vertical arrows to double functors;
- For 2-dimensional cells we have to make a choice: we send double cells to *vertical* transformations.

So an indexing double functor is a double functor

```
\mathbb{D} \to \mathbb{Q}(\mathsf{DblCat}_{\nu})
```

We will also refer to indexing double functors as vertical double functors

#### $\mathbb{D} \twoheadrightarrow \mathsf{DblCat}.$

### Questions

- Have we lost our ability to use horizontal transformations and modifications?
- Have we lost our ability to distinguish between horizontal and vertical arrows in the indexing double category?

No, they will show up in the notion of **doubly lax transformation**.

### Intro to Doubly Lax Transformations

- Introduce a cylinder double category  $Cyl_v$  (DblCat).
- There are vertical double functors

$$\operatorname{Cyl}_{v}(\operatorname{DblCat}) \xrightarrow[v]{v}{}_{v} \xrightarrow{d_{0}}{}_{d_{1}} \operatorname{DblCat}$$

 A doubly lax transformation α: F ⇒ G: D → DblCat is given by a double functor

$$\alpha \colon \mathbb{D} \to \operatorname{Cyl}_{\nu}(\mathsf{DblCat})$$

such that  $d_0 \alpha = F$  and  $d_1 \alpha = G$ .

### The Double Category of (Vertical) Cylinders

The double category  $Cyl_v$ (**DblCat**) of **vertical cylinders** is defined by:

- Objects are double functors, denoted by  $\downarrow f$ .
- Vertical arrows  $f \xrightarrow{(u,\mu,v)} \overline{f}$  are given by vertical transformations,



• Horizontal arrows  $f \xrightarrow{(h,\kappa,k)} f'$  are given by horizontal transformations,



### **Double Cylinders**

# A double cell, $(u,\mu,v) \oint_{V} (\alpha,\Sigma,\beta) \oint_{V} (u',\mu',v')$ consists of two vertical 2-cells, $\overline{f} \xrightarrow[(\overline{h},\overline{\kappa},\overline{k})]{\overline{f'}} f'$

 $\overset{h}{\swarrow} \overset{u'}{a} \overset{u'}{\overbrace{h}}, \overset{k}{\swarrow} \overset{v'}{\underset{v'}{\flat}} \overset{v'}{\overbrace{k}} \quad \text{and}$ 

and a modification  $\Sigma$ ,







### Cylinders and Transformations

- There are vertical double functors d<sub>0</sub>, d<sub>1</sub>: Cyl<sub>v</sub>(DblCat) → DblCat, sending a cylinder to its top and bottom respectively;
- A doubly lax transformation θ: F ⇒ G between vertical double functors F, G: D → DblCat is given by a double functor

 $\theta \colon \mathbb{D} \to \operatorname{Cyl}_{v}(\operatorname{DblCat}),$ 

such that  $d_0\theta = F$  and  $d_1\theta = G$ .

### Doubly Lax Transformations $\theta \colon F \Rightarrow G$





### Doubly Lax Transformations

- Let  $F, G: \mathbb{D} \longrightarrow \mathbf{DblCat}$  be vertical double functors.
- Since doubly lax transformations  $F \Rightarrow G$  are represented by double functors,

 $\mathbb{D} \to \operatorname{Cyl}_{v}(\operatorname{DblCat})$ 

they are the objects of a double category

 $\mathbb{H}om_{d\ell}(F, G),$ 

a sub double category of  $\mathbf{DblCat}(\mathbb{D}, \mathsf{Cyl}_{v}(\mathbf{DblCat}))$ .

### Lax Transformations Between 2-Functors

- By applying Q to the hom-categories of a 2-category B, we can make it into a **DblCat**-enriched category Q(B).
- This allows us to view lax transformations between 2-functors as a special case of the new doubly lax transformations.

$$\begin{array}{ccc} & \xrightarrow{F} \\ \mathcal{A} & \xrightarrow{\downarrow \alpha} \\ & \xrightarrow{\mathcal{B}} \end{array} \begin{array}{c} & & & \\ \mathcal{B} \end{array} \begin{array}{c} & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & &$$

- By taking a restricted  $\mathbb{Q}$  on the codomain, taking only a particular class  $\Omega$  of 2-cells of  $\mathcal{B}$  for the local horizontal arrows, we obtain  $\Omega$ -transformations.
- By taking a restricted  $\mathbb Q$  on the domain, we also get  $\Sigma\text{-transformations}.$

### **Doubly Lax Colimits**

- A doubly lax cocone for a vertical double functor F : D → DblCat with vertex E ∈ DblCat is a doubly lax transformation F ⇒ ΔE.
- There is a double category,

$$\mathbb{LC}(F,\mathbb{E}) := \mathbb{H}\mathsf{om}_{d\ell}(F,\Delta\mathbb{E})$$

of doubly lax cocones with vertex  $\mathbb E.$ 

A doubly lax cocone F ⇒ ΔL is the doubly lax colimit of F if, for every E ∈ DblCat,

$$\mathsf{DblCat}(\mathbb{L},\mathbb{E}) \stackrel{\lambda^*}{\longrightarrow} \mathbb{LC}(F,\mathbb{E})$$

is an isomorphism of double categories.

