

# Problem Set 7

## Matrix Theory & Linear Algebra II

Winter 2025

In this problem set, any vector space  $V$  comes equipped with an inner product. The field  $\mathbb{F}$  is either  $\mathbb{C}$  or  $\mathbb{R}$ , unless specified. Ensure you do at least the first page of this document.

(1) True or false:

- (a) Every unitary operator  $U : X \rightarrow X$  is normal.
- (b) A matrix is unitary if and only if it is invertible.
- (c) If two matrices are unitarily equivalent, then they are also similar.
- (d) The sum of self-adjoint operators is self-adjoint.
- (e) The adjoint of a unitary operator is unitary.
- (f) The adjoint of a normal operator is normal.
- (g) If all eigenvalues of a linear operator are 1, then the operator must be unitary or orthogonal.
- (h) If all eigenvalues of a normal operator are 1, then the operator is identity.
- (i) A linear operator may preserve norm but not the inner product.

(2) Four of the following matrices are diagonalizable. Which ones and why?

(a)

$$\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

(b)

$$\begin{bmatrix} 2 & i & 0 \\ -i & 3 & 4 \\ 0 & 4 & -1 \end{bmatrix}$$

(c)

$$\begin{bmatrix} 1+i & 2 & -i \\ 0 & 3-i & 4 \\ 0 & 0 & 5+2i \end{bmatrix}$$

(d)

$$\begin{bmatrix} 0.5 & -2 & 3 & 1 \\ -1 & 4.2 & 0 & 3.5 \\ 2 & -0.5 & 1.3 & 2.2 \\ 4 & -3 & 2 & -1 \end{bmatrix}$$

(e)

$$\begin{bmatrix} 2 & 1 & 0 & -1 & 3 \\ 1 & 4 & 2 & 0 & -2 \\ 0 & 2 & 5 & 1 & 4 \\ -1 & 0 & 1 & 3 & 2 \\ 3 & -2 & 4 & 2 & 6 \end{bmatrix}$$

(3) Check that the following real matrices are orthogonal and/or self-adjoint, and orthogonally diagonalize them. In other words, find orthonormal bases of eigenvectors in each case.

$$A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad B = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ \sqrt{3} & 1 \end{bmatrix}, \quad C = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}.$$

In each case give a geometric interpretation of the transformation.

- (4) Prove the following properties of adjoint operators. You can do this from the definition, or by checking properties on a basis.
- (a)  $(S + T)^* = S^* + T^*$ .
  - (b)  $(\lambda \cdot T)^* = \bar{\lambda} \cdot T^*$ .
  - (c)  $(T^*)^* = T$
  - (d)  $(ST)^* = T^*S^*$
  - (e) if  $T$  is invertible, then  $(T^{-1})^* = (T^*)^{-1}$
  - (f) if  $\lambda$  is an eigenvalue of  $T$ , then  $\bar{\lambda}$  is an eigenvalue of  $T^*$ .
- (5) Show that  $\ker T = (\text{range } T^*)^\perp$ .
- (6) Let  $T : V \rightarrow V$  be a self-adjoint operator. Show that if  $\lambda_1 \neq \lambda_2$  are distinct eigenvalues, then the corresponding eigenvectors are orthogonal. Use this and the Spectral Theorem to conclude that a self-adjoint operator has an orthonormal basis of eigenvectors.
- (7) An operator  $T : V \rightarrow W$  is an *isometry* if  $\langle Tv, Tw \rangle = \langle v, w \rangle$  for all  $v, w \in V$ . Show that if  $\dim V = \dim W$  then any isometry is invertible.
- (8) An invertible operator  $T : V \rightarrow V$  is *unitary* if it is an isometry (in particular,  $T$  is invertible). Show that an operator is unitary if and only if  $TT^* = T^*T = I$  (i.e.  $U^{-1} = U^*$ ).
- (9) Using the previous exercise, explain the following assertion: “Unitary and orthogonal operators are the operators that preserve angles and distances.”
- (10) Let  $U$  be a  $2 \times 2$  orthogonal matrix with  $\det U = 1$ . Prove that  $U$  is a rotation matrix.
- (11) Let  $U$  be a  $3 \times 3$  orthogonal matrix with  $\det U = 1$ . Prove that
- (a) 1 is an eigenvalue of  $U$ .
  - (b) If  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  is an orthonormal basis, such that  $U\mathbf{v}_1 = \mathbf{v}_1$  (remember, that 1 is an eigenvalue), then in this basis the matrix of  $U$  is
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha \\ 0 & \sin \alpha & \cos \alpha \end{pmatrix},$$
where  $\alpha$  is some angle.
- (12) Let  $A$  be an  $m \times n$  matrix. Show that
- (a)  $A^*A$  is self-adjoint.
  - (b) The eigenvalues of  $A^*A$  are non-negative.
  - (c)  $A^*A + I$  is invertible.
- (13) Prove that a normal operator with whose eigenvalues satisfy  $\|\lambda_k\| = 1$  is unitary. Hint: diagonalize.
- (14) For  $\mathbb{F} = \mathbb{R}$ , show that self-adjoint operators form a subspace of  $\mathcal{L}(V)$ . (Hint: this was in your 2nd WebWork homework.) Show that this is false for  $\mathbb{F} = \mathbb{C}$ .