• The doubly lax colimit can be obtained by a **double Grothendieck construction**.

# The Double Grothendieck Construction: Objects and Arrows

Let  $\mathbb{D} \xrightarrow{F} \mathbf{DblCat}$  be a vertical double functor. The **double category of** elements,  $\mathbb{G}r F = \int_{\mathbb{D}} F$ , is defined by:

- Objects: (C, x) with C in  $\mathbb{D}$  and x in FC,
- Vertical arrows:

$$(C,x) \xrightarrow{(u,\rho)} (C',x'),$$

where  $C \xrightarrow{u} C'$  in  $\mathbb{D}$  and  $Fux \xrightarrow{\rho} x'$  in FC'.

• Horizontal arrows:

$$(C,x) \xrightarrow{(f,\varphi)} (D,y),$$

where  $C \xrightarrow{f} D$  in  $\mathbb{D}$ , and  $Ffx \xrightarrow{\varphi} y$  in FD.

### The Double Grothendieck Construction: Double Cells

• Double cells:  $(u,\rho) \oint (\alpha,\Phi) = (D,y)$ • Double cells:  $(u,\rho) \oint (\alpha,\Phi) = (v,\lambda)$ , where  $\alpha : (u \stackrel{f}{f'} v)$  is a double  $(C',x') \xrightarrow{(f',\varphi')} (D',y')$ 

cell in  $\mathbb{D}$  and  $\Phi$  is a double cell in *FD*':

### Factorization

- Any horizontal arrow  $(f, \varphi)$  can be factored as  $(A, x) \stackrel{(f, 1_{Ffx})}{\longrightarrow} (B, Ffx) \stackrel{(1_B, \varphi)}{\longrightarrow} (B, y).$
- Any vertical arrow  $(u, \rho)$  can be factored as

$$(A,x) \stackrel{(u,1_{Fux}^{\bullet})}{\longrightarrow} (A',Fux) \stackrel{(1_{A'}^{\bullet},\rho)}{\longrightarrow} (A',x').$$

• And any double cell  $(\alpha, \Phi)$  can be factored as

$$\begin{array}{c|c} (A,x) & \xrightarrow{(f,1_{Ffx})} (B,Ffx) \xrightarrow{(1_B,\varphi)} (B,y) \\ & \downarrow & (v,1_{F(vf)x}^{\bullet}) \downarrow & (1_v,1_{Fv\varphi}^{\bullet}) & \downarrow (v,1_{Fvy}^{\bullet}) \\ & (u,1_{Fux}^{\bullet}) \downarrow & (\alpha,1_{(F\alpha)_x}) & (B',FvFfx) \xrightarrow{(1_{B'},Fv\varphi)} (B',Fvy) \\ & \downarrow & (\alpha,1_{(F\alpha)_x}) & (B',FvFfx) \xrightarrow{(1_{B'},Fv\varphi)} (B',Fvy) \\ & \downarrow & (1_{B'}^{\bullet},(F\alpha)_x) \\ & (A',Fux) \xrightarrow{(f',1_{F(f'u)x})} (B',Ff'Fux) & (1_{B'}^{\Box},\Phi) \\ & (1_{A'}^{\bullet},\rho) \downarrow & (1_{f'}^{\bullet},1_{Ff'\rho}) & \downarrow (1_{B'}^{\bullet},Ff'\rho) \\ & (A',x') \xrightarrow{(f',1_{Ff'x'})} (B',Ff'x') \xrightarrow{(1_{B'},\varphi')} (B',y') \end{array}$$

D. Pronk, M. Bayeh, M. Szyld

### The Main Theorem

• There is a doubly lax cocone  $F \xrightarrow{\lambda} \Delta \mathbb{G}r F$  with the required universal property:

$$\lambda^*\colon \mathbf{DblCat}\left(\int_{\mathbb{D}} \mathcal{F}, \mathbb{E}\right) \to \mathbb{LC}\left(\int_{\mathbb{D}} \mathcal{F}, \mathbb{E}\right)$$

is an iso of double categories for all  $\mathbb{E} \in \textbf{DblCat}.$ 

• Furthermore,  $\int_{\mathbb{D}}$  extends to a functor of DblCat-categories

 $\operatorname{Hom}_{\nu}(\mathbb{D},\operatorname{\mathsf{DblCat}})_{d\ell} \to \operatorname{\mathsf{DblCat}}/\mathbb{D}$ 

which is locally an isomorphism of double categories

$$\mathbb{H}om_{d\ell}(F,G) \cong (\mathsf{DblCat}/\mathbb{D}) \left( \int_{\mathbb{D}} F \to \mathbb{D}, \int_{\mathbb{D}} G \to \mathbb{D} \right)$$

### Example: Semidirect Products

Let K and Q be groups and  $\theta: Q \to Aut(K)$ . Then the double categorical version of the semidirect product  $K \rtimes_{\theta} Q$  is the following doubly lax colimit:

- Let  $\mathbb{H}B(Q)$  be the horizontal indexing double category;
- Define the vertical functor  $D: \mathbb{H}B(Q) \rightarrow DblCat$  on objects by  $D(\bullet) = \mathbb{V}B(K)$
- On arrows, D(x)(•) = and D(x)(k) = θ<sub>x</sub>(k) for x ∈ Q and k ∈ K. (Since θ<sub>x</sub> is an automorphism, this is a well-defined double functor.)
- Then the double category of elements,  $\int_{\mathbb{H}B(Q)} D$ , has double cells

$$\begin{array}{c} (\bullet, \bullet) \xrightarrow{(x, 1 \bullet)} (\bullet, \bullet) & \bullet \xrightarrow{x} \bullet \\ (1^{\bullet}_{*}, k) \oint (1^{\bullet}_{x}, 1_{\theta_{x}(k)}) \oint (1^{\bullet}_{*}, \theta_{x}(k)) & & \sim \to & k \oint (x, k) \oint \theta_{x}(k) \\ (\bullet, \bullet) \xrightarrow{(x, 1 \bullet)} (\bullet, \bullet) & & \bullet \xrightarrow{x} \bullet \end{array}$$

### Colimits of vacant double groupoids

- Let D be a vacant double groupoid and D → DblCat a vertical double functor valued in vacant double groupoids.
- A double functor L: X → Y between vacant double groupoids has the horizontal lifting property if for any horizontal arrow Lx → y in Y, there is a horizontal arrow x → x' in X with L(ψ) = φ.
- If F(v) has the horizontal lifting property for each vertical arrow v in D, then the colimit double category ∫<sub>D</sub> F is again a vacant double groupoid.

### Application I: Tricolimits in 2-Cat

• For a 2-category  $\mathcal{A}$  and a 2-functor  $F \colon \mathcal{A} \to 2\text{-Cat}$ , consider

$$\mathcal{A} \xrightarrow{F} 2\text{-Cat} \xrightarrow{\mathbb{V}} \text{DblCat}_{v}$$

and then apply  ${\mathbb V}$  to obtain:

$$\mathbb{V}(\mathcal{A}) \xrightarrow{\mathbb{V}(\mathbb{V} \circ \mathcal{F})} \mathbb{V}(\mathsf{DblCat}_{\nu}) \xrightarrow{\mathsf{incl}} \mathbb{Q}(\mathsf{DblCat}_{\nu}).$$

• Applying the double Grothendieck construction gives us

$$\int_{\mathbb{V}\mathcal{A}} \mathbb{V}(\mathbb{V} \circ F) = \mathbb{V} \int_{\mathcal{A}} F$$

(as defined by Bakovic and Buckley)

- The functor V: 2-Cat → DblCat<sub>v</sub> induces an isomorphism of 3-categories between 2-Cat and its image in DblCat<sub>v</sub>.
- It follows that  $\int_{\mathcal{A}} F$  is the **lax tricolimit** of F in **2-Cat**.

D. Pronk, M. Bayeh, M. Szyld

### Application II: Categories of Elements

• For a functor  $F \colon \mathbf{A} \to \mathbf{Set}$  ,

$$\operatorname{colim} F = \pi_0 \operatorname{El} (dF),$$

where

$$A \xrightarrow{F} Set \xrightarrow{d} Cat$$

and El (*dF*) has objects (*A*, *x*) with  $x \in F(A)$  and arrows  $f: (A, x) \rightarrow (A', x')$  where  $f: A \rightarrow A'$  with F(f)(x) = x'.

 This follows from the universal property of the elements construction as lax colimit by applying it to cones with discrete categories as vertex and using the adjunction π<sub>0</sub> ⊢ d. • We can apply the same paradigm to a functor  $F: \mathcal{A} \rightarrow \mathbf{Cat}$  and use

$$\operatorname{Cat} \xrightarrow{\ll \pi_0}_{\mathbb{V}} \operatorname{DblCat}_{v}$$

where the  $\pi_0$  is taken with respect to horizontal arrows and cells to obtain a quotient of the vertical category of a double category.

It follows from our Main Theorem that π<sub>0</sub> ∫<sub>ⅢA</sub> Q(V ∘ F) gives the strict 2-categorical colimit of F.

### Application III: Lax Tricolimits in **DblCat**<sub>v</sub>

# For a 2-functor $F : \mathbf{A} \to \mathbf{DblCat}_{v}$ , $\int_{\mathbb{V}\mathbf{A}} \mathbb{V}(F)$ is the lax tricolimit of F in $\mathbf{DblCat}_{v}$ .

### Other Results and Work in Progress

- Describe the notion of fibration between double categories that characterizes the double functors of the form ∫<sub>D</sub> F → D and extend our results to a correspondence between suitable fibrations over D and (double pseudo) indexing functors D → DblCat.
- Extend the construction and the correspondence to double pseudo indexing functors D → Q(DblCat<sub>v</sub>).

#### Thank you!